

## PRODUCT NAME

IMBRUVICA<sup>®</sup> (ibrutinib) capsules  
IMBRUVICA<sup>®</sup> (ibrutinib) film-coated tablets

## DOSAGE FORMS AND STRENGTHS

### Capsules

#### **140mg capsules**

IMBRUVICA<sup>®</sup> capsules contain 140 mg of ibrutinib.  
White opaque, size 0, hard gelatin capsule marked with “ibr 140 mg” in black ink.

### Film-coated tablets

#### **140 mg tablets**

IMBRUVICA<sup>®</sup> tablets contain 140 mg of ibrutinib.  
Yellow-green to green round film-coated tablet debossed with “ibr” on one side and “140” on the other.

#### **280 mg tablets**

IMBRUVICA<sup>®</sup> tablets contain 280 mg of ibrutinib.  
Purple oblong film-coated tablet debossed with “ibr” on one side and “280” on the other.

#### **420 mg tablets**

IMBRUVICA<sup>®</sup> tablets contain 420 mg of ibrutinib.  
Yellow-green to green oblong film-coated tablet debossed with “ibr” on one side and “420” on the other.

#### **560 mg tablets**

IMBRUVICA<sup>®</sup> tablets contain 560 mg of ibrutinib.  
Yellow to orange oblong film-coated tablet debossed with “ibr” on one side and “560” on the other.

For excipients, see *List of Excipients*.

## CLINICAL INFORMATION

### Indications

#### **Mantle Cell Lymphoma (MCL)**

IMBRUVICA<sup>®</sup> is indicated for the treatment of adult patients with MCL who have received at least one prior therapy. In the clinical study, efficacy was demonstrated based on overall response rate. Improvements in survival or disease-related symptoms have not been established.

#### **Chronic lymphocytic leukemia / Small lymphocytic lymphoma (CLL/SLL)**

IMBRUVICA<sup>®</sup> as a single agent, or in combination with rituximab or obinutuzumab, is indicated for the treatment of adult patients with previously untreated CLL/SLL.

IMBRUVICA<sup>®</sup> as a single agent, or in combination with bendamustine and rituximab, is indicated for the treatment of patients with CLL/SLL who have received at least one prior therapy.

### **Chronic lymphocytic leukemia / Small lymphocytic lymphoma (CLL/SLL) with 17p deletion**

IMBRUVICA<sup>®</sup> is indicated for the treatment of patients with CLL/SLL with 17p deletion.

### **Waldenström's macroglobulinemia (WM)**

IMBRUVICA<sup>®</sup> as a single agent, or in combination with rituximab, is indicated for the treatment of patients with WM.

## **Dosage and Administration**

### **Dosage**

IMBRUVICA<sup>®</sup> should be administered orally once daily with a glass of water at approximately the same time each day. The capsules or tablets should be swallowed whole with water. Do not open, break, or chew the capsule. Do not break or chew the tablets. IMBRUVICA<sup>®</sup> must not be taken with grapefruit juice.

IMBRUVICA<sup>®</sup> should continue until disease progression or no longer tolerated by the patient.

### ***Mantle Cell Lymphoma***

The recommended dose of IMBRUVICA<sup>®</sup> for MCL is 560 mg once daily until disease progression or no longer tolerated by the patient.

### ***Chronic Lymphocytic Leukemia / Small Lymphocytic Lymphoma (CLL/SLL) and Waldenström's macroglobulinemia (WM)***

The recommended dose of IMBRUVICA<sup>®</sup> for CLL/SLL or WM is 420 mg once daily until disease progression or no longer tolerated by the patient. For CLL/SLL, IMBRUVICA<sup>®</sup> can be administered as a single agent, in combination with anti-CD20 therapy (rituximab or obinutuzumab), or in combination with bendamustine and rituximab (BR). For WM, IMBRUVICA<sup>®</sup> can be administered as a single agent or in combination with rituximab. For additional information concerning rituximab, BR, or obinutuzumab see the corresponding local rituximab, bendamustine or obinutuzumab prescribing information. When administering IMBRUVICA<sup>®</sup> in combination with anti-CD20 therapy, it is recommended to administer IMBRUVICA<sup>®</sup> prior to anti-CD20 therapy when given on the same day.

### **Dose modification guidelines**

The concomitant use of moderate and strong CYP3A inhibitors can increase the exposure of ibrutinib (see *Interactions*).

Avoid co-administration with strong or moderate CYP3A inhibitors and consider alternative agents with less CYP3A inhibition.

Concomitant use of strong CYP3A inhibitors which would be taken chronically (e.g. ketoconazole, indinavir, nelfinavir, ritonavir, saquinavir, clarithromycin, telithromycin, itraconazole, nefazodone, cobicistat) is not recommended. For short-term use (treatment for 7 days or less) of

strong CYP3A inhibitors (e.g. antifungals and antibiotics), consider interrupting IMBRUVICA<sup>®</sup> therapy until the CYP3A inhibitor is no longer needed.

See recommended dose modifications in the “interactions” section if a moderate CYP3A inhibitor must be used (e.g. fluconazole, erythromycin, amprenavir, aprepitant, atazanavir, ciprofloxacin, crizotinib, diltiazem, fosamprenavir, imatinib, verapamil, amiodarone, dronedarone) (see *Interactions*).

Patients taking concomitant strong or moderate CYP3A inhibitors should be monitored more closely for signs of IMBRUVICA<sup>®</sup> toxicity.

IMBRUVICA<sup>®</sup> therapy should be withheld for any new onset or worsening Grade 2 cardiac failure, Grade 3 cardiac arrhythmias, Grade  $\geq 3$  non-hematological toxicities, Grade 3 or greater neutropenia with infection or fever, or Grade 4 hematological toxicities.

Once the symptoms of the toxicity have resolved to Grade 1 or baseline (recovery), resume IMBRUVICA<sup>®</sup> therapy at the recommended dose as per the tables below.

Recommended dose modifications for non-cardiac events are described below:

Events	Toxicity occurrence	MCL dose modification after recovery	CLL/SLL/WM dose modification after recovery
Grade 3 or 4 non-hematological toxicities	First*	restart at 560 mg daily	restart at 420 mg daily
Grade 3 or 4 neutropenia with infection or fever	Second	restart at 420 mg daily	restart at 280 mg daily
	Third	restart at 280 mg daily	restart at 140 mg daily
Grade 4 hematological toxicities	Fourth	discontinue IMBRUVICA®	
* When resuming treatment, restart at the same or lower dose based on benefit-risk evaluation. If the toxicity reoccurs, reduce daily dose by 140 mg.			

Recommended dose modifications for events of cardiac failure or cardiac arrhythmias are described below:

<b>Events</b>	<b>Toxicity occurrence</b>	<b>MCL dose modification after recovery</b>	<b>CLL/SLL/WM dose modification after recovery</b>
Grade 2 cardiac failure	First	restart at 420 mg daily	restart at 280 mg daily
	Second	restart at 280 mg daily	restart at 140 mg daily
	Third	discontinue IMBRUVICA <sup>®</sup>	

Events	Toxicity occurrence	MCL dose modification after recovery	CLL/SLL/WM dose modification after recovery
Grade 3 cardiac arrhythmias	First	restart at 420 mg daily <sup>†</sup>	restart at 280 mg daily <sup>†</sup>
	Second	discontinue IMBRUVICA®	
Grade 3 or 4 cardiac failure	First	discontinue IMBRUVICA®	
Grade 4 cardiac arrhythmias			
<sup>†</sup> Evaluate the benefit-risk before resuming treatment.			

### Missed dose

If a dose of IMBRUVICA<sup>®</sup> is not taken at the scheduled time, it can be taken as soon as possible on the same day with a return to the normal schedule the following day. The patient should not take extra doses to make up the missed dose.

### Special populations

#### ***Pediatrics (18 years of age and younger)***

The safety and efficacy of IMBRUVICA<sup>®</sup> in children have not yet been evaluated.

#### ***Renal impairment***

Ibrutinib has minimal renal clearance. No specific clinical studies have been conducted in patients with renal impairment. Patients with mild or moderate renal impairment were treated in IMBRUVICA<sup>®</sup> clinical studies. No dose adjustment is needed for patients with mild or moderate renal impairment (greater than 30 mL/min creatinine clearance). Hydration should be maintained and serum creatinine levels monitored periodically. There are no data in patients with severe renal impairment or patients on dialysis (see *Pharmacokinetic Properties*).

#### ***Hepatic impairment***

Ibrutinib is metabolized in the liver. In a hepatic impairment study, data showed an increase in ibrutinib exposure (see *Pharmacokinetic Properties*). For patients with mild liver impairment (Child-Pugh classes A), the recommended dose is 140 mg daily. Monitor patients for signs of IMBRUVICA<sup>®</sup> toxicity and follow dose modification guidance as needed. It is not recommended to administer IMBRUVICA<sup>®</sup> to patients with moderate and severe hepatic impairment (Child-Pugh classes B and C).

### Contraindications

IMBRUVICA<sup>®</sup> is contraindicated in patients who have known hypersensitivity (e.g., anaphylactic and anaphylactoid reactions) to ibrutinib or to the excipients in its formulation.

### Warnings and Precautions

#### **Bleeding-related events**

There have been reports of bleeding events in patients treated with IMBRUVICA<sup>®</sup>, both with and without thrombocytopenia. These include minor bleeding events such as contusion, epistaxis, and

petechiae; and major bleeding events, some fatal, including gastrointestinal bleeding, intracranial hemorrhage, and hematuria.

In an *in vitro* platelet function study, inhibitory effects of ibrutinib on collagen-induced platelet aggregation were observed (see *Pharmacodynamic Properties*). Use of either anticoagulant or antiplatelet agents concomitantly with IMBRUVICA® increases the risk of major bleeding. A higher risk for major bleeding was observed with anticoagulant than with antiplatelet agents. Consider the risks and benefits of anticoagulant or antiplatelet therapy when co-administered with IMBRUVICA®. Monitor for signs and symptoms of bleeding.

Supplements such as fish oil and vitamin E preparations should be avoided.

IMBRUVICA® should be held at least 3 to 7 days pre- and post-surgery depending upon the type of surgery and the risk of bleeding.

Patients with congenital bleeding diathesis have not been studied.

### **Leukostasis**

There were isolated cases of leukostasis reported in patients treated with IMBRUVICA®. A high number of circulating lymphocytes (>400000/mcL) may confer increased risk. Consider temporarily withholding IMBRUVICA®. Patients should be closely monitored. Administer supportive care including hydration and/or cytoreduction as indicated.

### **Infections**

Infections (including sepsis, bacterial, viral, or fungal infections) were observed in patients treated with IMBRUVICA®. Some of these infections have been associated with hospitalization and death. Consider prophylaxis according to standard of care in patients who are at increased risk for opportunistic infections. Although causality has not been established, cases of progressive multifocal leukoencephalopathy (PML) and hepatitis B reactivation have occurred in patients treated with IMBRUVICA®. Cases of hepatitis E, which may be chronic, have occurred in patients treated with IMBRUVICA®. Patients should be monitored for signs and symptoms (such as, fever, chills, weakness, confusion, vomiting, jaundice and abnormal liver function tests) and appropriate therapy should be instituted as indicated.

### **Cytopenias**

Treatment-emergent Grade 3 or 4 cytopenias (neutropenia, thrombocytopenia and anemia) were reported in patients treated with IMBRUVICA®. Monitor complete blood counts monthly.

### **Interstitial Lung Disease (ILD)**

Cases of ILD have been reported in patients treated with IMBRUVICA®. Monitor patients for pulmonary symptoms indicative of ILD. If symptoms develop, interrupt IMBRUVICA® and manage ILD appropriately. If symptoms persist, consider the risks and benefits of IMBRUVICA® treatment and follow the dose modification guidelines.

### **Cardiac Arrhythmias and Cardiac Failure**

Fatal and serious cardiac arrhythmias or cardiac failure have occurred in patients treated with IMBRUVICA®. Patients with significant cardiac co-morbidities may be at greater risk of events,

including sudden fatal cardiac events. Atrial fibrillation, atrial flutter, ventricular tachyarrhythmia and cardiac failure have been reported, particularly in patients with acute infections or cardiac risk factors including hypertension, diabetes mellitus, and a previous history of cardiac arrhythmia.

Appropriate clinical evaluation of cardiac history and function should be performed prior to initiating IMBRUVICA®. Patients should be carefully monitored during treatment for signs of clinical deterioration of cardiac function and clinically managed. Consider further evaluation (e.g., ECG, echocardiogram), as indicated for patients in whom there are cardiovascular concerns. Consider the risks and benefits of IMBRUVICA® treatment and follow the dose modification guidelines.

### **Tumor lysis syndrome**

Tumor lysis syndrome has been reported with IMBRUVICA® therapy. Patients at risk of tumor lysis syndrome are those with high tumor burden prior to treatment. Monitor patients closely and take appropriate precautions.

### **Non-melanoma skin cancer**

Non-melanoma skin cancers have occurred in patients treated with IMBRUVICA®. Monitor patients for the appearance of non-melanoma skin cancer.

### **Hypertension**

Hypertension has occurred in patients treated with IMBRUVICA®. Regularly monitor blood pressure in patients treated with IMBRUVICA® and initiate or adjust antihypertensive medication throughout treatment with IMBRUVICA® as appropriate.

### **Effects on the QT interval**

In a phase 2 study, ECG evaluations showed IMBRUVICA® produced a mild decrease in QTcF interval (mean 7.5 ms). Although the underlying mechanism and safety relevance of this finding is not known, clinicians should use clinical judgment when assessing whether to prescribe ibrutinib to patients at risk from further shortening their QTc duration (e.g. congenital short QT syndrome or patients with a family history of such a syndrome).

### **Interactions**

Ibrutinib is primarily metabolized by cytochrome P450 enzyme 3A4 (CYP3A4).

### **Agents that may increase ibrutinib plasma concentrations**

Concomitant use of IMBRUVICA® and drugs that strongly or moderately inhibit CYP3A can increase ibrutinib exposure and strong CYP3A inhibitors should be avoided.

### **Strong CYP3A inhibitors**

Co-administration of ketoconazole, a strong CYP3A inhibitor, in 18 healthy subjects, increased exposure ( $C_{max}$  and  $AUC_{0-last}$ ) of ibrutinib by 29- and 24-fold, respectively. In a dedicated drug-drug interaction study in patients with B-cell malignancies, co-administration of voriconazole increased  $C_{max}$  and AUC by 6.7-fold and 5.7-fold, respectively. Voriconazole and posaconazole can be used concomitantly with IMBRUVICA® as per dose recommendations in the table below. All other strong inhibitors of CYP3A (e.g., ketoconazole, indinavir, nelfinavir, ritonavir,

saquinavir, clarithromycin, telithromycin, itraconazole, nefazodone, and cobicistat) should be avoided and an alternative with less CYP3A inhibitory potential should be considered. For strong CYP3A inhibitors used short-term (e.g. antifungals and antibiotics for 7 days or less, e.g., ketoconazole, itraconazole, voriconazole, posaconazole, clarithromycin, telithromycin) consider interrupting IMBRUVICA® therapy during the duration of inhibitor use. See recommended dose modifications in the table below. Avoid strong CYP3A inhibitors that are needed chronically.

### **Moderate and mild CYP3A inhibitors**

In patients with B-cell malignancies, co-administration of CYP3A inhibitor erythromycin increased C<sub>max</sub> and AUC by 3.4-fold and 3.0-fold, respectively. If a moderate CYP3A inhibitor (e.g. fluconazole, erythromycin, amprenavir, aprepitant, atazanavir, ciprofloxacin, crizotinib, diltiazem, fosamprenavir, imatinib, verapamil, amiodarone, dronedarone) is indicated, reduce IMBRUVICA® dose as per recommended dose modifications in the table below. Simulations using clinically relevant fasted conditions suggested that the mild CYP3A4 inhibitors azithromycin and fluvoxamine may increase the AUC of ibrutinib by a factor of <2-fold. Simulations using fasted conditions suggested that moderate CYP3A4 inhibitors, diltiazem, and erythromycin, may increase the AUC of ibrutinib by 5-9 fold.

No dose adjustment is required in combination with mild inhibitors. Monitor patient closely for toxicity and follow dose modification guidance as needed. Avoid grapefruit and Seville oranges during IMBRUVICA® treatment as these contain moderate inhibitors of CYP3A (see *Dosage and Administration* and *Pharmacokinetic Properties*).

### **Recommended dose modifications based on CYP3A inhibitor use:**

Patient Population	Co-administered Drug	Recommended IMBRUVICA® Dose for the Duration of the Inhibitor Use <sup>a</sup>
B-Cell Malignancies	<ul style="list-style-type: none"> <li>Mild CYP3A inhibitors</li> </ul>	420 mg or 560 mg once daily per indication. No dose adjustment required.
	<ul style="list-style-type: none"> <li>Moderate CYP3A inhibitors</li> </ul>	280 mg once daily.
	<ul style="list-style-type: none"> <li>Voriconazole</li> <li>Posaconazole at doses less than or equal to suspension 200 mg BID</li> </ul>	140 mg once daily.
	<ul style="list-style-type: none"> <li>Other strong CYP3A inhibitors</li> <li>Posaconazole at higher doses<sup>b</sup></li> </ul>	<p>Avoid concomitant use and consider alternative with less CYP3A inhibitory potential.</p> <p>If these inhibitors will be used short-term (such as anti-infectives for seven days or less), interrupt IMBRUVICA®.</p>

<sup>a</sup> Monitor for adverse reactions to IMBRUVICA® and interrupt or modify dose as recommended (see *Dosage and Administration*).

<sup>b</sup> Posaconazole at higher doses (posaconazole suspension 200 mg three times daily or 400 mg twice daily, posaconazole IV injection 300 mg once daily, posaconazole delayed-release tablets 300 mg once daily).

After discontinuation of a CYP3A inhibitor, resume previous dose of IMBRUVICA® (see *Dosage and Administration*).

### **Agents that may decrease ibrutinib plasma concentrations**

Administration of IMBRUVICA<sup>®</sup> with rifampin, strong inducer of CYP3A decreased ibrutinib C<sub>max</sub> and AUC by approximately 13- and 10-fold, respectively.

Avoid concomitant use of strong CYP3A inducers (e.g., carbamazepine, rifampin, phenytoin and St. John's Wort). Consider alternative agents with less CYP3A induction.

### **Drugs that may have their plasma concentrations altered by ibrutinib**

*In vitro* studies indicated that ibrutinib is a weak inhibitor toward CYP2B6, CYP2C8, CYP2C9, CYP2C19, CYP2D6, and CYP3A4/5. The dihydrodiol metabolite of ibrutinib is a weak inhibitor toward CYP2B6, CYP2C8, CYP2C9, and CYP2D6. Both ibrutinib and the dihydrodiol metabolite are at most weak inducers of CYP450 isoenzymes *in vitro*. However, in a drug interaction study in patients with B-cell malignancies, a single 560 mg dose of ibrutinib did not have a clinically meaningful effect on the exposure of the CYP3A4 substrate midazolam. In the same study, 2 weeks of treatment with ibrutinib at 560 mg daily had no clinically relevant effect on the pharmacokinetics of oral contraceptives (ethinyl estradiol and levonorgestrel), the CYP3A4 substrate midazolam, nor the CYP2B6 substrate bupropion.

*In vitro* studies indicated that ibrutinib is not a substrate of P-gp or other major transporters except OCT2. The dihydrodiol metabolite and other metabolites are P-gp substrates. Ibrutinib is a mild inhibitor of P-gp and breast cancer resistance protein (BCRP). Ibrutinib is not expected to have systemic drug-drug interactions with P-gp substrates. However, it cannot be excluded that ibrutinib could inhibit intestinal P-gp and BCRP after a therapeutic dose. There are no clinical data available. To minimize the potential for an interaction in the GI tract, narrow therapeutic range P-gp or BCRP substrates such as digoxin or methotrexate should be taken at least 6 hours before or after IMBRUVICA<sup>®</sup>. Ibrutinib may also inhibit BCRP systemically and increase the exposure of drugs that undergo BCRP-mediated hepatic efflux, such as rosuvastatin.

### **Pregnancy, Breast-feeding and Fertility**

#### **Pregnancy**

There are no adequate and well-controlled studies of IMBRUVICA<sup>®</sup> in pregnant women. Based on findings in animals, IMBRUVICA<sup>®</sup> may cause fetal harm when administered to pregnant women.

IMBRUVICA<sup>®</sup> should not be used during pregnancy. Women of child-bearing potential must use highly effective contraceptive measures while taking IMBRUVICA<sup>®</sup> and for up to 3 months after ending treatment. Women should avoid becoming pregnant while taking IMBRUVICA<sup>®</sup> and for up to 3 months after ending treatment. If this drug is used during pregnancy or if the patient becomes pregnant while taking this drug, the patient should be apprised of the potential hazard to a fetus. The time period following treatment with IMBRUVICA<sup>®</sup> where it is safe to become pregnant is unknown.

Men should be advised not to father a child or donate sperm while receiving IMBRUVICA<sup>®</sup>, and for 3 months following completion of treatment (see *Non-Clinical Information-Fertility*).



Ibrutinib was studied for effects on embryo-fetal development in pregnant rats given oral doses of 10, 40 and 80 mg/kg/day. Ibrutinib at a dose of 80 mg/kg/day (approximately 14 times the AUC of ibrutinib and 9.5 times the AUC of the dihydrodiol metabolite compared to patients at the dose of 560 mg daily) was associated with increased post-implantation loss and increased visceral malformations (heart and major vessels). Ibrutinib at a dose of  $\geq 40$  mg/kg/day ( $\geq$  approximately 5.6 times the AUC of ibrutinib and 4.0 times the AUC of the dihydrodiol metabolite compared to patients at a dose of 560 mg daily) was associated with decreased fetal weights.

Ibrutinib was also administered orally to pregnant rabbits during the period of organogenesis at oral doses of 5, 15, and 45 mg/kg/day. Ibrutinib at a dose of 15 mg/kg/day or greater was associated with skeletal malformations (fused sternebrae) and ibrutinib at a dose of 45 mg/kg/day was associated with increased post-implantation loss. Ibrutinib caused malformations in rabbits at a dose of 15 mg/kg/day (approximately 2.0 times the exposure (AUC) in patients with MCL administered ibrutinib 560 mg daily and 2.8 times the exposure in patients with CLL or WM receiving ibrutinib dose 420 mg per day).

### **Breast-feeding**

It is not known whether ibrutinib or its metabolites are excreted in human milk. Because many drugs are excreted in human milk and because of the potential for serious adverse reactions in nursing infants from IMBRUVICA<sup>®</sup>, breast-feeding should be discontinued during IMBRUVICA<sup>®</sup> treatment.

### **Effects on Ability to Drive and Use Machines**

Fatigue, dizziness and asthenia have been reported in some patients taking IMBRUVICA<sup>®</sup> and should be considered when assessing a patient's ability to drive or operate machines.

### **Adverse Reactions**

Throughout this section, adverse reactions (AR) are presented. Adverse reactions are adverse events that have been considered to be reasonably causally associated with the use of ibrutinib based on the comprehensive assessment of the available adverse event information. A causal relationship with ibrutinib usually cannot be reliably established in individual cases. Further, because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in clinical practice.

#### **Adverse reactions from integrated studies in patients with B-cell malignancies**

The data described below reflect exposure to IMBRUVICA<sup>®</sup> in three phase 2 (PCYC-1102-CA, PCYC-1104-CA and PCYC-1118E) and seven phase 3 studies (PCYC-1112-CA, PCYC-1115-CA, CLL3001, PCYC-1130-CA, MCL3001, PCYC-1127-CA, and E1912), that included 1552 patients with B-cell malignancies. Patients received IMBRUVICA<sup>®</sup> until disease progression or unacceptable toxicity.

The most commonly occurring adverse reactions in patients treated with IMBRUVICA<sup>®</sup> for B-cell malignancies ( $\geq 20\%$ ) were diarrhea, neutropenia, musculoskeletal pain, rash, hemorrhage (e.g., bruising), thrombocytopenia, nausea, pyrexia, arthralgia, and upper respiratory tract infection.

The most common Grade 3/4 adverse reactions ( $\geq 5\%$ ) were: neutropenia, lymphocytosis, thrombocytopenia, pneumonia, and hypertension.

### ***Discontinuation and dose reduction due to ARs***

Of the 1552 patients treated with IMBRUVICA<sup>®</sup> for B-cell malignancies, 6% discontinued treatment due to adverse reactions. The most frequent adverse reactions leading to treatment discontinuation included pneumonia, atrial fibrillation, thrombocytopenia, hemorrhage, neutropenia, rash and arthralgia. Adverse reactions leading to dose reduction occurred in 8% of patients.

### **Leukostasis**

Isolated cases of leukostasis have been observed (see *Warnings and Precautions*).

### **Elderly**

Of the 1552 patients treated with IMBRUVICA<sup>®</sup>, 52% were 65 years of age or older. Grade 3 or higher pneumonia occurred more frequently ( $\geq 5\%$ ) among elderly patients treated with IMBRUVICA<sup>®</sup> (12% of patients  $\geq 65$  years of age versus 5% of patients  $<65$  years of age) and thrombocytopenia (12% of patients  $\geq 65$  years of age versus 6% of patients  $<65$  years of age).

### **Non-melanoma skin cancer**

Based on an integrated analysis of the randomized, controlled phase 3 studies (PCYC-1112-CA, PCYC-1115-CA, CLL3001, PCYC-1130-CA, MCL3001, PCYC-1127-CA, and E1912), the incidence of non-melanoma skin cancer was 6% in IMBRUVICA<sup>®</sup>-treated patients and 2% in comparator-treated patients.

### **Mantle Cell Lymphoma**

The data described below reflect exposure to IMBRUVICA<sup>®</sup> in a phase 2 clinical study (PCYC-1104-CA) and a randomized phase 3 study (MCL3001) in patients with MCL (n=250).

The most commonly occurring adverse reactions for MCL ( $\geq 20\%$ ) were diarrhea, hemorrhage (e.g., bruising), fatigue, musculoskeletal pain, nausea, upper respiratory tract infection, cough and rash.

The most common Grade 3/4 adverse reactions ( $\geq 5\%$ ) were: neutropenia, thrombocytopenia, pneumonia, and anemia.

### ***Discontinuation and dose reduction due to ARs***

Of the 250 patients treated with IMBRUVICA<sup>®</sup> for MCL, seven (3%) discontinued treatment due to adverse reactions. The most frequent adverse reactions leading to treatment discontinuation included hemorrhage, pneumonia and thrombocytopenia. Adverse reactions leading to dose reduction occurred in 6% of patients.

Adverse reactions from Study 1104 are described below in Table 1 to reflect exposure to IMBRUVICA<sup>®</sup> in patients with MCL who received at least one prior therapy with a median treatment duration of 8.3 months.

**Table 1: Adverse reactions reported in ≥10% of patients with MCL treated with 560 mg IMBRUVICA® – Study 1104 (N=111)**

System Organ Class	Adverse Reaction	Frequency	
		All Grades (%)	Grade 3 or 4 (%)
Infections and infestations	Pneumonia	12	5
	Urinary tract infection	14	3
	Sinusitis	14	1
	Upper respiratory tract infection	26	0
Blood and lymphatic system disorders	Neutropenia	19	17
	Thrombocytopenia	21	12
	Anemia	15	10
Metabolism and nutrition disorders	Dehydration	14	4
	Hyperuricemia	17	5
	Decreased appetite	23	2
Nervous system disorders	Dizziness	14	0
	Headache	12	0
Respiratory, thoracic and mediastinal disorders	Dyspnea	28	4
	Epistaxis	11	0
	Cough	18	0
Gastrointestinal disorders	Diarrhea	53	5
	Abdominal pain	18	5
	Vomiting	23	0
	Stomatitis	13	1
	Constipation	28	0
	Nausea	32	1
	Dyspepsia	11	0
Skin and subcutaneous tissue disorders	Rash	16	2
Musculoskeletal and connective tissue disorders	Muscle spasms	14	0
	Myalgia	14	0
	Arthralgia	14	0
	Back pain	14	1
	Pain in extremity	12	0
General disorders and administration site conditions	Pyrexia	19	1
	Fatigue	43	5
	Asthenia	12	3
	Edema peripheral	30	2
Injury, poisoning and procedural complications	Contusion	18	0

### ***Serious adverse reactions***

In the phase 2 study, serious adverse reactions were reported in 60% of patients (treatment-emergent frequencies). Serious adverse reactions that occurred in greater than 2% of patients were atrial fibrillation (6%), pneumonia (5%), urinary tract infection (4%), abdominal pain (3%), subdural hematoma (3%), febrile neutropenia (3%), acute renal failure (3%), peripheral edema (3%), and pyrexia (3%).

Adverse reactions from Study MCL3001 are described below in Table 2 reflecting exposure to IMBRUVICA® in patients with MCL who received at least one prior therapy, treated with a median treatment duration of 14.4 months.

**Table 2: Adverse reactions reported in patients with MCL treated with 560 mg IMBRUVICA® – Study MCL3001 (n=139)**

System Organ Class	Adverse Reactions	IMBRUVICA® (n=139)		Temsirolimus (n=139)	
		All Grades (%)	Grade 3 or 4 (%)	All Grades (%)	Grade 3 or 4 (%)
Infections and infestations	Upper respiratory tract infection	19	2	12	1
	Pneumonia*	14	10	19	12
Eye disorders	Conjunctivitis	12	0	5	0
Cardiac disorders	Atrial fibrillation	4	4	2	1
Gastrointestinal disorders	Abdominal pain	8	4	8	1
Musculoskeletal and connective tissue disorders	Muscle spasms	19	0	3	0

\* Includes multiple adverse reaction terms.

### Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma

The data described below reflect exposure to IMBRUVICA® in a single arm, open-label clinical study (Study PCYC-1102-CA) and five randomized clinical studies (Study PCYC-1115-CA, Study PCYC-1112-CA, Study CLL3001, PCYC-1130-CA, and E1912) in patients with CLL/SLL (n=1133).

The most commonly occurring adverse reactions in studies PCYC-1102-CA, PCYC-1112-CA, PCYC-1115-CA, CLL3001, PCYC-1130-CA, and E1912 ( $\geq 20\%$ ) were diarrhea, neutropenia, musculoskeletal pain, rash, thrombocytopenia, hemorrhage (e.g., bruising), nausea, lymphocytosis, pyrexia, arthralgia, headache, upper respiratory tract infection, and hypertension.

The most common Grade 3/4 adverse reactions ( $\geq 5\%$ ) were: neutropenia, lymphocytosis, thrombocytopenia, hypertension, pneumonia, and leukocytosis.

### Discontinuation and dose reduction due to ARs

Six percent of patients receiving IMBRUVICA® in studies PCYC-1102-CA, PCYC-1112-CA, PCYC-1115-CA, CLL3001, PCYC-1130-CA, and E1912 discontinued treatment due to adverse reactions. The most frequent adverse reactions leading to treatment discontinuation included pneumonia, atrial fibrillation, arthralgia, neutropenia, rash, thrombocytopenia, and hemorrhage. Adverse reactions leading to dose reduction occurred in approximately 8% of patients.

### Patients with previously untreated CLL/SLL

#### Single agent

Adverse reactions described below in Table 3 reflect exposure to IMBRUVICA® with a median duration of 17.4 months, which is approximately 2.5 times the median exposure to chlorambucil of 7.1 months in Study PCYC-1115-CA.

**Table 3: Adverse reactions reported in previously untreated patients with CLL/SLL treated with 420 mg IMBRUVICA® - Study PCYC-1115-CA<sup>a</sup>**

System Organ Class Adverse reaction	IMBRUVICA® (N=135)		Chlorambucil (N=132)	
	All Grades (%)	Grade 3 or 4 (%)	All Grades (%)	Grade 3 or 4 (%)
<b>Infections and infestations</b>				
Skin infection*	15	2	3	1
Pneumonia*	14	8	7	4
<b>Neoplasms benign, malignant, and unspecified (including cysts and polyps)</b>				
Basal cell carcinoma	9	1	2	0
<b>Metabolism and nutrition disorders</b>				
Hyponatremia	7	3	1	0
<b>Eye disorders</b>				
Dry eye	17	0	5	0
Lacrimation increased	13	0	6	0
Vision blurred	13	0	8	0
Visual acuity reduced	11	0	2	0
<b>Cardiac disorders</b>				
Atrial fibrillation	6	1	1	0
<b>Vascular disorders</b>				
Hypertension*	14	4	1	0
<b>Respiratory, thoracic and mediastinal disorders</b>				
Cough	22	0	15	0
<b>Gastrointestinal disorders</b>				
Diarrhea	42	4	17	0
Stomatitis*	14	1	4	1
Dyspepsia	11	0	2	0
<b>Skin and subcutaneous tissue disorders</b>				
Rash*	21	4	12	2
Bruising*	19	0	7	0
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	36	4	20	0
Arthralgia	16	1	7	1
Muscle spasms	11	0	5	0
<b>General disorders and administrative site conditions</b>				
Peripheral edema	19	1	9	0

<sup>a</sup> Subjects with multiple events for a given adverse reaction term are counted once only for each adverse reaction term.

\* Includes multiple adverse reaction terms

### ***Combination therapy***

Adverse reactions described below in Table 4 reflect exposure to IMBRUVICA<sup>®</sup> + obinutuzumab with a median duration of 29.3 months and exposure to chlorambucil + obinutuzumab with a median duration of 5.1 months in Study PCYC-1130-CA.

**Table 4: Adverse reactions reported in previously untreated patients with CLL/SLL treated with IMBRUVICA<sup>®</sup> in combination with obinutuzumab in Study PCYC-1130-CA<sup>a</sup>**

	<b>IMBRUVICA<sup>®</sup> + Obinutuzumab (N=113)</b>		<b>Chlorambucil + Obinutuzumab (N=115)</b>	
<b>System Organ Class Adverse Reaction</b>	<b>All Grades (%)</b>	<b>Grade 3 or 4 (%)</b>	<b>All Grades (%)</b>	<b>Grade 3 or 4 (%)</b>
<b>Blood and lymphatic system disorders</b>				
Thrombocytopenia*	36	19	28	11
<b>Skin and subcutaneous tissue disorders</b>				
Rash*	36	3	11	0
Bruising*	32	3	3	0
<b>Gastrointestinal disorders</b>				
Diarrhea	34	3	10	0
Constipation	16	0	12	1
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	33	1	23	3
Arthralgia	22	1	10	0
Muscle spasms	13	0	6	0
<b>Respiratory, thoracic and mediastinal disorders</b>				
Cough	27	1	12	0
<b>Vascular disorders</b>				
Hemorrhage*	25	1	9	0
Hypertension*	17	4	4	3
<b>Infections and infestations</b>				
Pneumonia*	16	9	9	3
Upper respiratory tract infection	14	1	6	0
Skin infection*	13	1	3	0
Urinary tract infection	12	3	7	1
Conjunctivitis	11	0	2	0
<b>Metabolism and nutrition disorders</b>				
Hyperuricemia	13	1	0	0
<b>Cardiac disorders</b>				
Atrial fibrillation	12	5	0	0
<b>General disorders and administration site conditions</b>				
Peripheral edema	12	0	7	0

<b>Psychiatric disorders</b>				
Insomnia	12	0	4	0

<sup>a</sup> Occurring at  $\geq 10\%$  incidence and  $\geq 2\%$  greater in the IMBRUVICA<sup>®</sup> + obinutuzumab arm when compared to the chlorambucil + obinutuzumab arm

\* Includes multiple adverse reaction terms

Events are sorted by system organ class and by decreasing frequency of adverse reaction term in the IMBRUVICA<sup>®</sup> + obinutuzumab arm.

Adverse reactions described below in Table 5 reflect exposure to IMBRUVICA<sup>®</sup> in combination with rituximab (IR) or received fludarabine, cyclophosphamide, and rituximab (FCR) with a median duration of 34.3 months for IR and 4.7 months for FCR in Study E1912.

**Table 5: Adverse reactions reported in previously untreated patients with CLL/SLL treated with IMBRUVICA<sup>®</sup> in combination with Rituximab in Study E1912<sup>a</sup>**

System Organ Class Adverse Drug Reaction Term	IMBRUVICA <sup>®</sup> + Rituximab (N=352) (%)		Fludarabine + Cyclophosphamide + Rituximab (N=158) (%)	
	All Grades	Grade 3 or 4	All Grades	Grade 3 or 4
<b>Gastrointestinal disorders</b>				
Diarrhoea	53	4	27	1
Nausea	40	1	64	1
Stomatitis*	22	1	8	1
Vomiting	18	2	28	0
Constipation	17	0	32	0
Abdominal pain	16	1	9	1
Dyspepsia	14	0	3	0
Gastroesophageal reflux disease	13	0	6	0
<b>General disorders and administration site conditions</b>				
Fatigue	80	2	78	3
Oedema peripheral	28	1	17	0
Pyrexia	27	1	27	1
Pain	23	2	8	0
Chills	11	<1	17	1
<b>Infections and infestations</b>				
Upper respiratory tract infection	29	1	19	2
Skin infection*	16	1	3	1
Pneumonia*	11	3	6	3
<b>Investigations</b>				
Blood creatinine increased	36	1	20	1
<b>Metabolism and nutrition disorders</b>				

Hyperuricaemia	18	1	4	0
Decreased appetite	15	0	20	1
Hypokalaemia	13	1	11	1
Hypoalbuminaemia	11	0	8	1
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	61	5	35	2
Arthralgia	41	5	9	1
Muscle spasms	12	0	1	0
<b>Nervous system disorders</b>				
Headache	40	1	27	1
Dizziness	21	1	13	1
Neuropathy peripheral*	19	1	13	1
<b>Psychiatric disorders</b>				
Insomnia	16	1	19	1
Anxiety	14	<1	10	0
<b>Respiratory, thoracic and mediastinal disorders</b>				
Cough	32	<1	25	0
Dyspnoea	22	2	21	1
Oropharyngeal pain	13	<1	5	0
Nasal congestion	12	0	7	0
<b>Skin and subcutaneous tissue disorders</b>				
Rash*	49	4	29	5
Bruising*	36	1	4	1
Pruritus	13	<1	8	0
Dry skin	11	<1	6	0
<b>Vascular disorders</b>				
Hypertension*	42	19	22	6
Haemorrhage*	31	2	8	1

<sup>a</sup> Occurring at  $\geq 10\%$  incidence in the IMBRUVICA<sup>®</sup> + rituximab arm

\* Includes multiple adverse drug reaction preferred terms.

Serious and Non-serious events for Study E1912 were not distinguished in the data collection.

Events are sorted by system organ class and by decreasing frequency of adverse reaction term in the IMBRUVICA<sup>®</sup> + rituximab arm.



**Table 6: Treatment-Emergent\* Hematologic Laboratory Abnormalities reported in previously untreated patients with CLL/SLL treated with IMBRUVICA® in combination with Rituximab in Study E1912**

	IMBRUVICA® + Rituximab (N=352)		Fludarabine + Cyclophosphamide + Rituximab (N=158)	
	All Grades	Grade 3 or 4	All Grades	Grade 3 or 4
Neutrophils decreased (%)	53	30	70	44
Platelets decreased (%)	43	7	69	25
Hemoglobin decreased (%)	26	0	51	2

\* Based on laboratory measurements per iwCLL criteria grade (iwCLL: International Workshop on Chronic Lymphocytic Leukemia)

Treatment-emergent Grade 4 thrombocytopenia (3% in the IMBRUVICA® + Rituximab arm and 9% in the Fludarabine + Cyclophosphamide + Rituximab arm) and neutropenia (15% in the IMBRUVICA® + Rituximab arm and 22% in the Fludarabine + Cyclophosphamide + Rituximab arm) occurred in subjects.

### ***Patients with CLL/SLL who received at least one prior therapy*** ***Single agent***

Adverse reactions described in Table 7 below reflect exposure to IMBRUVICA® with a median duration of 8.6 months and exposure to ofatumumab with a median duration of 5.3 months in Study PCYC-1112-CA.

**Table 7: Adverse reactions reported in patients with CLL/SLL treated with IMBRUVICA® as single agent in Study PCYC-1112-CA<sup>a</sup>**

System Organ Class Adverse Reaction	IMBRUVICA® (N=195)		Ofatumumab (N=191)	
	All Grades (%)	Grade 3 or 4 (%)	All Grades (%)	Grade 3 or 4 (%)
<b>Infections and infestations</b>				
Upper respiratory tract infection	16	1	10	2
Pneumonia*	15	10	13	9
Sinusitis*	11	1	6	0
Urinary tract infection	10	4	5	1
Skin infection*	7	2	3	1
Sepsis*	4	2	4	3
<b>Blood and lymphatic system disorders</b>				
Anemia	23	5	17	8
Neutropenia	22	16	15	14
Thrombocytopenia	17	6	12	4
Lymphocytosis	4	2	3	1
Leukocytosis	4	3	1	0
Febrile neutropenia	2	2	3	3
<b>Nervous system disorders</b>				
Headache	14	1	6	0
Dizziness	11	0	5	0
<b>Eye disorders</b>				
Vision blurred	10	0	3	0

**Table 7: Adverse reactions reported in patients with CLL/SLL treated with IMBRUVICA<sup>®</sup> as single agent in Study PCYC-1112-CA<sup>a</sup>**

	<b>IMBRUVICA<sup>®</sup></b> <b>(N=195)</b>		<b>Ofatumumab</b> <b>(N=191)</b>	
<b>System Organ Class</b> Adverse Reaction	<b>All Grades</b> <b>(%)</b>	<b>Grade 3 or 4</b> <b>(%)</b>	<b>All Grades</b> <b>(%)</b>	<b>Grade 3 or 4</b> <b>(%)</b>
<b>Cardiac disorders</b>				
Atrial fibrillation	5	3	1	0
<b>Respiratory, thoracic and mediastinal disorders</b>				
Epistaxis	9	0	3	1
<b>Gastrointestinal disorders</b>				
Diarrhea	48	4	18	2
Nausea	26	2	18	0
Stomatitis*	17	1	6	1
Constipation	15	0	9	0
Vomiting	14	0	6	1
<b>Skin and subcutaneous tissue disorders</b>				
Rash*	24	3	13	0
Bruising*	21	0	4	0
Petechiae	14	0	1	0
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	28	2	18	1
Arthralgia	17	1	7	0
<b>General disorders and administration site conditions</b>				
Pyrexia	24	2	15	1
<b>Injury, poisoning and procedural complications</b>				
Subdural hematoma	1	0	0	0

<sup>a</sup> Occurring at ≥10% incidence and 5% greater in the IMBRUVICA<sup>®</sup> arm when compared to the ofatumumab arm or serious adverse reactions ≥2% incidence and 2% greater in the IMBRUVICA<sup>®</sup> arm when compared to the ofatumumab arm or biologically plausible.

\* Includes multiple adverse reaction terms.

Patients with multiple events for a given adverse reaction term are counted once only for each adverse reaction term.

Events are sorted by system organ class and by decreasing frequency of adverse reaction term in the IMBRUVICA<sup>®</sup> arm.

### ***Combination therapy***

Adverse reactions described below in Table 8 reflect exposure to IMBRUVICA<sup>®</sup> + BR with a median duration of 14.7 months and exposure to placebo + BR with a median of 12.8 months in Study CLL3001.

**Table 8: Adverse reactions reported in patients with CLL/SLL treated with IMBRUVICA® in combination with BR in Study CLL3001<sup>a</sup>**

System Organ Class Adverse Reaction Term	IMBRUVICA® + BR (N=287)		Placebo + BR (N=287)	
	All Grades %	Grade 3 or 4 %	All Grades %	Grade 3 or 4 %
<b>Blood and lymphatic system disorders</b>				
Thrombocytopenia	31	15	24	15
<b>Cardiac disorders</b>				
Atrial fibrillation	7	3	2	1
<b>Vascular disorders</b>				
Hypertension*	10	5	5	2
<b>Gastrointestinal disorders</b>				
Diarrhea	36	2	23	1
<b>Skin and subcutaneous tissue disorders</b>				
Rash*	24	3	18	1
Bruising*	18	<1	6	0
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	29	2	20	0
Muscle spasms	12	<1	5	0

<sup>a</sup> Occurred at an incidence of at least 5% higher for AEs or 2% higher for SAEs.

Events are sorted by system organ class and by decreasing frequency of adverse reaction term in the IMBRUVICA® arm.

\* Includes multiple adverse reaction terms

<1 used for frequency above 0 and below 0.5%

### **Waldenström's macroglobulinemia (WM)**

The data described below reflect exposure to IMBRUVICA® in an open label clinical study that included 63 patients with previously treated WM (PCYC-1118E) and a randomized phase 3 clinical study in 75 patients with treatment-naïve or previously treated WM (PCYC-1127-CA). Study PCYC-1127-CA also had an additional monotherapy arm of 31 patients with previously treated WM who failed prior rituximab-containing therapy. The safety profile of patients included in the PCYC-1127-CA monotherapy arm is consistent with the overall known WM safety profile for IMBRUVICA®-exposed patients.

The most commonly occurring adverse reactions in the WM studies (PCYC-1118E and PCYC-1127-CA) ( $\geq 20\%$ ) were haemorrhage (e.g., bruising), diarrhea, musculoskeletal pain, rash, nausea, and neutropenia.

The most commonly occurring Grade 3/4 adverse reactions (>5%) were: neutropenia, pneumonia, hypertension, atrial fibrillation and thrombocytopenia.

### **Discontinuation and dose reduction due to ARs**

Four percent of patients receiving IMBRUVICA® in the WM studies (PCYC-1118E and PCYC-1127-CA) discontinued treatment due to adverse reactions. Adverse reactions leading to dose reduction occurred in 11% of patients.

Adverse reactions described below in Table 9 reflect exposure to IMBRUVICA® with a median duration of 11.7 months in Study PCYC-1118E.

**Table 9: Treatment-emergent adverse reactions reported in ≥10% of patients with WM treated with 420 mg IMBRUVICA® - Study 1118E (N=63)**

System Organ class	Adverse Reactions	All Grades (%)	Grades 3-4 (%)
Infections and infestations	Sinusitis	19	0
	Upper respiratory tract infection	19	0
	Pneumonia*	14	6
	Skin infection*	14	2
Neoplasms benign, malignant and unspecified (incl cysts and polyps)	Skin cancer*	11	0
Blood and lymphatic system disorders	Neutropenia	25	17
	Thrombocytopenia	17	13
	Anemia	16	3
Nervous system disorders	Dizziness	14	0
	Headache	13	0
Respiratory, thoracic and mediastinal disorders	Epistaxis	19	0
	Cough	13	0
Gastrointestinal disorders	Diarrhea	37	0
	Nausea	21	0
	Stomatitis*	16	0
	Gastroesophageal reflux disease	13	0
Skin and subcutaneous tissue disorders	Rash*	22	0
	Bruising*	16	0
	Pruritus	11	0
Musculoskeletal and connective tissue disorders	Muscle spasms	21	0
	Arthropathy	13	0
General disorders and administration site conditions	Fatigue	21	0

\* Includes multiple adverse reaction terms.

Adverse reactions from Study PCYC-1127-CA are described below in Table 10 reflecting exposure to IMBRUVICA® + rituximab with a median duration of 25.8 months and exposure to placebo + rituximab with a median duration of 15.5 months in patients with treatment-naïve or previously treated WM.

**Table 10: Adverse reactions reported in patients with WM treated with IMBRUVICA® in combination with Rituximab in Study PCYC-1127-CA<sup>a</sup>**

System Organ Class Adverse Reaction Term	IMBRUVICA® + R (N=75)		Placebo + R (N=75)	
	All Grades %	Grade 3 or 4 %	All Grades %	Grade 3 or 4 %
<b>Skin and subcutaneous tissue disorders</b>				
Bruising*	37	1	5	0
Rash*	24	1	11	0
<b>Musculoskeletal and connective tissue disorders</b>				
Musculoskeletal pain*	35	4	21	3
Arthralgia	24	3	11	1
Muscle spasms	17	0	12	1
<b>Vascular disorders</b>				
Hemorrhage*	32	3	17	3
Hypertension*	20	13	5	4
<b>Gastrointestinal disorders</b>				
Diarrhea	28	0	15	1
Nausea	21	0	12	0
Dyspepsia	16	0	1	0
Constipation	13	1	11	1
<b>Infections and infestations</b>				
Pneumonia*	19	13	5	3
Skin infection*	17	3	3	0
Urinary tract infection	13	0	0	0
Bronchitis	12	3	7	0
Influenza	12	0	7	1
Viral upper respiratory tract infection	11	0	7	0
<b>General disorders and administration site conditions</b>				
Peripheral edema	17	0	12	1
<b>Respiratory, thoracic, and mediastinal disorders</b>				
Cough	17	0	11	0

<b>Blood and lymphatic system disorders</b>				
Neutropenia*	16	12	11	4
<b>Cardiac disorders</b>				
Atrial fibrillation	15	12	3	1
<b>Nervous system disorders</b>				
Dizziness	11	0	7	0
<b>Psychiatric disorders</b>				
Insomnia	11	0	4	0
<b>Metabolism and nutrition disorders</b>				
Hypokalemia	11	0	1	1

<sup>a</sup> Occurring at  $\geq 10\%$  incidence and  $\geq 2\%$  greater in the IMBRUVICA® + rituximab arm when compared to the placebo + rituximab arm

Events are sorted by system organ class and by decreasing frequency of adverse reaction term in the IMBRUVICA® + rituximab arm.

\* Includes multiple adverse reaction terms

Grade 3 or 4 infusion-related reactions were observed in 1% of patients treated with IMBRUVICA® + rituximab and 16% of patients treated with placebo + rituximab.

### Long-term safety

The safety data from long-term treatment with IMBRUVICA® over 5 years from 1284 patients (treatment-naïve CLL/SLL n=162, relapsed/refractory CLL/SLL n=646, relapsed/refractory MCL n=370, and WM n=106) were analyzed. The median duration of treatment for CLL/SLL was 51 months (range, 0.2 to 98 months) with 70% and 52% of patients receiving treatment for more than 2 years and 4 years, respectively. The median duration of treatment for MCL was 11 months (range, 0 to 87 months) with 31% and 17% of patients receiving treatment for more than 2 years and 4 years, respectively. The median duration of treatment for WM was 47 months (range, 0.3 to 61 months) with 78% and 46% of patients receiving treatment for more than 2 years and 4 years, respectively. The overall known safety profile of IMBRUVICA®-exposed patients remained consistent, other than an increasing prevalence of hypertension, with no new safety concerns identified. The prevalence for Grade 3 or greater hypertension was 4% (year 0-1), 7% (year 1-2), 9% (year 2-3), 9% (year 3-4), and 9% (year 4-5), the overall incidence for the 5-year period was 11%.

### Post marketing data

In addition to the adverse reactions reported during clinical studies and listed above, the following adverse reactions have been reported during post marketing experience (Table 11).

Because these reactions were reported voluntarily from a population of uncertain size, it is not always possible to reliably estimate their frequency or establish a causal relationship to drug exposure. In the table, the frequencies are provided according to the following convention:

Very common	$\geq 1/10$ ( $\geq 10\%$ )
Common	$\geq 1/100$ and $< 1/10$ ( $\geq 1\%$ and $< 10\%$ )
Uncommon	$\geq 1/1000$ and $< 1/100$ ( $\geq 0.1\%$ and $< 1\%$ )
Rare	$\geq 1/10000$ and $< 1/1000$ ( $\geq 0.01\%$ and $< 0.1\%$ )
Very rare	$< 1/10000$ , including isolated reports ( $< 0.01\%$ )
Not known	Cannot be estimated from the available data.

In Table 11, adverse reactions are presented by frequency category based on spontaneous reporting rates.

**Table 11: Adverse reactions identified during postmarketing experience with IMBRUVICA®**

System Organ Class Adverse Reaction	Frequency Category Estimated from Spontaneous Reporting Rates
<b>Eye Disorders</b>	
Eye hemorrhage	Uncommon
<b>Cardiac disorders</b>	
Ventricular tachyarrhythmias	Rare
Cardiac failure*†	Uncommon
<b>Immune system disorders</b>	
Interstitial lung disease*†	Uncommon
<b>Metabolism and nutrition disorders</b>	
Tumor lysis syndrome	Very rare
<b>Hepatobiliary disorders</b>	
Hepatic failure*†	Uncommon
<b>Skin and subcutaneous tissue disorders</b>	
Angioedema	Very rare
Erythema	Very rare
Onychoclasia	Uncommon
Panniculitis	Rare
Stevens-Johnson syndrome	Rare
Urticaria	Very rare
Neutrophilic dermatoses*	Rare
<b>Nervous system disorders</b>	
Peripheral neuropathy*	Uncommon
Cerebrovascular accident†	Uncommon
Transient ischemic attack	Rare
Ischemic stroke†	Rare

\* Includes multiple adverse reaction terms.

† Includes events with fatal outcome

## Overdose

### Symptoms and signs

There are limited data on the effects of IMBRUVICA® overdose. No Maximum Tolerated Dose was reached in the phase 1 study in which patients received up to 12.5 mg/kg/day (1400 mg/day). In a separate study, one healthy subject who received a dose of 1680 mg experienced reversible Grade 4 hepatic enzyme increases [aspartate aminotransferase (AST) and alanine aminotransferase (ALT)]. There is no specific antidote for IMBRUVICA®. Patients who ingested more than the recommended dosage should be closely monitored and given appropriate supportive treatment.

## PHARMACOLOGICAL PROPERTIES

### Pharmacodynamic Properties

Pharmacotherapeutic group: Antineoplastic agents, protein kinase inhibitors, ATC code: L01EL01.

#### Mechanism of action

Ibrutinib is a potent, small-molecule inhibitor of Bruton's tyrosine kinase (BTK). Ibrutinib forms a covalent bond with a cysteine residue (Cys-481) in the BTK active site, leading to sustained inhibition of BTK enzymatic activity. BTK, a member of the Tec kinase family, is an important signaling molecule of the B-cell antigen receptor (BCR) and cytokine receptor pathways. The BCR pathway is implicated in the pathogenesis of several B-cell malignancies, including MCL, diffuse large B-cell lymphoma (DLBCL), follicular lymphoma, and B-cell CLL. BTK's pivotal role in signaling through the B-cell surface receptors results in activation of pathways necessary for B-cell trafficking, chemotaxis and adhesion. Preclinical studies have shown that ibrutinib inhibits malignant B-cell proliferation and survival *in vivo* as well as cell migration and substrate adhesion *in vitro*.

#### Lymphocytosis

Upon initiation of single agent treatment with IMBRUVICA<sup>®</sup>, a reversible increase in lymphocyte counts (i.e.,  $\geq 50\%$  increase from baseline and an absolute count 5000/mcL), often associated with reduction of lymphadenopathy, has been observed in most patients (66%) with CLL/SLL. This effect has also been observed in some patients (35%) with MCL treated with IMBRUVICA<sup>®</sup>. This observed lymphocytosis is a pharmacodynamic effect and should not be considered progressive disease in the absence of other clinical findings. In both disease types, lymphocytosis typically occurs during the first month of IMBRUVICA<sup>®</sup> therapy and typically resolves within a median of 8.0 weeks in patients with MCL and 14 weeks in patients with CLL/SLL (range, 0.1 to 104 weeks).

When IMBRUVICA<sup>®</sup> was administered in combination with BR or with obinutuzumab in subjects with CLL/SLL, lymphocytosis was infrequent (7% with IMBRUVICA<sup>®</sup> + BR versus 6% with placebo + BR and 7% with IMBRUVICA<sup>®</sup> + obinutuzumab versus 1% with chlorambucil + obinutuzumab).

Lymphocytosis was not observed in patients with WM treated with IMBRUVICA<sup>®</sup>.

#### *In vitro* platelet aggregation

In an *in vitro* study, ibrutinib demonstrated inhibition of collagen-induced platelet aggregation in samples from the cohorts of subjects with either renal dysfunction, those on warfarin, or healthy subjects. The magnitude of inhibition of collagen-induced platelet aggregation in the cohort of subjects on aspirin was less pronounced since collagen-induced platelet aggregation was already reduced without ibrutinib. Ibrutinib did not show meaningful inhibition of platelet aggregation for the 4 agonists adenosine diphosphate (ADP), arachidonic acid, ristocetin, and thrombin receptor-activating peptide 6 (TRAP-6) across any of these cohorts of subjects or healthy subjects.

#### Effect on QT/QTc interval and cardiac electrophysiology

The effect of ibrutinib on the QTc interval was evaluated in 20 healthy male and female subjects in a randomized, double-blind thorough QT study with placebo and positive controls. At a



supratherapeutic dose of 1680 mg, ibrutinib did not prolong the QTc interval to any clinically relevant extent. The largest upper bound of the 2-sided 90% CI for the baseline adjusted mean differences between ibrutinib and placebo was below 10 ms. In this same study, a concentration dependent shortening in the QTc interval was observed (-5.3 ms [90% CI: -9.4, -1.1] at a C<sub>max</sub> of 719 ng/mL following the supratherapeutic dose of 1680 mg) that was considered not clinically relevant.

## Clinical studies

### ***Mantle Cell Lymphoma***

The safety and efficacy of IMBRUVICA® in MCL patients who received at least one prior therapy were evaluated in a single open-label, multi-center phase 2 study (PCYC-1104-CA) of 111 patients. The median age was 68 years (range, 40 to 84 years), 77% were male and 92% were Caucasian. The median time since diagnosis was 42 months, and median number of prior treatments was 3 (range, 1 to 5 treatments), including 35% with prior high-dose chemotherapy, 43% with prior bortezomib, 24% with prior lenalidomide, and 11% with prior stem cell transplant. At baseline, 39% of patients had bulky disease (≥5 cm), 49% had high-risk score by Simplified MCL International Prognostic Index (MIPI), and 72% had advanced disease (extranodal and/or bone marrow involvement) at screening.

IMBRUVICA® was administered orally at 560 mg once daily until disease progression or unacceptable toxicity. Tumor response was assessed according to the revised International Working Group (IWG) for non-Hodgkin's lymphoma (NHL) criteria. The primary endpoint in this study was investigator-assessed overall response rate (ORR). Responses to IMBRUVICA® are shown in Table 12.

**Table 12: Overall Response Rate (ORR) and Duration of Response (DOR) Based on Investigator Assessment in Patients with Mantle Cell Lymphoma**

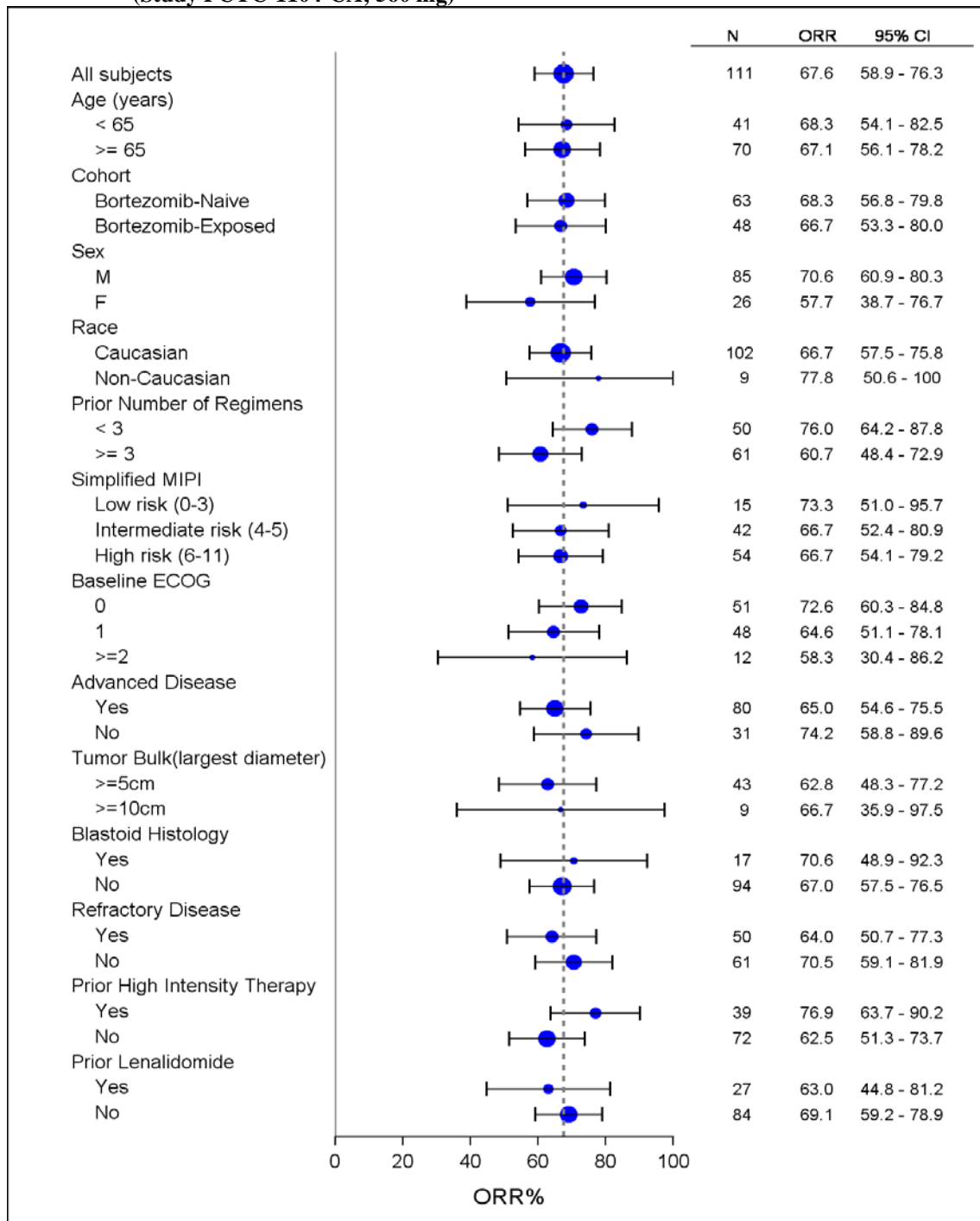
	<b>Total N=111</b>
ORR (%)	67.6
95% CI (%)	(58.0, 76.1)
CR (%)	20.7
PR (%)	46.8
Median DOR (CR+PR) (months)	17.5 (15.8, NR)
Median Time to Initial Response, months (range)	1.9 (1.4-13.7)
Median Time to CR, months (range)	5.5 (1.7, 11.5)

CI = confidence interval; CR = complete response; PR = partial response; NR = not reached

The efficacy data was further evaluated by an Independent Review Committee (IRC) demonstrating an ORR of 69%, with a 21% CR rate and a 48% PR rate. The IRC estimated median DOR was 19.6 months.

The overall response to IMBRUVICA® was independent of prior treatment including bortezomib and lenalidomide or underlying risk/prognosis, bulky disease, gender or age (Figure 1).

**Figure 1: Subgroup Analysis of Overall Response Rate by Investigator Assessment  
(Study PCYC-1104-CA; 560 mg)**



The safety and efficacy of IMBRUVICA® were demonstrated in a randomized phase 3, open-label, multicenter study including 280 patients with MCL who received at least one prior therapy (Study MCL3001). Patients were randomized 1:1 to receive either IMBRUVICA® orally at 560 mg once daily on a 21-day cycle or temsirolimus intravenously at 175 mg on Days 1, 8, 15 of the first cycle followed by 75 mg on Days 1, 8, 15 of each subsequent 21-day cycle. Treatment on both arms

continued until disease progression or unacceptable toxicity. The median age was 68 years (range, 34 to 88 years), 74% were male and 87% were Caucasian. The median time since diagnosis was 43 months, and median number of prior treatments was 2 (range: 1 to 9 treatments), including 51% with prior high-dose chemotherapy, 18% with prior bortezomib, 5% with prior lenalidomide, and 24% with prior stem cell transplant. At baseline, 53% of patients had bulky disease ( $\geq 5$  cm), 21% had high-risk score by Simplified MIPI, 60% had extranodal disease and 54% had bone marrow involvement at screening.

Progression-free survival (PFS) as assessed by IRC according to the revised International Working Group (IWG) for non-Hodgkin's lymphoma (NHL) criteria showed a 57% statistically significant reduction in the risk of death or progression for patients in the IMBRUVICA<sup>®</sup> arm. Efficacy results for Study MCL3001 are shown in Table 13 and the Kaplan Meier curve for PFS in Figure 2.

**Table 13: Efficacy results in Study MCL3001**

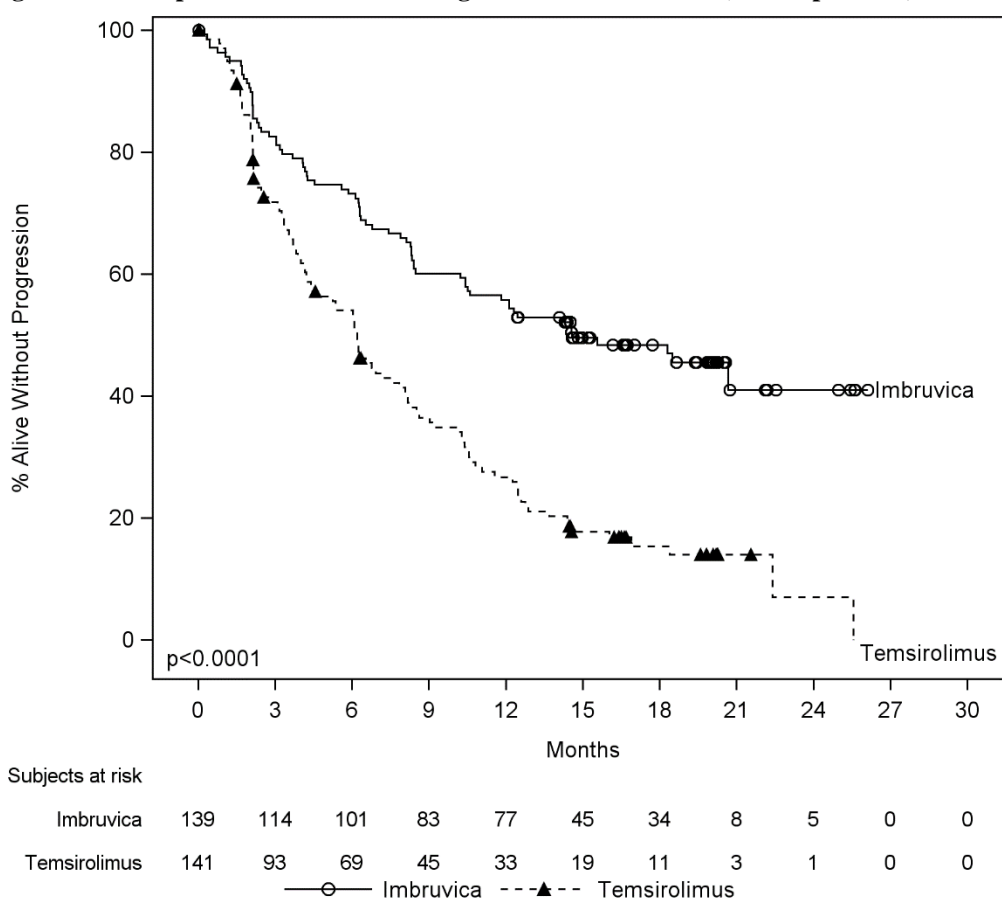
Endpoint	IMBRUVICA <sup>®</sup> N=139	Temsirolimus N=141
<b>Progression-Free Survival<sup>a</sup></b>		
Number of events (%)	73 (52.5)	111 (78.7)
Median Progression-Free Survival (95% CI), months	14.6 (10.4, NE)	6.2 (4.2, 7.9)
HR (95% CI)	0.43 (0.32, 0.58)	
<b>Overall Response Rate (CR+PR)</b>	71.9%	40.4%
p-value	p<0.0001	

NE = not estimable; HR = hazard ratio; CI = confidence interval; CR = complete response; PR = partial response

<sup>a</sup> IRC evaluated.

A smaller proportion of patients treated with IMBRUVICA<sup>®</sup> experienced a clinically meaningful worsening of lymphoma symptoms versus temsirolimus (27% versus 52%) and time to worsening of symptoms occurred more slowly with IMBRUVICA<sup>®</sup> versus temsirolimus (HR 0.27, p<0.0001).

**Figure 2: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study MCL3001**



### ***Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma***

The safety and efficacy of IMBRUVICA® in patients with CLL/SLL were demonstrated in one uncontrolled study and five randomized, controlled studies.

### **Patients with treatment naïve CLL/SLL**

#### ***Single Agent***

#### ***Study PCYC-1115-CA***

A randomized, multicenter, open-label phase 3 study of IMBRUVICA® versus chlorambucil was conducted in patients with treatment-naïve CLL/SLL who were 65 years of age or older. Patients (n=269) were randomized 1:1 to receive either IMBRUVICA® 420 mg daily until disease progression or unacceptable toxicity, or chlorambucil at a starting dose of 0.5 mg/kg on Days 1 and 15 of each 28-day cycle for a maximum of 12 cycles, with an allowance for inpatient dose increases up to 0.8 mg/kg based on tolerability. After confirmed disease progression, patients on chlorambucil were able to crossover to ibrutinib.

The median age was 73 years (range, 65 to 90 years), 63% were male, and 91% were Caucasian. Ninety-one percent of patients had a baseline Eastern Cooperative Oncology Group (ECOG) performance status of 0 or 1 and 9% had an ECOG performance status of 2. The study enrolled

269 patients with CLL or SLL. At baseline, 45% had advanced clinical stage (Rai Stage III or IV), 35% of patients had at least one tumor  $\geq 5$  cm, 39% with baseline anemia, 23% with baseline thrombocytopenia, 65% had elevated  $\beta 2$  microglobulin  $> 3500$  mcg/L, 47% had a CrCL  $< 60$  mL/min, 20% of patients presented with del 11q, 6% of patients presented with del 17p/tumor protein 53 (TP53) mutation, and 44% of patients presented with unmutated immunoglobulin heavy chain variable region (IGHV).

Progression-free survival (PFS) as assessed by IRC according to IWCLL criteria indicated an 84% statistically significant reduction in the risk of death or progression in the IMBRUVICA<sup>®</sup> arm. With a median follow-up of 18.4 months, the median PFS was not reached in the ibrutinib arm and was 18.9 months in the chlorambucil arm. Significant improvement in ORR was observed in the ibrutinib arm (82.4%) versus the chlorambucil arm (35%). The results from investigator and IRC assessments for PFS and ORR were consistent. Analysis of overall survival (OS) also demonstrated an 84% statistically significant reduction in the risk of death for patients in the IMBRUVICA<sup>®</sup> arm. Efficacy results for Study PCYC-1115-CA are shown in Table 14 and the Kaplan-Meier curves for PFS and OS are shown in Figures 3 and 4, respectively.

There was a statistically significant sustained platelet or hemoglobin improvement in the ITT population in favor of ibrutinib vs. chlorambucil. In patients with baseline cytopenias, sustained hematologic improvement was: platelets 77% versus 43%; hemoglobin 84% versus 45% for ibrutinib and chlorambucil respectively.

**Table 14: Efficacy results in Study PCYC-1115-CA**

Endpoint	IMBRUVICA® N=136	Chlorambucil N=133
Progression-Free Survival <sup>a</sup>		
Number of events (%)	15 (11.0)	64 (48.1)
Median (95% CI), months	Not reached	18.9 (14.1, 22.0)
HR <sup>b</sup> (95% CI)	0.161 (0.091, 0.283)	
Overall Response Rate <sup>a</sup> (CR +PR)	82.4%	35.3%
p-value	<0.0001	
Overall Survival <sup>b</sup>		
Number of deaths (%)	3(2.2)	17 (12.8)
HR (95% CI)	0.163 (0.048, 0.558)	

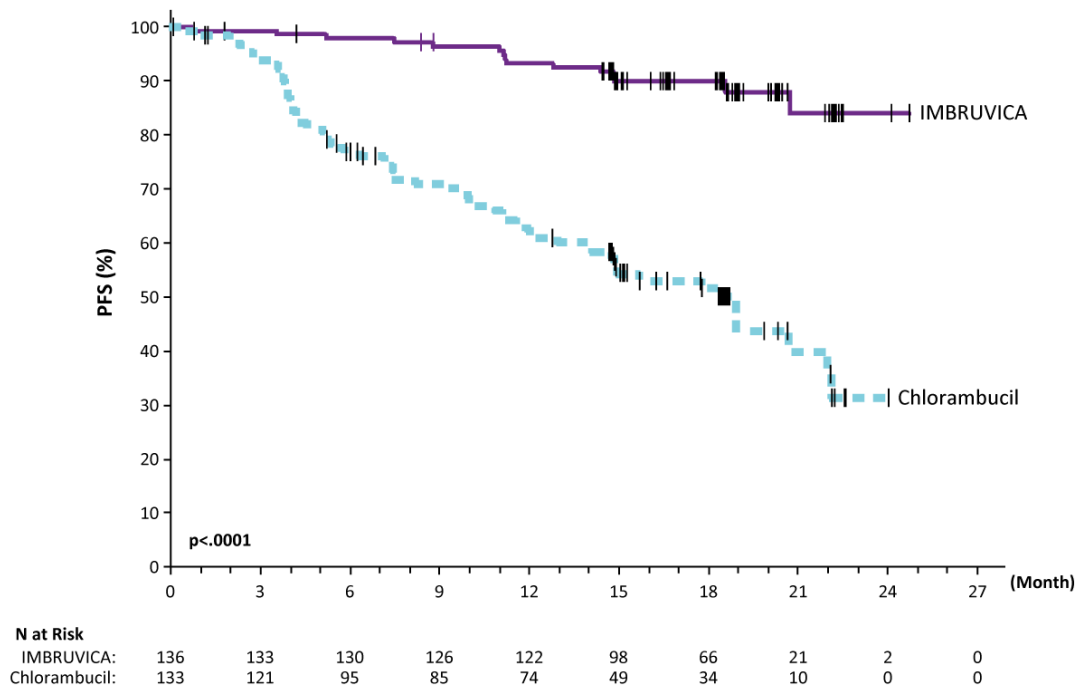
CI = confidence interval; HR = hazard ratio; CR = complete response; PR = partial response

<sup>a</sup> IRC evaluated.

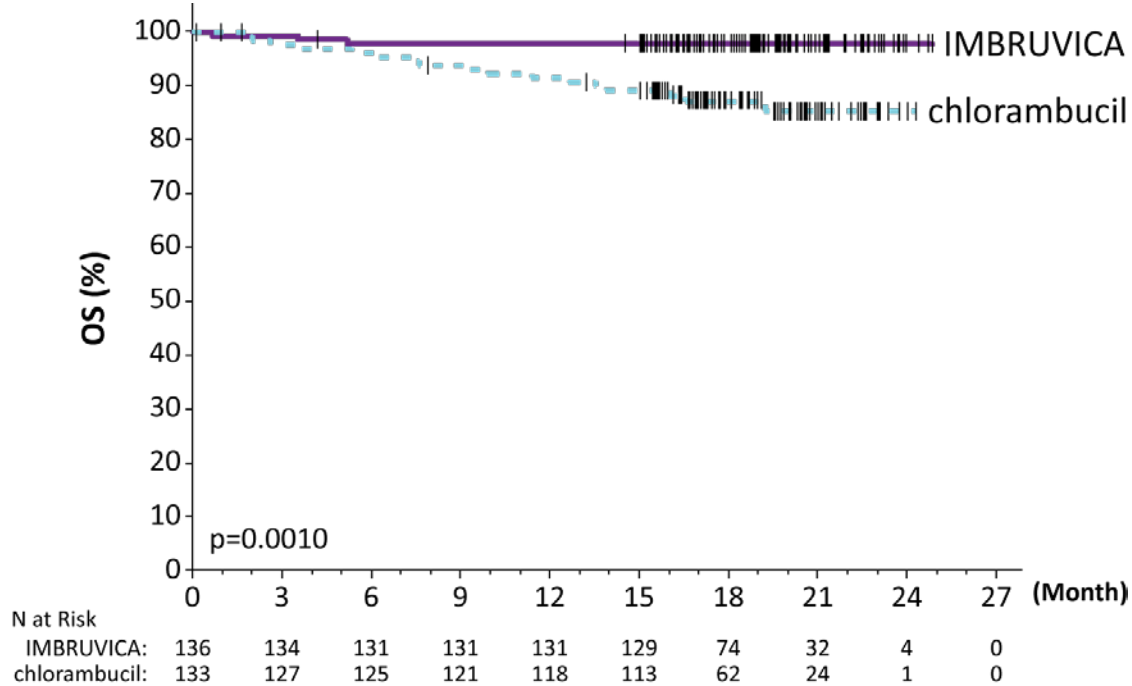
<sup>b</sup> Median OS not reached for both arms.

p < 0.005 for OS.

**Figure 3: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study PCYC-1115-CA**



**Figure 4: Kaplan-Meier Curve of Overall Survival (ITT Population) in Study PCYC-1115-CA**



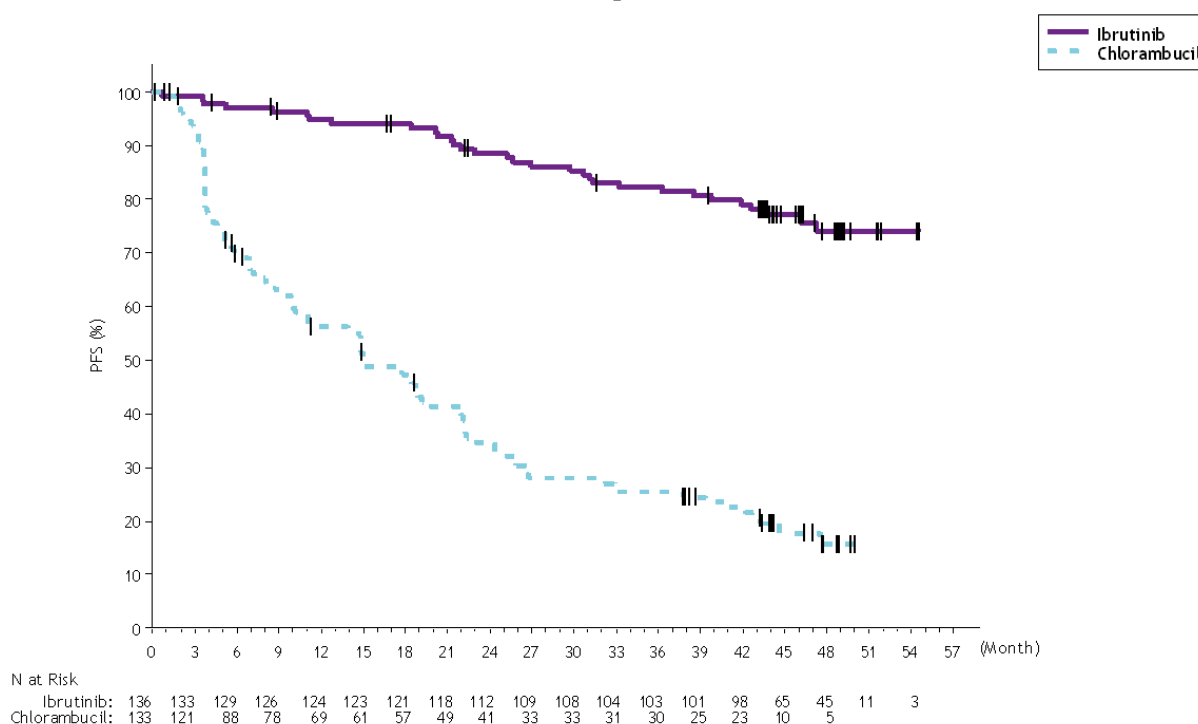
***Overall follow-up of 55 months (median of 48 months)***

With an overall follow-up of 55 months (median of 48 months) in Study PCYC-1115-CA and its extension study, an 86% reduction in the risk of death or progression by investigator assessment

was observed for patients in the IMBRUVICA® arm. The median investigator-assessed PFS was not reached in the IMBRUVICA® arm and was 15 months [95% CI (10.22, 19.35)] in the chlorambucil arm; (HR = 0.14 [95% CI (0.09, 0.21)]). The 4-year PFS estimate was 73.9% in the IMBRUVICA® arm and 15.5% in the chlorambucil arm, respectively. The updated Kaplan-Meier curve for PFS is shown in Figure 5. The investigator-assessed ORR was 91.2% in the IMBRUVICA® arm versus 36.8% in the chlorambucil arm. The CR rate according to IWCLL criteria was 16.2% in the IMBRUVICA® arm versus 3.0% in the chlorambucil arm. At the time of long-term follow-up, a total of 73 subjects (54.9%) originally randomized to the chlorambucil arm subsequently received ibrutinib as cross-over treatment. The Kaplan-Meier landmark estimate for OS at 48-months was 85.5% in the IMBRUVICA® arm.

The treatment effect of ibrutinib in Study PCYC-1115-CA was consistent across high-risk patients with del 17p/TP53 mutation, del 11q, and/or unmutated IGHV.

**Figure 5: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) by Investigator in Study PCYC-1115-CA with 55 Months Follow-up**



## Combination therapy

### Study PCYC-1130-CA

A randomized, multi-center, open-label, phase 3 study of IMBRUVICA® in combination with obinutuzumab versus chlorambucil in combination with obinutuzumab was conducted in patients with treatment naïve CLL/SLL. The study enrolled patients who were 65 years of age or older or < 65 years of age with coexisting medical conditions, reduced renal function as measured by creatinine clearance <70 mL/min, or presence of del 17p/TP53 mutation. Patients (n=229) were randomized 1:1 to receive either IMBRUVICA® 420 mg daily until disease progression or

unacceptable toxicity or chlorambucil at a dose of 0.5 mg/kg on Days 1 and 15 of each 28-day cycle for 6 cycles. In both arms, patients received 1000 mg of obinutuzumab on Days 1, 8 and 15 of the first cycle, followed by treatment on the first day of 5 subsequent cycles (total of 6 cycles, 28 days each). The first dose of obinutuzumab was divided between day 1 (100 mg) and day 2 (900 mg).

The median age was 71 years (range, 40 to 87 years), 64% were male, and 96% were Caucasian. All patients had a baseline ECOG performance status of 0 (48%) or 1-2 (52%). At baseline, 52% had advanced clinical stage (Rai Stage III or IV), 32% of patients had bulky disease ( $\geq 5$  cm), 44% with baseline anemia, 22% with baseline thrombocytopenia, 28% had a CrCL  $< 60$  mL/min, and the median Cumulative Illness Rating Score for Geriatrics (CIRS-G) was 4 (range, 0 to 12). At baseline, 65% of patients presented with CLL/SLL with high risk factors (del 17p/TP53 mutation [18%], del 11q [15%], or unmutated IGHV [54%]).

Progression-free survival (PFS) as assessed by IRC according to IWCLL criteria indicated a 77% statistically significant reduction in the risk of death or progression in the IMBRUVICA<sup>®</sup> arm. With a median follow-up time on study of 31 months, the median PFS was not reached in the IMBRUVICA<sup>®</sup> + obinutuzumab arm and was 19 months in the chlorambucil + obinutuzumab arm. The results from investigator and IRC assessments for PFS and ORR were consistent. Efficacy results for Study PCYC-1130-CA are shown in Table 15 and the Kaplan-Meier curve for PFS is shown in Figure 6.

**Table 15: Efficacy results in Study PCYC-1130-CA**

Endpoint	IMBRUVICA <sup>®</sup> + Obinutuzumab N=113	Chlorambucil + Obinutuzumab N=116
<b>Progression Free Survival<sup>a</sup></b>		
Number of events (%)	24 (21.2)	74 (63.8)
Median (95% CI), months	Not reached	19.0 (15.1, 22.1)
HR (95% CI)	0.23 (0.15, 0.37)	
<b>Overall Response Rate<sup>a</sup> (%)</b>	88.5	73.3
CR <sup>b</sup>	19.5	7.8
PR <sup>c</sup>	69.0	65.5

CI = confidence interval; HR = hazard ratio; CR = complete response; PR = partial response.

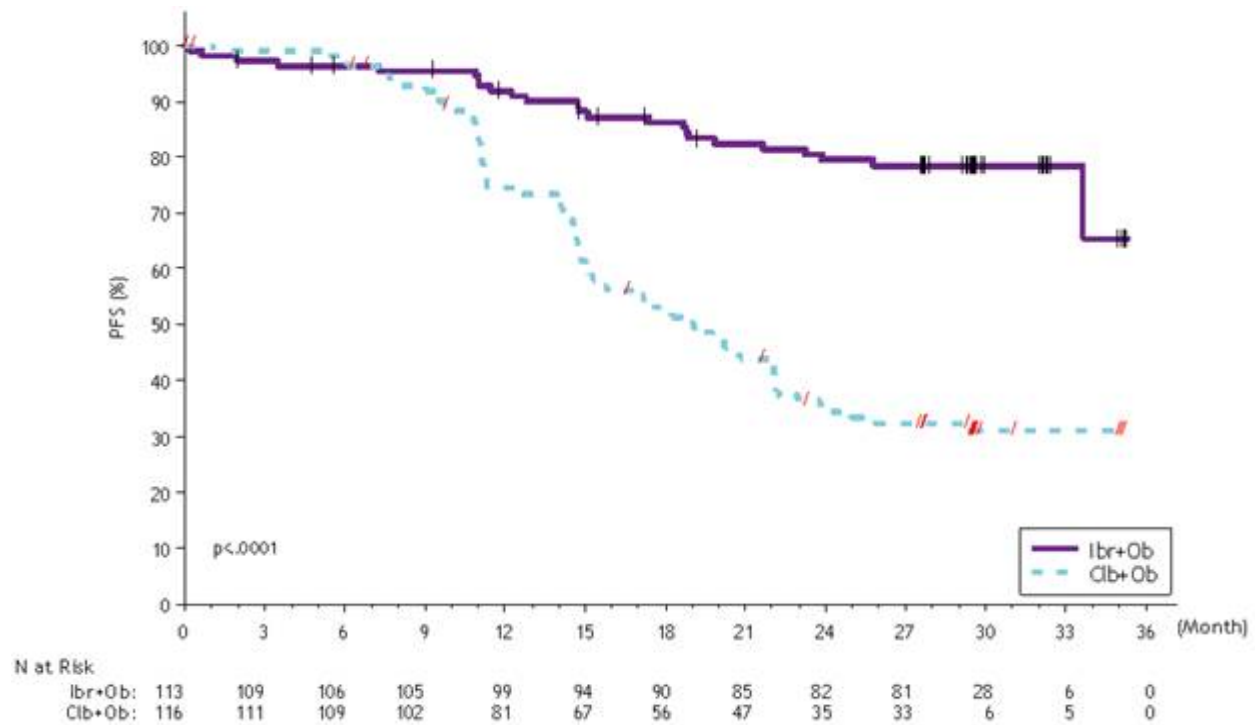
<sup>a</sup> IRC evaluated.

<sup>b</sup> Includes 1 patient in the IMBRUVICA<sup>®</sup> + obinutuzumab arm with a complete response with incomplete marrow recovery (CRi).

<sup>c</sup> PR = PR + nPR.



**Figure 6: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study PCYC-1130-CA**



The treatment effect of ibrutinib was consistent across the high-risk CLL/SLL population (del 17p/TP53 mutation, del 11q, or unmutated IGHV), with a PFS HR of 0.15 [95% CI (0.09, 0.27)], as shown in Table 16. The 2-year PFS rate estimates for the high-risk CLL/SLL population were 78.8% [95% CI (67.3, 86.7)] and 15.5% [95% CI (8.1, 25.2)] in the IMBRUVICA® + obinutuzumab and chlorambucil + obinutuzumab arms, respectively.

**Table 16: Subgroup Analysis of PFS (Study PCYC-1130-CA)**

	N	Hazard Ratio	95% CI
All subjects	229	0.231	0.145, 0.367
High risk (del17p/TP53/del11q/unmutated IGHV)			
Yes	148	0.154	0.087, 0.270
No	81	0.521	0.221, 1.231
Del17p/TP53			
Yes	41	0.109	0.031, 0.380
No	188	0.275	0.166, 0.455
FISH			
Del17p	32	0.141	0.039, 0.506
Del11q	35	0.131	0.030, 0.573
Others	162	0.302	0.176, 0.520

Unmutated IGHV			
Yes	123	0.150	0.084, 0.269
No	91	0.300	0.120, 0.749
Age			
< 65	46	0.293	0.122, 0.705
≥ 65	183	0.215	0.125, 0.372
Bulky disease			
< 5 cm	154	0.289	0.161, 0.521
≥ 5 cm	74	0.184	0.085, 0.398
Rai stage			
0/I/II	110	0.221	0.115, 0.424
III/IV	119	0.246	0.127, 0.477
ECOG per CRF			
0	110	0.226	0.110, 0.464
1-2	119	0.239	0.130, 0.438

Hazard ratio based on non-stratified analysis

Any grade infusion-related reactions were observed in 25% of patients treated with IMBRUVICA® + obinutuzumab and 58% of patients treated with chlorambucil + obinutuzumab. Grade 3 or higher or serious infusion-related reactions were observed in 3% of patients treated with IMBRUVICA® + obinutuzumab and 9% of patients treated with chlorambucil + obinutuzumab.

### Study E1912

A randomized, multicenter, open-label, safety and efficacy, phase 3 study of IMBRUVICA® in combination with rituximab versus standard fludarabine, cyclophosphamide, and rituximab [FCR] chemoimmunotherapy was conducted in patients with treatment naïve CLL/SLL who were 70 years or younger. Patients with del 17p were excluded from the study. Patients (n=529) were randomized 2:1 to receive either IR or FCR. IMBRUVICA® was administered at 420 mg daily until disease progression or unacceptable toxicity. Fludarabine was administered at a dose of 25 mg/m<sup>2</sup>, and cyclophosphamide was administered at a dose of 250 mg/m<sup>2</sup>, both on Days 1, 2, and 3 of Cycles 1-6. Rituximab was initiated in Cycle 2 for the IR arm and in Cycle 1 for the FCR arm and was administered at 50 mg/m<sup>2</sup> on Day 1 of the first cycle, 325 mg/m<sup>2</sup> on Day 2 of the first cycle, and 500 mg/m<sup>2</sup> on Day 1 of 5 subsequent cycles, for a total of 6 cycles. Each cycle was 28 days.

The median age was 58 years (range, 28 to 70 years), 67% were male, and 90% were Caucasian. All patients had a baseline ECOG performance status of 0-1 (98%) or 2 (2%). At baseline, 43% of patients presented with Rai stage III or IV, and 59% of patients presented with CLL/SLL with high risk factors (TP53 mutation [6%], del11q [22%], or unmutated IGHV [53%]).

With a median follow-up time on study of 37 months, efficacy results for E1912 are shown in Table 17. The Kaplan-Meier curves for PFS, assessed according to IWCLL criteria, and OS are shown in Figures 7 and 8, respectively.

**Table 17: Efficacy results in Study E1912**

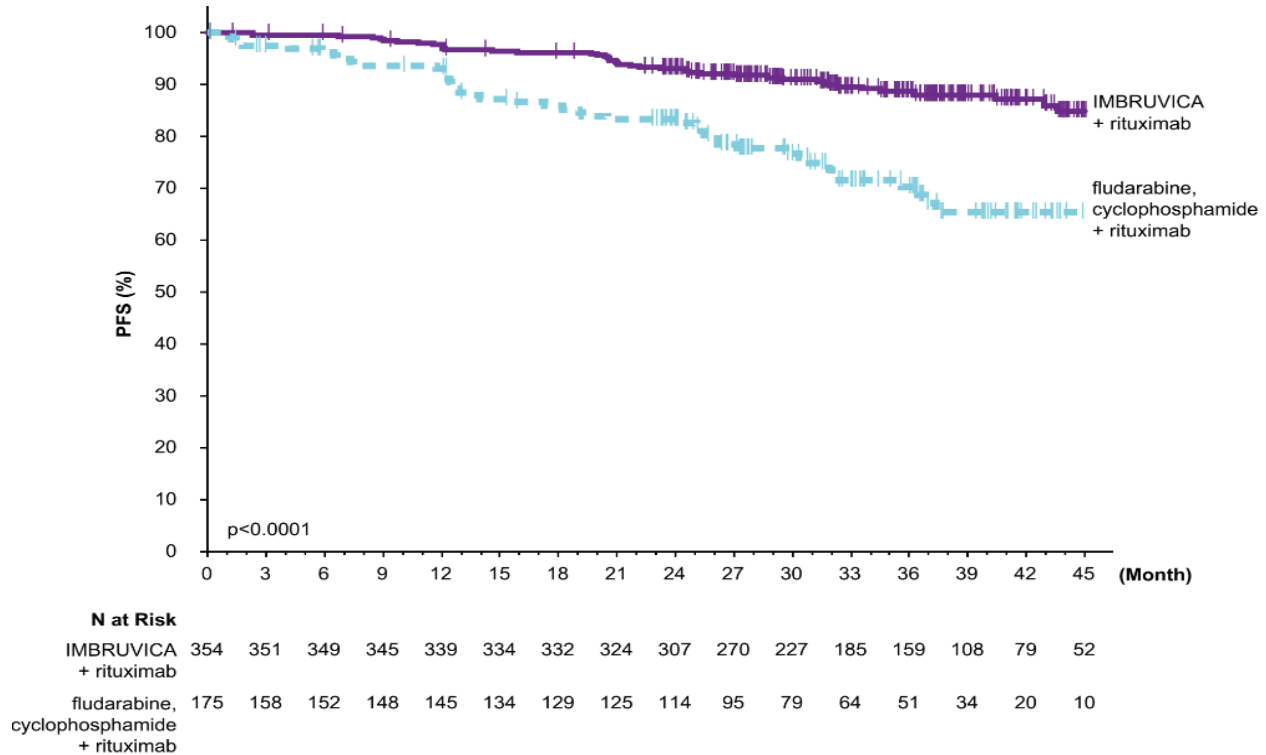
Endpoint	Ibrutinib+ rituximab (IR) N=354	Fludarabine, Cyclophosphamide, and Rituximab (FCR) N=175
Progression Free Survival		
Number of events (%)	41 (12)	44 (25)
Disease progression	39	38
Death events	2	6
Median (95% CI), months	NE (49.4, NE)	NE (47.1, NE)
HR (95% CI)	0.34 (0.22, 0.52)	
P-value <sup>a</sup>	<0.0001	
Overall Survival		
Number of deaths (%)	4 (1)	10 (6)
HR (95% CI)	0.17 (0.05, 0.54)	
P-value <sup>a</sup>	0.0007	
Overall Response Rate <sup>b</sup> (%)	96.9	85.7

<sup>a</sup> P-value is from unstratified log-rank test.

<sup>b</sup> Investigator evaluated.

HR = hazard ratio; NE = not evaluable

**Figure 7: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Patients with CLL/SLL in E1912**



The treatment effect of ibrutinib was consistent across the high-risk CLL/SLL population (del17p/TP53 mutation, del11q, or unmutated IGHV), with a PFS HR of 0.23 [95% CI (0.13, 0.40)],  $p < 0.0001$ , as shown in Table 18. The 3-year PFS rate estimates for the high-risk CLL/SLL population were 90.4% [95% CI (85.4, 93.7)] and 60.3% [95% CI (46.2, 71.8)] in the IR and FCR arms, respectively.

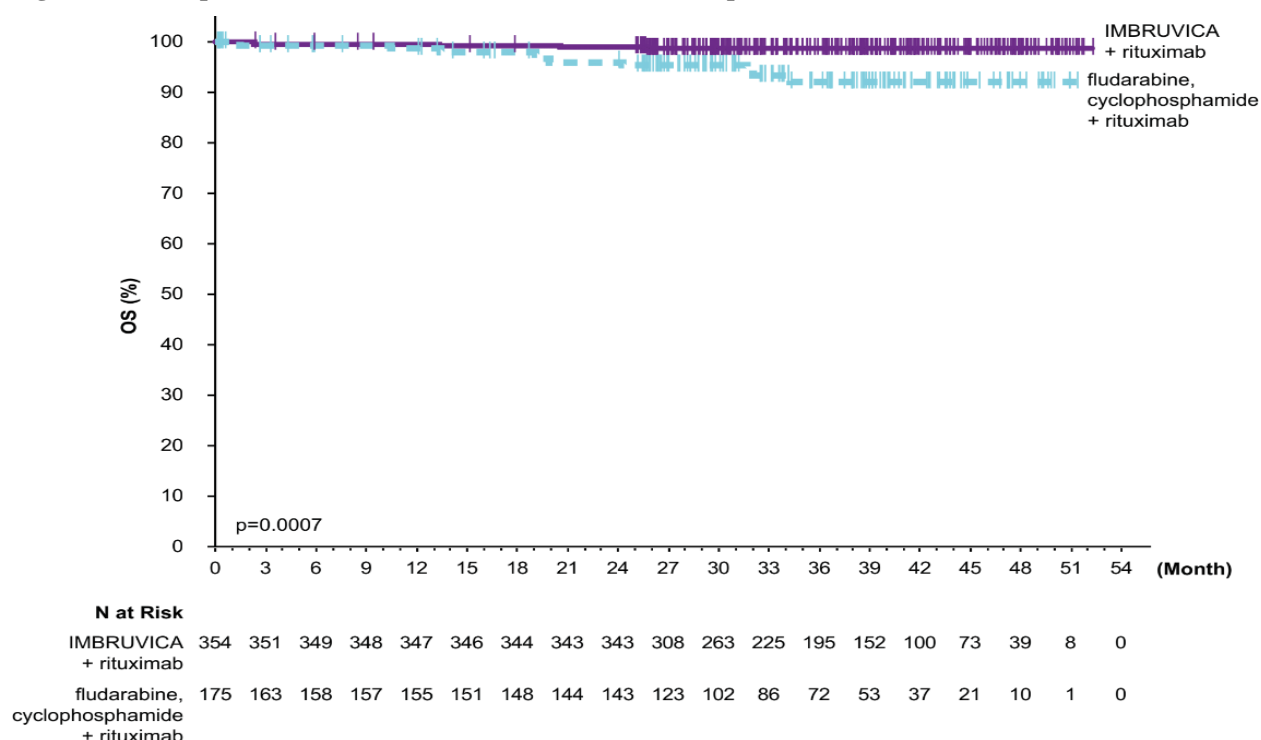
**Table 18: Subgroup Analysis of PFS (Study E1912)**

	N	Hazard Ratio	95% CI
All subjects	529	0.340	0.222, 0.522
<b>High risk (TP53/del11q/unmutated IGHV)</b>			
Yes	313	0.231	0.132, 0.404
No	216	0.568	0.292, 1.105
<b>del11q</b>			
Yes	117	0.199	0.088, 0.453
No	410	0.433	0.260, 0.722
<b>Unmutated IGHV</b>			
Yes	281	0.233	0.129, 0.421
No	112	0.741	0.276, 1.993
<b>Bulky disease</b>			
<5 cm	316	0.393	0.217, 0.711
≥5 cm	194	0.257	0.134, 0.494
<b>Rai stage</b>			
0/I/II	301	0.398	0.224, 0.708
III/IV	228	0.281	0.148, 0.534

ECOG			
0	335	0.242	0.138, 0.422
1-2	194	0.551	0.271, 1.118

Hazard ratio based on non-stratified analysis

**Figure 8: Kaplan-Meier Curve of Overall Survival (ITT Population) in Patients with CLL/SLL in E1912**



## **Patients with CLL/SLL who received at least one prior therapy**

### ***Single agent***

#### ***PCYC-1102-CA***

An open-label, multi-center study was conducted in 51 patients with previously treated CLL/SLL who received 420 mg once daily. IMBRUVICA® was administered until disease progression or unacceptable toxicity. The median age was 68 (range, 37 to 82 years), median time since diagnosis was 80 months, and median number of prior treatments was 4 (range, 1 to 12 treatments), including 92% with a prior nucleoside analog, 98% with prior rituximab, 86% with a prior alkylator, 39% with prior bendamustine and 20% with prior ofatumumab. At baseline, 39% of patients had Rai Stage IV, 45% had bulky disease ( $\geq 5$  cm), 35% were del 17p positive, 31% were del 11q positive.

ORR was investigator-assessed according to the 2008 International Workshop on CLL (IWCLL) criteria. At a median duration of follow up of 16 months, responses to IMBRUVICA® for the 51 patients are shown in Table 19.

**Table 19: Overall Response Rate in Patients with Chronic Lymphocytic Leukemia treated with 420 mg IMBRUVICA® - Study PCYC-1102-CA (N=51)**

ORR (CR+PR) (95% CI) (%)	78.4 (64.7, 88.7)
CR (%)	3.9
PR (%)	74.5
ORR including Partial Response with Lymphocytosis (PRL) (%)	92.2
Median DOR (CR+PR)	NR <sup>1</sup>
Median Time to Initial Response, months (range)	1.8 (1.4, 12.2)

CI = confidence interval; CR = complete response; PR = partial response

<sup>1</sup> 92.5% of responders were censored (i.e., progression free and alive) with a median follow up of 16.4 months.

NR: not reached

The efficacy data were further evaluated using IWCLL criteria by an IRC, demonstrating an ORR of 65% (95% CI: 50%, 78%), all partial responses. The DOR ranged from 4 to 24+ months. The median DOR was not reached.

### **PCYC-1112-CA**

A randomized, multi-center, open-label phase 3 study of IMBRUVICA® versus ofatumumab was conducted in patients with previously treated CLL/SLL. Patients (n=391) were randomized 1:1 to receive either IMBRUVICA® 420 mg daily until disease progression or unacceptable toxicity, or ofatumumab for up to 12 doses (300/2000mg). Fifty-seven patients randomized to ofatumumab crossed over following progression to receive IMBRUVICA®. The median age was 67 years (range, 30 to 88 years), 68% were male, and 90% were Caucasian. All patients had a baseline ECOG performance status of 0 or 1. The median time since diagnosis was 91 months and the median number of prior treatments was 2 (range, 1 to 13 treatments). At baseline, 58% of patients had at least one tumor  $\geq 5$  cm. Thirty-two percent of patients had deletion 17p (with 50% of patients having deletion 17p/TP53 mutation), and 24% had 11q deletion, and 47% of patients had unmutated IGHV.

Progression free survival (PFS) as assessed by independent review committee (IRC) according to IWCLL criteria indicated a 78% statistically significant reduction in the risk of death or progression for patients in the IMBRUVICA® arm. The results from investigator and IRC assessments for PFS were consistent. Analysis of OS demonstrated a 57% statistically significant reduction in the risk of death for patients in the IMBRUVICA® arm. Efficacy results for Study PCYC-1112-CA are shown in Table 20.

**Table 20: Efficacy results in patients with Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma (Study PCYC-1112-CA)**

Lymphoma (Study FORT-112-CA)		
Endpoint	IMBRUVICA® N=195	Ofatumumab N=196
Progression-Free Survival		
Median Progression Free Survival, months	Not reached	8.1
HR (95% CI)	0.215 (0.146; 0.317)	
Overall Survival <sup>a</sup>		
HR (95% CI)	0.434 (0.238; 0.789) <sup>b</sup>	
HR (95% CI)	0.387 (0.216; 0.695) <sup>c</sup>	
Overall Response Rate <sup>d,e</sup> (%)	42.6	4.1

<b>Overall Response Rate including Partial Response with Lymphocytosis (PRL)<sup>d</sup> (%)</b>	62.6	4.1
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HR = hazard ratio; CI = confidence interval; PR = partial response

<sup>a</sup> Median OS not reached for both arms.

<sup>b</sup> Patients randomized to ofatumumab who progressed were censored when starting ibrutinib if applicable.

<sup>c</sup> Sensitivity analysis in which crossover patients from the ofatumumab arm were not censored at the date of first dose of IMBRUVICA®.

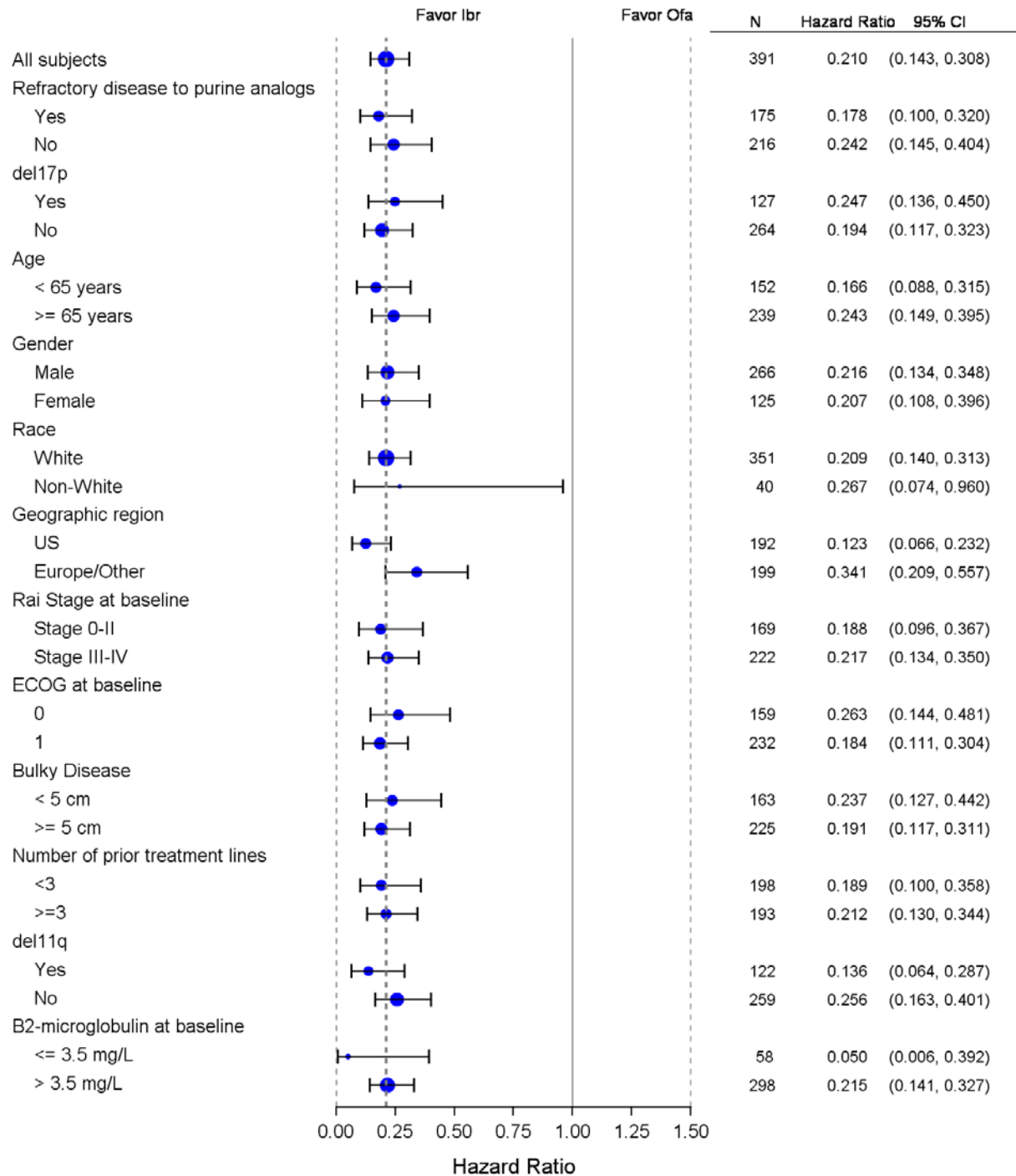
<sup>d</sup> Per IRC. Repeat CT scans required to confirm response.

<sup>e</sup> All PRs achieved.  $p < 0.0001$  for ORR.

Median follow up time on study = 9 months

The efficacy was similar across all of the subgroups examined, including in patients with and without deletion 17p, a pre-specified stratification factor (Figure 9).

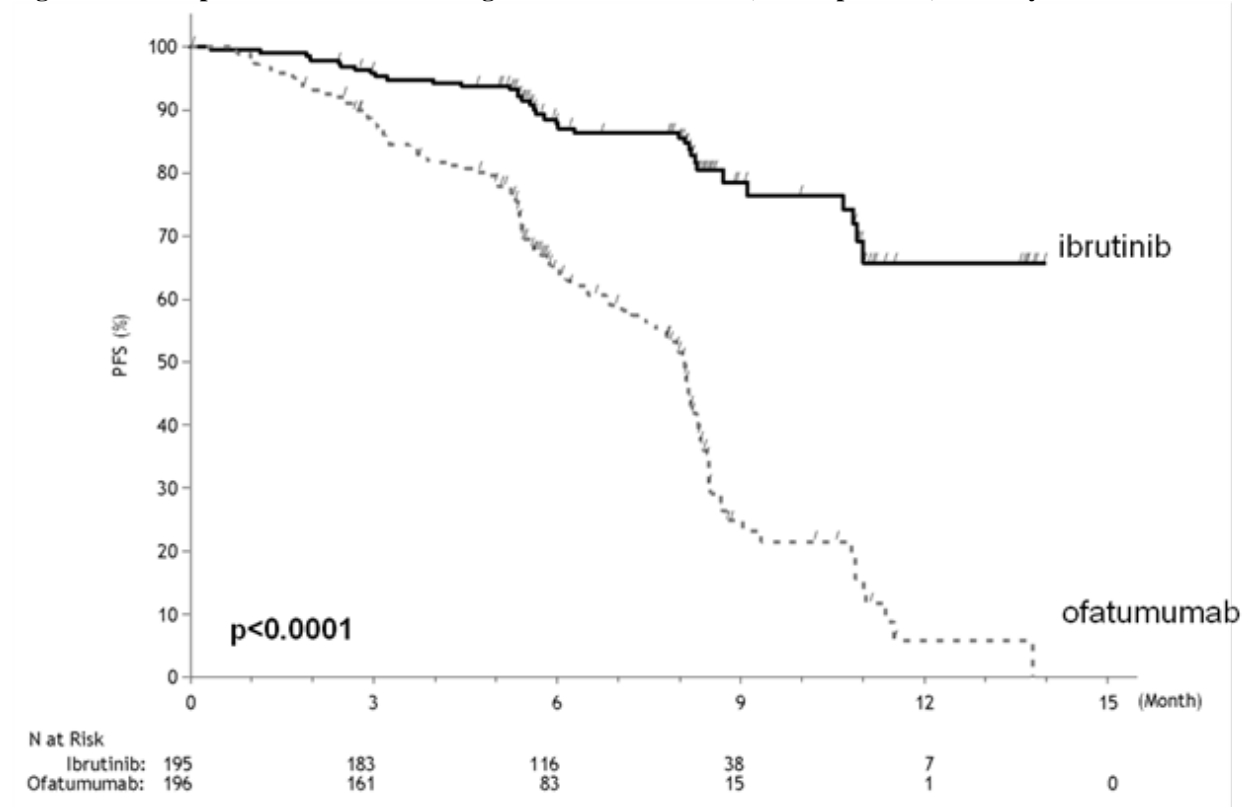
**Figure 9: Subgroup Analysis of Progression Free Survival by IRC (Study PCYC-1112; 420 mg)**



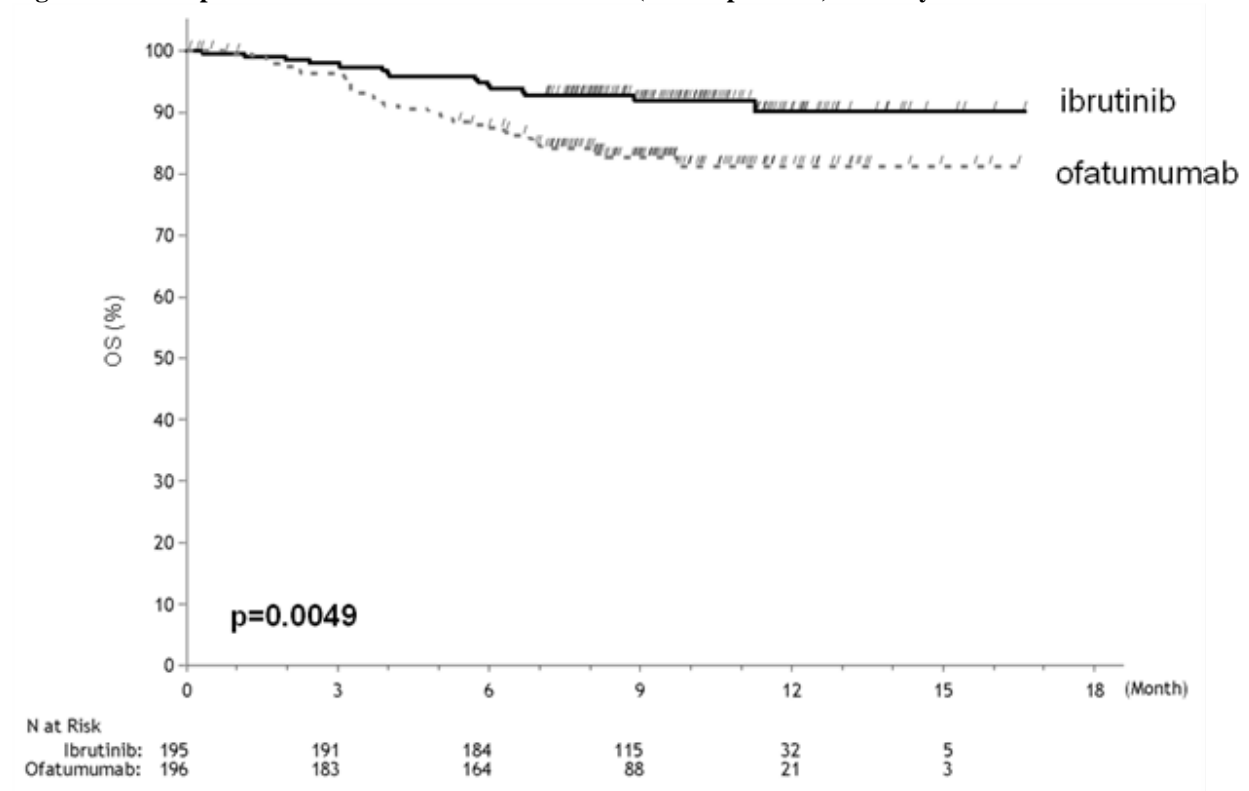
The Kaplan-Meier curves for PFS and OS are shown in Figures 10 and 11, respectively.



**Figure 10: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study PCYC-1112-CA**



**Figure 11: Kaplan-Meier Curve of Overall Survival (ITT Population) in Study PCYC-1112-CA**

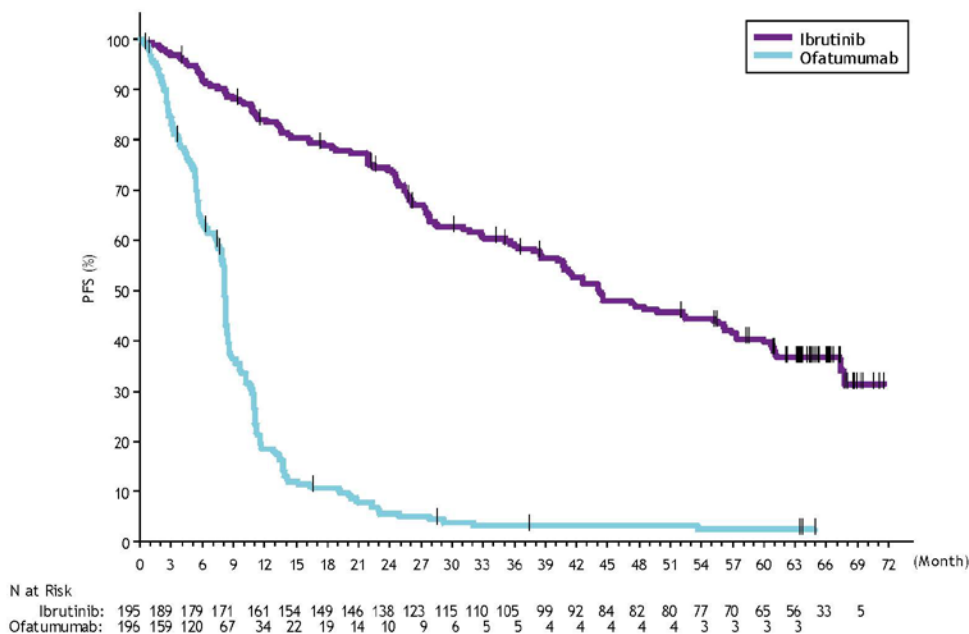


### ***Final Analysis at 65-month Follow-up***

With median follow-up time on study of 65 months in Study PCYC-1112-CA, an 85% reduction in the risk of death or progression by investigator assessment was observed for patients in the IMBRUVICA<sup>®</sup> arm. The median investigator-assessed PFS according to IWCLL criteria was 44.1 months [95% CI (38.47, 56.18)] in the IMBRUVICA<sup>®</sup> arm and 8.1 months [95% CI (7.79, 8.25)] in the ofatumumab arm, respectively; HR = 0.15 [95% CI (0.11, 0.20)]. The updated Kaplan-Meier curve for PFS is shown in Figure 12. The investigator-assessed ORR in the IMBRUVICA<sup>®</sup> arm was 87.7% versus 22.4% in the ofatumumab arm. At the time of final analysis, 133 (67.9%) of the 196 subjects originally randomized to the ofatumumab treatment arm had crossed over to ibrutinib treatment. The median investigator-assessed PFS2 (time from randomization until PFS event after first subsequent anti-neoplastic therapy) according to IWCLL criteria was 65.4 months [95% CI (51.61, not estimable)] in the IMBRUVICA<sup>®</sup> arm and 38.5 months [95% CI (19.98, 47.24)] in the ofatumumab arm, respectively; HR=0.54 [95% CI (0.41, 0.71)]. The median OS was 67.7 months [95% CI (61.0, not estimable)] in the IMBRUVICA<sup>®</sup> arm.

The treatment effect of ibrutinib in Study PCYC-1112-CA was consistent across high-risk patients with del 17p/TP53 mutation, del 11q, and/or unmutated IGHV.

**Figure 12: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) by Investigator in Study PCYC-1112-CA at Final Analysis with 65 Months Follow-up**



### ***CLL/SLL with deletion 17p***

Study PCYC-1112-CA included 127 patients with CLL/SLL with deletion 17p. The median age was 67 years (range, 30 to 84 years), 62% were male, and 88% were Caucasian. All patients had

a baseline ECOG performance status of 0 or 1. PFS and ORR were assessed by IRC. Efficacy results for CLL/SLL with deletion 17p are shown in Table 21.

**Table 21: Efficacy results in patients with CLL/SLL with deletion 17p**

Endpoint	IMBRUVICA® N = 63	Ofatumumab N = 64
<b>Progression-Free Survival</b>		
Median Progression Free Survival, months	Not reached	5.8
HR (95% CI)	0.25 (0.14; 0.45)	
<b>Overall Response Rate<sup>a</sup></b>	47.6%	4.7%
<b>Overall Response Rate including PRL</b>	66.7%	4.7%

<sup>a</sup> IRC evaluated. All partial responses achieved; none of the patients achieved a complete response.  
HR = hazard ratio; CI = confidence interval; PRL = partial response with lymphocytosis

### ***Overall follow-up of 63 months (median of 56 months)***

With an overall follow-up of 63 months (median of 56 months) in Study PCYC-1112-CA, the median investigator-assessed PFS in patients with del 17p according to IWCLL criteria was 40.6 months [95% CI (25.36, 44.55)] in the IMBRUVICA® arm and 6.2 months [95% CI (4.63, 8.11)] in the ofatumumab arm, respectively; HR = 0.12, ([95% CI (0.07, 0.21)]. The investigator-assessed ORR in patients with del 17p in the IMBRUVICA® arm was 88.9% versus 18.8% in the ofatumumab arm.

### ***Combination therapy***

#### ***Study CLL3001***

The safety and efficacy of IMBRUVICA® in patients previously treated for CLL/SLL were further evaluated in a randomized, multicenter, double-blinded phase 3 study of IMBRUVICA® in combination with BR versus placebo + BR. Patients (n=578) were randomized 1:1 to receive either IMBRUVICA® 420 mg daily or placebo in combination with BR until disease progression, or unacceptable toxicity. All patients received BR for a maximum of six 28-day cycles. Bendamustine was dosed at 70 mg/m<sup>2</sup> infused IV over 30 minutes on Cycle 1, Days 2 and 3, and on Cycles 2-6, Days 1 and 2 for up to 6 cycles. Rituximab was administered at a dose of 375 mg/m<sup>2</sup> in the first cycle, Day 1, and 500 mg/m<sup>2</sup> Cycles 2 through 6, Day 1. Ninety patients randomized to placebo + BR crossed over to receive IMBRUVICA® following IRC confirmed progression. The median age was 64 years (range, 31 to 86 years), 66% were male, and 91% were Caucasian. All patients had a baseline ECOG performance status of 0 or 1. The median time since diagnosis was 5.9 years and the median number of prior treatments was 2 (range, 1 to 11 treatments). At baseline, 56% of patients had at least one tumor ≥5 cm, 26% presented with del 11q, and 72% had unmutated IGHV.

Progression free survival (PFS) as assessed by IRC according to IWCLL criteria indicated an 80% statistically significant reduction in the risk of death or progression. Efficacy results for Study CLL3001 are shown in Table 22 and the Kaplan-Meier curves for PFS is shown in Figure 13.

**Table 22: Efficacy results in Study CLL3001**

Endpoint	IMBRUVICA® + BR N=289	Placebo + BR N=289
<b>Progression Free Survival<sup>a</sup></b>		
Number of events (%)	56 (19.4)	183 (63.3)
Median (95% CI), months	Not reached	13.3 (11.3, 13.9)
HR (95% CI)	0.20 (0.15, 0.28)	
<b>Overall Response Rate<sup>b</sup> (%)</b>	82.7	67.8
<b>CR/CRi</b>	10.4	2.8
<b>Overall Survival<sup>c</sup></b> HR (95% CI)	0.628 (0.385, 1.024)	
<b>Minimal Residual Disease – negative status<sup>d</sup> (%)</b>	12.8	4.8

CI = confidence interval; HR = hazard ratio; CR = complete response; CRi = complete response with incomplete marrow recovery.

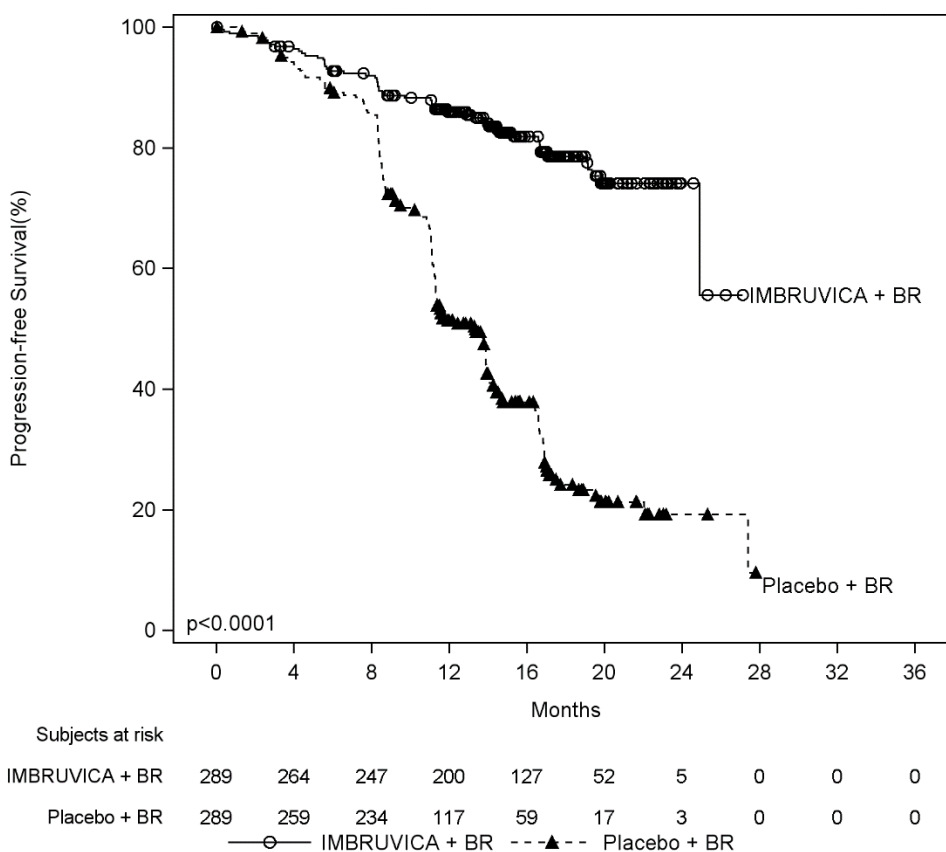
<sup>a</sup> IRC evaluated.

<sup>b</sup> IRC evaluated, ORR (CR, CRi, nodular partial response, partial response).

<sup>c</sup> Median OS not reached for both arms.

<sup>d</sup> MRD was evaluated in patients with suspected complete response; 120 patients for IMBRUVICA®, 57 patients for placebo had MRD samples obtained.

**Figure 13: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study CLL3001**



### ***Waldenström's macroglobulinemia (WM)***

The safety and efficacy of IMBRUVICA® in WM (IgM-excreting lymphoplasmacytic lymphoma) were evaluated in one single-arm and one randomized, controlled study.

#### ***Study PCYC-1118E***

An open-label, multi-center, single-arm trial (PCYC-1118E) was conducted in 63 previously-treated patients. The median age was 63 years (range, 44 to 86 years), 76% were male, and 95% were Caucasian. All patients had a baseline ECOG performance status of 0 or 1. The median time since diagnosis was 74 months, and the median number of prior treatments was 2 (range, 1 to 11 treatments). At baseline, the median serum IgM value was 3.5 g/dL (range, 0.7 to 8.4 g/dL), and 60% of patients were anemic (hemoglobin  $\leq 11$  g/dL).

IMBRUVICA® was administered orally at 420 mg once daily until disease progression or unacceptable toxicity. The primary endpoint in this study was ORR per investigator assessment. The ORR and DOR were assessed using criteria adopted from the Third International Workshop of Waldenström's Macroglobulinemia. Responses to IMBRUVICA® are shown in Table 23.

**Table 23: Overall Response Rate (ORR) and Duration of Response (DOR) Based on Investigator Assessment in Patients with WM in Study PCYC-1118E**

	<b>Total (N=63)</b>
ORR (%)	87.3
95% CI (%)	(76.5, 94.4)
VGPR (%)	14.3
PR (%)	55.6
MR (%)	17.5
Median DOR months (range)	NR (0.03+, 18.8+)

CI = confidence interval; NR = not reached; MR = minor response; PR = partial response; VGPR = very good partial response; ORR = MR+PR+VGPR

Median follow-up time on study = 14.8 months

The median time to response was 1.0 month (range, 0.7 to 13.4 months).

Efficacy results were also assessed by an IRC demonstrating an ORR of 82.5%, with a 11% VGPR rate and a 51% PR rate.

#### ***Study PCYC-1127-CA***

A randomized, multicenter, double-blinded phase 3 study of IMBRUVICA® in combination with rituximab versus placebo in combination with rituximab (PCYC-1127-CA) was conducted in patients with treatment-naïve or previously treated WM. Patients (n=150) were randomized 1:1 to receive either IMBRUVICA® 420 mg daily or placebo in combination with rituximab until disease progression or unacceptable toxicity. Rituximab was administered weekly at a dose of 375 mg/m<sup>2</sup> for 4 consecutive weeks (weeks 1-4) followed by a second course of weekly rituximab for 4 consecutive weeks (weeks 17-20).

The median age was 69 years (range, 36 to 89 years), 66% were male, and 79% were Caucasian. Ninety-three percent of patients had a baseline ECOG performance status of 0 or 1, and 7% of

patients had a baseline ECOG performance status of 2. Forty-five percent of patients were treatment-naïve, and 55% of patients were previously treated. The median time since diagnosis was 52.6 months (treatment-naïve patients = 6.5 months and previously treated patients = 94.3 months). Among previously treated patients, the median number of prior treatments was 2 (range, 1 to 6 treatments). At baseline, the median serum IgM value was 3.2 g/dL (range, 0.6 to 8.3 g/dL), 63% of patients were anemic (hemoglobin  $\leq$  11 g/dL) and MYD88 L265P mutations were present in 77% of patients, absent in 13% of patients, and 9% of patients were not evaluable for mutation status.

Progression free survival (PFS) as assessed by IRC indicated an 80% statistically significant reduction in the risk of death or progression. Efficacy results for Study PCYC-1127-CA are shown in Table 24 and the Kaplan-Meier curve for PFS is shown in Figure 14. PFS hazard ratios for treatment-naïve patients, previously treated patients, and patients with or without MYD88 L265P mutations were consistent with the PFS hazard ratio for the ITT population.

**Table 24: Efficacy results in Study PCYC-1127-CA**

Endpoint	IMBRUVICA® + R N=75	Placebo + R N=75
Progression Free Survival <sup>a</sup>		
Number of events (%)	14 (18.7)	42 (56.0)
Median (95% CI), months	Not reached	20.3 (13.7, 27.6)
HR (95% CI)	0.20 (0.11, 0.38)	
TTnT		
Median (95% CI), months	Not reached	18.1 (11.1, NE)
HR (95% CI)	0.1 (0.04, 0.23)	
Best Overall Response (%)		
CR	2.7	1.3
VGPR	22.7	4.0
PR	46.7	26.7
MR	20.0	14.7
Overall Response Rate (CR, VGPR, PR, MR) <sup>b</sup> (%)	92.0	46.7
Median duration of overall response, months (range)	Not reached (1.9+, 36.4+)	24.8 (1.9, 30.3+)
Response Rate (CR, VGPR, PR) <sup>b</sup> (%)	72.0	32.0
Median duration of response, months (range)	Not reached (1.9+, 36.4+)	21.2 (4.6, 25.8)
Rate of Sustained Hemoglobin Improvement <sup>b, c</sup> (%)	73.3	41.3

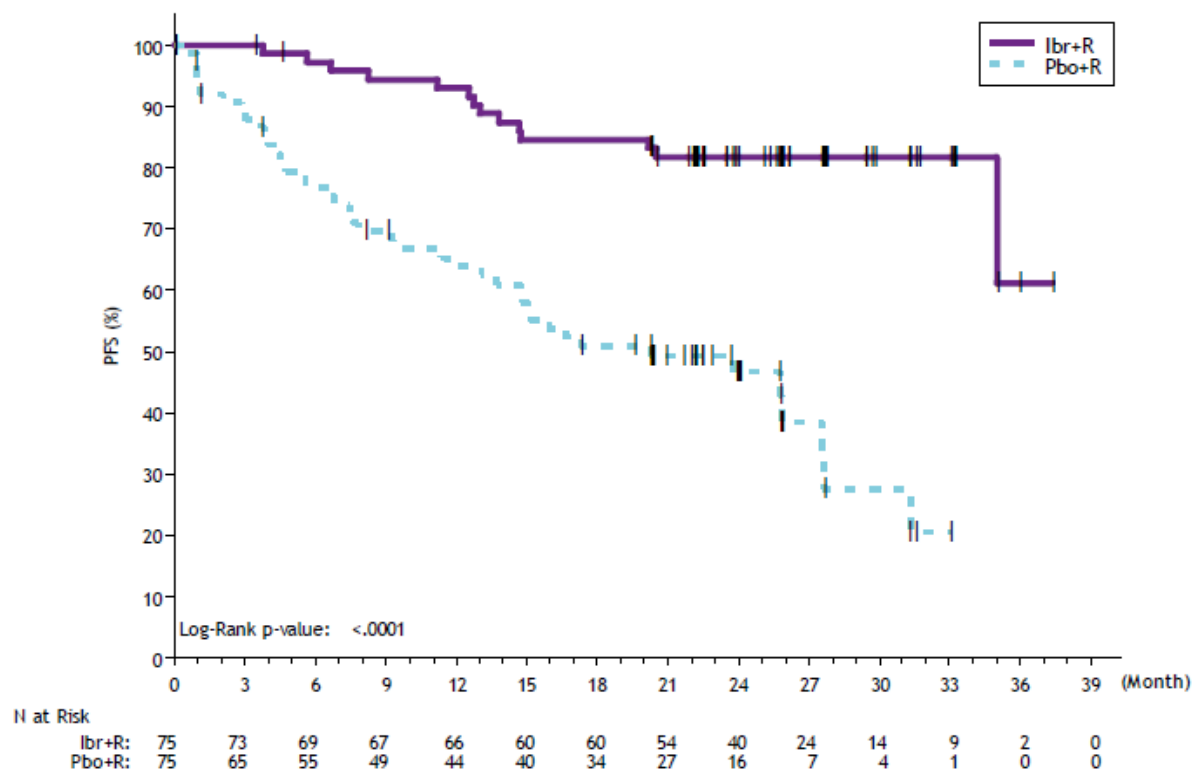
CI = confidence interval; CR = complete response; HR = hazard ratio; MR = minor response; NE = not estimable; PR = partial response; R = Rituximab; TTnT = time to next treatment; VGPR = very good partial response

<sup>a</sup> IRC evaluated.

<sup>b</sup> p-value associated with response rate was <0.0001.

<sup>c</sup> Defined as increase of  $\geq 2$  g/dL over baseline regardless of baseline value, or an increase to  $>11$  g/dL with a  $\geq 0.5$  g/dL improvement if baseline was  $\leq 11$  g/dL.  
Median follow-up time on study = 26.5 months.

**Figure 14: Kaplan-Meier Curve of Progression-Free Survival (ITT Population) in Study PCYC-1127-CA**



Tumor flare in the form of IgM increase occurred in 8.0% of subjects in the IMBRUVICA<sup>®</sup> + rituximab arm and 46.7% of subjects in the placebo + rituximab arm.

#### 63-Month Follow-Up (Final Analysis)

With an overall follow-up of 63 months, efficacy results as assessed by an IRC at the time of the final analysis for PCYC-1127-CA are shown in Table 25. PFS hazard ratios for treatment-naïve patients (0.31 [95% CI (0.14, 0.69)]) and previously treated patients (0.22 [95% CI (0.11, 0.43)]) were consistent with the PFS hazard ratio for the ITT population.

**Table 25: Efficacy results in Study PCYC-1127-CA (Final Analysis\*)**

Endpoint	IMBRUVICA® + R N=75	Placebo + R N=75
Progression Free Survival <sup>a, b</sup>		
Number of events (%)	22 (29)	50 (67)
Median (95% CI), months	Not reached	20.3 (13.0, 27.6)
HR (95% CI)	0.25 (0.15, 0.42)	
P-value	<0.0001	
TTnT		
Median (95% CI), months	Not reached	18.1 (11.1, 33.1)
HR (95% CI)	0.1 (0.05, 0.21)	
Best Overall Response (%)		
CR	1.3	1.3
VGPR	29.3	4.0
PR	45.3	25.3
MR	16.0	13.3
Overall Response Rate <sup>c</sup> (CR, VGPR, PR, MR) (%)	69 (92.0)	33 (44.0)
Median duration of overall response, months (range)	Not reached (2.7, 58.9+)	27.6 (1.9, 55.9+)
Response Rate (CR, VGPR, PR) <sup>c,d</sup> (%)	57 (76.0)	23 (30.7)
Median duration of response, months (range)	Not reached (1.9+, 58.9+)	Not reached (4.6, 49.7+)
Rate of Sustained Hemoglobin Improvement <sup>c,e</sup> (%)	77.3	42.7

CI = confidence interval; CR = complete response; HR = hazard ratio; MR = minor response; PR = partial response; R = Rituximab; TTnT = time to next treatment; VGPR = very good partial response

\* Median follow-up time on study = 49.7 months.

<sup>a</sup> IRC evaluated.

<sup>b</sup> 4-year PFS estimates were 70.6% [95% CI (58.1, 80.0)] in the IMBRUVICA® + R arm versus 25.3% [95% CI (15.3, 36.6)] in the placebo + R arm.

<sup>c</sup> p-value associated with response rate was <0.0001.

<sup>d</sup> Response rate was 76% vs 41% in treatment-naïve patients and 76% vs 22% in previously treated patients for the IMBRUVICA® + R arm vs the placebo + R arm, respectively.

<sup>e</sup> Defined as increase of ≥2 g/dL over baseline regardless of baseline value, or an increase to >11 g/dL with a ≥0.5 g/dL improvement if baseline was ≤11 g/dL.

Study PCYC-1127-CA had a separate monotherapy arm of 31 patients with previously treated WM who failed prior rituximab-containing therapy and received single agent IMBRUVICA®. The median age was 67 years (range, 47 to 90 years). Eighty-one percent of patients had a baseline ECOG performance status of 0 or 1, and 19% had a baseline ECOG performance status of 2. The median number of prior treatments was 4 (range, 1 to 7 treatments). Median PFS per IRC assessment in the monotherapy arm was not reached (95% CI: 27.4, NE); the 30-month landmark estimate was 57.5% (95% CI: 38.2, 72.7). The response rate per IRC observed in the monotherapy arm was 71% (0% CR, 29% VGPR, 42% PR). The overall response rate per IRC observed in the monotherapy arm was 87% (0% CR, 29% VGPR, 42% PR, 16% MR). With a



median follow-up time on study of 34 months (range, 8.6+ to 37.7 months), the median duration of response has not been reached.

#### 61-Month Follow-Up (Final Analysis)

With an overall follow-up of 61 months, the response rate observed in Study PCYC-1127-CA monotherapy arm per IRC assessment was 77% (0% CR, 29% VGPR, 48% PR). The median duration of response was 33 months (range, 2.4 to 60.2+ months). The overall response rate per IRC observed in the monotherapy arm was 87% (0% CR, 29% VGPR, 48% PR, 10% MR). The median duration of overall response was 39 months (range, 2.07 to 60.2+ months).

## **Pharmacokinetic Properties**

### **Absorption**

Ibrutinib is rapidly absorbed after oral administration with a median  $T_{max}$  of 1 to 2 hours. Absolute bioavailability in fasted condition ( $n = 8$ ) was 2.9% (90% CI = 2.1 – 3.9) and doubled when combined with a meal. Pharmacokinetics of ibrutinib does not significantly differ in patients with different B-cell malignancies. Ibrutinib exposure increases with doses up to 840 mg. The steady state AUC observed in patients at 560 mg is (mean  $\pm$  standard deviation)  $953 \pm 705$  ng·h/mL and in patients at 420 mg with CLL/SLL is  $732 \pm 521$  ng·h/mL ( $680 \pm 517$  ng·h/mL in subset of R/R patients). Administration of ibrutinib in fasted condition resulted in approximately 60% of exposure ( $AUC_{last}$ ) as compared to either 30 minutes before, 30 minutes after (fed condition) or 2 hours after a high fat breakfast.

### **Distribution**

Reversible binding of ibrutinib to human plasma protein *in vitro* was 97.3% with no concentration dependence in the range of 50 to 1000 ng/mL. The volume of distribution at steady state ( $V_{d,ss}$ ) was 683 L and the apparent volume of distribution at steady state ( $V_{d,ss}/F$ ) is approximately 10000 L.

### **Metabolism**

Ibrutinib is metabolized primarily by cytochrome P450, CYP3A4/5, to produce a prominent dihydrodiol metabolite with an inhibitory activity towards BTK approximately 15 times lower than that of ibrutinib. Systemic steady-state exposure to the dihydrodiol metabolite is comparable to that of the parent drug.

*In vitro* studies indicated that CYP2D6 involvement in ibrutinib oxidative metabolism is <2%. Moreover, as part of the human mass balance study, subjects genotyped as poor metabolizers for CYP2D6, showed a similar pharmacokinetic profile as extensive metabolizers. Therefore, no precautions are necessary in patients with different CYP2D6 genotypes.

### **Elimination**

Intravenous clearance was 62 and 76 L/h in fasted and fed condition, respectively. In line with the high first-pass effect, the apparent oral clearance is approximately 2000 and 1000 L/h in fasted and fed condition, respectively. Apparent clearance ( $CL/F$ ) is approximately 1000 L/h. The half-life of ibrutinib is 4 to 6 hours.

After a single oral administration of radiolabeled [ $^{14}\text{C}$ ]-ibrutinib in healthy subjects, approximately 90% of radioactivity was excreted within 168 hours, with the majority (80%) excreted in the feces and less than 10% accounted for in urine. Unchanged ibrutinib accounted for approximately 1% of the radiolabeled excretion product in feces and none in urine, with the remainder of the dose being metabolites.

### **Special populations**

#### ***Elderly (65 years of age and older)***

Population pharmacokinetics indicated that in older patients (67 to 81 years), a 14% higher ibrutinib exposure is predicted. Dose adjustment by age is not warranted.

#### ***Pediatrics (18 years of age and younger)***

No pharmacokinetic studies were performed with IMBRUVICA<sup>®</sup> in patients under 18 years of age.

#### ***Gender***

Population pharmacokinetics data indicated that gender does not significantly influence ibrutinib clearance from the circulation.

#### ***Renal impairment***

Ibrutinib has minimal renal clearance; urinary excretion of metabolites is <10% of the dose. No specific clinical studies have been conducted to date in subjects with impaired renal function. No dose adjustment is needed for patients with mild or moderate renal impairment (greater than 30 mL/min creatinine clearance). There are no data in patients with severe renal impairment or patients on dialysis.

#### ***Hepatic impairment***

Ibrutinib is metabolized in the liver. A hepatic impairment trial was performed in non-cancer subjects administered a single dose of 140 mg of IMBRUVICA<sup>®</sup> under fasting conditions. Ibrutinib AUC<sub>last</sub> increased 2.7-, 8.2- and 9.8-fold in subjects with mild (n=6; Child-Pugh class A), moderate (n=10; Child-Pugh class B) and severe (n=8; Child-Pugh class C) hepatic impairment, respectively. The free fraction of ibrutinib also increased with degree of impairment, with 3.0, 3.8, and 4.8% in subjects with mild, moderate, and severe liver impairment, respectively, compared to 3.3% in plasma from matched healthy controls within this study. The corresponding increase in unbound ibrutinib exposure (AUC<sub>unbound,last</sub>) is estimated to be 4.1, 9.8, and 13-fold in subjects with mild, moderate, and severe hepatic impairment, respectively.

## **NON-CLINICAL INFORMATION**

The following adverse effects were seen in studies up to 13-weeks duration in rats and dogs. Ibrutinib was found to induce gastrointestinal effects (soft feces/diarrhea and/or inflammation) in rats at human equivalent doses (HEDs)  $\geq 16$  mg/kg/day and in dogs at HEDs  $\geq 32$  mg/kg/day. Effects on lymphoid tissue (lymphoid depletion) were also induced at HEDs  $\geq 28$  mg/kg/day in rats and  $\geq 32$  mg/kg/day in dogs. In rats, moderate pancreatic acinar cell atrophy was observed at HEDs  $\geq 6$  mg/kg/day. Mildly decreased trabecular and cortical bone was seen in rats administered HEDs  $\geq 16$  mg/kg/day for 13 weeks. All notable findings in rats and dogs fully or partially reversed following recovery periods of 6 to 13 weeks.

## **Carcinogenicity and Mutagenicity**

Ibrutinib was not carcinogenic in a 6-month study in the transgenic (Tg.rasH2) mouse at oral doses up to 2000 mg/kg/day resulting in exposures approximately 23 (males) to 37 (females) times higher than the exposure in humans at a dose of 560 mg daily.

Ibrutinib has no genotoxic properties when tested in bacteria, mammalian cells or in mice.

## **Fertility**

No effects on fertility or reproductive capacities were observed in male or female rats up to the maximum dose tested, 100 mg/kg/day (HED16 mg/kg/day).

## **PHARMACEUTICAL INFORMATION**

### **List of Excipients**

#### **Capsules**

IMBRUVICA<sup>®</sup> capsules contain the following excipients:

croscarmellose sodium  
magnesium stearate  
microcrystalline cellulose  
sodium lauryl sulfate

The capsule shell contains gelatin and titanium dioxide (E171).

#### Black Printing Ink

iron oxide black (E172)  
propylene glycol  
shellac glaze

#### **Film-coated tablets**

IMBRUVICA<sup>®</sup> tablet core contains the following excipients:

colloidal silicon dioxide  
croscarmellose sodium  
lactose monohydrate  
magnesium stearate  
microcrystalline cellulose  
povidone  
sodium lauryl sulfate

#### Film-coating

ferrosoferric oxide (*140 mg, 280 mg and 420 mg tablets*)  
polyvinyl alcohol  
polyethylene glycol  
red iron oxide (*280 mg and 560 mg tablets*)  
talc  
titanium dioxide  
yellow iron oxide (*140 mg, 420 mg and 560 mg tablets*)

**Incompatibilities**

Not applicable

**Shelf Life**

See expiry date on the outer pack.

120 days after first opening of the container (For IMBRUVICA® capsules 140mg only).

**Storage Conditions**

Store at or below 30°C. Keep out of the sight and reach of children.

**Nature and Contents of Container****Capsules**

IMBRUVICA® capsules are supplied in a white high-density polyethylene (HDPE) bottle with a child resistant closure.

Each HDPE bottle with a polypropylene closure contains 90 or 120 hard capsules.

**Film-coated tablets**

IMBRUVICA® film-coated tablets are supplied in a polyvinyl chloride (PVC) laminated with polychlorotrifluoroethylene (PCTFE) / aluminum blister of 10 or 14 film-coated tablets in a cardboard wallet.

The pack sizes are cartons of 30 film-coated tablets (3 cardboard wallets containing 10 film-coated tablets each) or 28 film-coated tablets (2 cardboard wallets containing 14 film-coated tablets each).

Not all pack sizes may be marketed.

**Instructions for Use and Handling and Disposal**

Any unused medicinal product or waste material should be disposed of in accordance with local requirements.

**PRODUCT REGISTRANT**

Johnson & Johnson International (Singapore) Pte. Ltd.

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#07-13, Ascent

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Singapore 118222

**BATCH RELEASER****Capsules**

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**Film-coated Tablets**

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Co-developed with Pharmacyclics

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