## **PRODUCT NAME**

**SPRAVATO**<sup>®</sup> (esketamine) nasal spray 28mg/vial.

#### DOSAGE FORMS AND STRENGTHS

Nasal spray.

Clear, colorless, aqueous solution.

Each single-use nasal spray device delivers two sprays, one spray into each nostril. Total volume of drug product per device to be delivered is 0.2 mL containing a total of 32.3 mg of esketamine hydrochloride (equivalent to 28 mg of esketamine).

For excipients, see List of Excipients.

#### **CLINICAL INFORMATION**

#### **Indications**

SPRAVATO<sup>®</sup>, in combination with an oral antidepressant (SSRI or SNRI), is indicated for treatment-resistant depression (Major Depressive Disorder in adults who have not responded adequately to at least two different antidepressants of adequate dose and duration to treat the current depressive episode).

SPRAVATO®, co-administered with oral antidepressant therapy, is indicated in adults with a moderate to severe episode of Major Depressive Disorder, as acute short-term treatment, for the rapid reduction of depressive symptoms, which according to clinical judgement constitute a psychiatric emergency.

See Clinical Studies for a description of the populations studied.

## **Dosage and Administration**

A treatment session consists of nasal administration of SPRAVATO<sup>®</sup> and post-administration observation under the supervision of a healthcare professional.

SPRAVATO® is for nasal use only. The nasal spray device is a single-use device that delivers a total of 28mg of esketamine in two sprays (one spray per nostril). To prevent loss of medication, the device should not be primed before use. It is intended for administration by the patient under the supervision of a healthcare professional, using 1 device (for a 28mg dose), 2 devices (for a 56mg dose) or 3 devices (for an 84mg dose), with a 5-minute rest between use of each device.

#### Blood pressure assessment before and after treatment

Assess blood pressure prior to dosing with SPRAVATO® (see Warnings and Precautions).

If baseline blood pressure is elevated (e.g., >140 mmHg systolic, >90 mmHg diastolic), consider the risks of short term increases in blood pressure and benefit of SPRAVATO®

treatment (see *Warnings and Precautions*). Do not administer SPRAVATO<sup>®</sup> if an increase in blood pressure or intracranial pressure poses a serious risk (see *Contraindications*).

After dosing with SPRAVATO®, reassess blood pressure at approximately 40 minutes and subsequently as clinically warranted.

If blood pressure is decreasing and the patient appears clinically stable, the patient may leave at the end of the post-dose monitoring period; if not, continue to monitor (see *Warnings and Precautions*).

Since some patients may experience nausea and vomiting after administration of SPRAVATO<sup>®</sup>, patients should be advised not to eat for at least 2 hours before administration and not to drink liquids at least 30 minutes prior to administration (see *Adverse Reactions - Nausea and vomiting*).

Patients who require a nasal corticosteroid or nasal decongestant on a dosing day should be advised not to administer these medications within 1 hour before administration of SPRAVATO<sup>®</sup>.

For instructions to prepare the patient and for use of the nasal spray device, see *Instructions for Use and Handling*.

#### Dosage - Adults

## **Treatment-resistant Depression (TRD)**

The dosage recommendations for SPRAVATO® for TRD are shown in Table 1. Dose adjustments should be made based on efficacy and tolerability to the previous dose.

Table 1: Recommended Dosing for SPRAVATO® for TRD

Induction Phase		Maintenance Phase		
Weeks 1-4 (two treatment sessions/week):		Weeks 5-8:		
Starting Day 1 dose*:	56 mg	56 mg or 84 mg once weekly		
Subsequent doses:	56 mg or 84 mg	From Week 9:		
•		56 mg or 84 mg every 2 weeks or once weekly **		
Evidence of therapeutic	benefit should be evaluated at	Periodically reexamine the need for continued		
the end of induction phase to determine need for		treatment.		
continued treatment.				

<sup>\*</sup> For patients ≥65 years Day 1 starting dose is 28 mg

After depressive symptoms improve, treatment is recommended for at least 6 months.

# Acute short-term treatment of psychiatric emergency due to Major Depressive Disorder

The recommended dosage for SPRAVATO® is 84 mg twice per week for 4 weeks. Dosage reduction to 56 mg should be made based on tolerability. After 4 weeks of treatment with SPRAVATO®, the oral antidepressant (AD) therapy should be continued, per clinical judgement.

<sup>\*\*</sup> Dosing frequency should be individualized to the lowest frequency to maintain remission/response.

Patients who also have TRD should be evaluated to determine need for continued treatment with SPRAVATO<sup>®</sup> beyond 4 weeks.

#### Post-administration observation

During and after SPRAVATO<sup>®</sup> administration at each treatment session, a healthcare professional should observe the patient until the patient is stable based on clinical judgment. Rare cases of deep, delayed or prolonged sedation have been reported. Sedation typically showed an onset at around 15 minutes after dosing, with symptoms peaking at 30 to 45 minutes post-dose and resolving by 1.5 hours post-dose. Before SPRAVATO<sup>®</sup> administration, instruct patients not to engage in potentially hazardous activities, such as driving a motor vehicle or operating machinery until the next day after a restful sleep. (See *Warnings and Precautions – Effect on blood pressure, Potential for cognitive and motor impairment and effect on driving*).

## Missed treatment session(s)

If a patient misses treatment session(s) during the first 4 weeks of treatment, patients should continue their current dosing schedule.

For patients with TRD who miss treatment session(s) during maintenance phase and have worsening of depression symptoms, per clinical judgement, consider returning to the previous dosing schedule (see Table 1).

#### Special populations

## Pediatrics (17 years of age and younger)

The safety and efficacy of SPRAVATO® have not been established in patients aged 17 years and younger.

#### Elderly (65 years of age and older)

In elderly patients the initial SPRAVATO® dose is 28 mg (Day 1, Starting Dose, see Table 1). Subsequent doses should be increased in increments of 28 mg up to 56 mg or 84 mg, based on efficacy and tolerability.

SPRAVATO® has not been studied in elderly patients as acute short-term treatment of psychiatric emergency due to Major Depressive Disorder.

#### Hepatic impairment

No dosage adjustment is necessary in patients with mild (Child-Pugh class A) or moderate (Child-Pugh class B) hepatic impairment. Use with caution in SPRAVATO®-treated patients with moderate hepatic impairment who may need to be monitored for adverse reactions for a longer period of time.

SPRAVATO® has not been studied in patients with severe hepatic impairment (Child-Pugh class C). Use in this population is not recommended. (See *Pharmacokinetic Properties – Special populations, Hepatic impairment*).

## Japanese and Chinese patients with treatment-resistant depression

Efficacy of SPRAVATO® in Japanese and Chinese patients has not been established (See *Clinical Studies*).

#### **Contraindications**

SPRAVATO® is contraindicated in patients for whom an increase in blood pressure or intracranial pressure poses a serious risk (see *Warnings and Precautions – Effect on blood pressure*):

- Patients with known aneurysmal vascular disease (including intracranial, thoracic, or abdominal aorta, or peripheral arterial vessels)
- Patients with known history of intracerebral hemorrhage

SPRAVATO® is contraindicated in patients with a known hypersensitivity to esketamine, ketamine, or to any of the excipients.

## **Warnings and Precautions**

## Suicide/suicidal thoughts or clinical worsening

The effectiveness of SPRAVATO® in preventing suicide or in reducing suicidal ideation or behavior has not been demonstrated.

Use of SPRAVATO® for the rapid reduction of depressive symptoms in adult patients with Major Depressive Disorder who have acute suicidal ideation or behavior does not preclude the need for hospitalization, if clinically warranted, even if patients experience improvement after an initial dose of SPRAVATO®.

Closely monitor all antidepressant-treated patients including patients treated with SPRAVATO® for clinical worsening or emergence of suicidal thoughts and behaviors, especially during the initial few months of drug therapy and at times of dosage changes. Patients (and caregivers of patients) should be alerted to the need to monitor for any clinical worsening, suicidal behavior or thoughts and unusual changes in behavior and to seek medical advice immediately if these symptoms present.

Depression is associated with an increased risk of suicidal thoughts, self-harm and suicide (suicide related events). This risk persists until significant remission occurs, therefore, patients should be closely monitored. It is general clinical experience that the risk of suicide may increase in the early stages of recovery.

Patients with a history of suicide-related events or those exhibiting a significant degree of suicidal ideation prior to commencement of treatment are known to be at greater risk of suicidal thoughts or suicide attempts and should receive careful monitoring during treatment.

#### Effect on blood pressure

SPRAVATO® can cause transient increases in systolic and/or diastolic blood pressure which peak at approximately 40 minutes after drug administration and last approximately 1-2 hours

(see *Adverse Reactions*). Patients with cardiovascular and cerebrovascular conditions should be carefully assessed before prescribing SPRAVATO<sup>®</sup> and treatment initiated only if the benefit outweighs the risk (see *Contraindications*). Examples of conditions which should be carefully considered include:

- Unstable or poorly controlled hypertension.
- History (within 6 weeks) of cardiovascular event, including myocardial infarction (MI). Patients with a history of an MI should be clinically stable and cardiac symptom free prior to dosage administration.
- History (within 6 months) of ischemic stroke or transient ischemic attack.
- Hemodynamically significant valvular heart disease such as mitral regurgitation, aortic stenosis, or aortic regurgitation.
- New York Heart Association (NYHA) Class III-IV heart failure of any etiology.

Administration of SPRAVATO<sup>®</sup> can temporarily raise blood pressure lasting approximately 1-2 hours. Blood pressure should be assessed prior to dosing with SPRAVATO<sup>®</sup>. In patients whose blood pressures prior to dose administration and are judged to be elevated (as a general guide: >140/90 mmHg for patients <65 years of age and >150/90 mmHg for patients ≥65 years of age), it is appropriate to consider lifestyle and/or pharmacologic therapies to reduce blood pressure before starting treatment with SPRAVATO<sup>®</sup>. The decision whether or not to delay SPRAVATO<sup>®</sup> therapy should take into account the balance of benefit and risk in individual patients.

Blood pressure should be monitored after dose administration until blood pressure returns to acceptable levels. If blood pressure remains too high, assistance should promptly be sought from practitioners experienced in blood pressure management. Patients who experience symptoms of a hypertensive crisis should be referred immediately for emergency care.

Closely monitor blood pressure with concomitant use of SPRAVATO® with psychostimulants or monoamine oxidase inhibitors (MAOIs) (see *Interactions*).

## Potential for cognitive and motor impairment

SPRAVATO® has been reported to cause somnolence, sedation, dissociative symptoms, perception disturbances, dizziness, vertigo and anxiety during the clinical trials (see *Adverse Reactions*). These effects may impair attention, judgment, thinking, reaction speed and motor skills. Tolerance to above effects may develop after a few treatment sessions. At each treatment session, patients should be monitored by a healthcare professional to assess when the patient is considered clinically stable (see *Dosage and Administration/Post-administration observation*).

## Short-term cognitive impairment

In a study in healthy volunteers, a single dose of SPRAVATO® caused cognitive performance decline 40 minutes post-dose. Compared to placebo-treated subjects, SPRAVATO®-treated subjects required a greater effort to complete cognitive tests at 40 minutes post-dose. Cognitive performance and mental effort were comparable between SPRAVATO® and placebo at 2 hours post-dose. Sleepiness was comparable after 4 hours post-dose.

#### Long-term cognitive impairment

Long-term cognitive and memory impairment have been reported with long\_term ketamine use or drug abuse. These effects did not increase over time and were reversible after discontinuing ketamine. In the clinical trials, the effect of esketamine nasal spray on cognitive functioning was evaluated over time and performance remained stable.

## **Effect on driving**

Two studies were conducted to assess the effects of SPRAVATO® on the ability to drive (see *Pharmacodynamic Effects – Effect on driving*). Before SPRAVATO® administration, instruct patients not to engage in potentially hazardous activities requiring complete mental alertness and motor coordination, such as driving a motor vehicle or operating machinery, until the next day following a restful sleep (see *Warnings and Precautions - Potential for cognitive and motor impairment*).

## **Urinary tract symptoms**

Cases of interstitial cystitis have been reported in subjects using ketamine for recreational use or for treatment of chronic pain at high doses with long-term use. In clinical studies with esketamine nasal spray, subjects were assessed for symptoms of cystitis, bladder pain and interstitial cystitis. No cases of esketamine-related interstitial cystitis were observed in any of the studies, which involved treatment for up to a year (see *Clinical Studies*).

Urinary tract and bladder symptoms have been reported with SPRAVATO® use. It is recommended to monitor for urinary tract and bladder symptoms during the course of treatment.

#### Drug abuse and dependence

#### **Abuse**

Individuals with a history of drug abuse or dependence may be at greater risk for abuse and misuse of SPRAVATO<sup>®</sup>. Careful consideration is advised prior to treatment of individuals with a history of substance use disorder, including alcohol. Monitoring for signs of abuse or dependence is recommended.

The potential for abuse, misuse and diversion of SPRAVATO® is minimized due to the product's design and the administration taking place under the supervision of a healthcare professional.

Ketamine, the racemic mixture of arketamine and esketamine, has been reported as a drug of abuse. In a study of abuse potential conducted in recreational polydrug users (n=41), single doses of esketamine nasal spray (84 mg and 112 mg) and the positive control drug intravenous ketamine (0.5 mg/kg infused over 40 minutes) produced significantly greater scores than placebo on subjective ratings of "drug liking" and on other measures of subjective drug effects.

#### Dependence

Dependence and tolerance have been reported with prolonged use of ketamine. Individuals who were dependent on ketamine reported withdrawal symptoms of cravings, anxiety, shaking, sweating and palpitations. Monitoring for signs of dependence is recommended.

#### Other populations at risk

SPRAVATO® should be used with caution in patients with the following conditions. These patients should be carefully assessed before prescribing SPRAVATO® and treatment initiated only if the benefit outweighs the risk:

- Presence or history of psychosis.
- Presence or history of mania or bipolar disorder.
- Hyperthyroidism that has not been sufficiently treated.
- Significant pulmonary insufficiency.
- Patients with known uncontrolled brady- or tachyarrhythmias that lead to hemodynamic instability.
- History of brain injury, hypertensive encephalopathy, intrathecal therapy with ventricular shunts, or any other condition associated with increased intracranial pressure.

#### **Interactions**

#### Pharmacodynamic interactions

Concomitant use with CNS depressants (e.g., benzodiazepines, opioids, alcohol) may increase sedation. Closely monitor for sedation with concomitant use of SPRAVATO® with CNS depressants.

Concomitant use with psychostimulants (e.g., amphetamines, methylphenidate, modafinil, armodafinil) may increase blood pressure. Closely monitor blood pressure with concomitant use of SPRAVATO<sup>®</sup> with psychostimulants.

Concomitant use with monoamine oxidase inhibitors (MAOIs) (e.g., tranylcypromine, selegiline, phenelzine) may increase blood pressure. Closely monitor blood pressure with concomitant use of SPRAVATO® with MAOIs.

#### Pharmacokinetic interactions

Esketamine is extensively metabolized in the liver. The primary metabolic pathway of esketamine in human liver microsomes is N-demethylation to form noresketamine. The main cytochrome P450 (CYP) enzymes responsible for esketamine N-demethylation are CYP2B6 and CYP3A4 (see *Pharmacokinetic Properties*).

#### Effect of other drugs on esketamine

## Hepatic enzyme inhibitors

Pretreatment of healthy subjects with oral ticlopidine, an inhibitor of hepatic CYP2B6 activity, (250 mg twice daily for 9 days prior to and on the day of esketamine administration) had no effect on the maximum plasma concentration ( $C_{max}$ ) of esketamine administered as a nasal spray. The area under the plasma concentration-time curve ( $AUC_{\infty}$ ) of esketamine was increased by approximately 29%. The terminal half-life of esketamine was not affected by ticlopidine pretreatment.

Pretreatment with oral clarithromycin, an inhibitor of hepatic CYP3A4 activity, (500 mg twice daily for 3 days prior to and on the day of esketamine administration) increased the mean  $C_{max}$  and  $AUC_{\infty}$  of nasally administered esketamine by approximately 11% and 4%, respectively. The terminal half-life of esketamine was not affected by clarithromycin pretreatment.

## Hepatic enzyme inducers

Pretreatment with oral rifampicin, a potent inducer of the activity of multiple hepatic CYP enzymes such as CYP3A4 and CYP2B6, (600 mg daily for 5 days prior to esketamine administration) decreased the mean  $C_{max}$  and  $AUC_{\infty}$  values of esketamine administered as a nasal spray by approximately 17% and 28%, respectively.

#### Other nasal spray products

Concomitant use of SPRAVATO<sup>®</sup> with other nasally administered medicinal products has been evaluated in the following pharmacokinetic interaction studies. Pretreatment of subjects with history of allergic rhinitis and pre-exposed to grass pollen with oxymetazoline administered as a nasal spray (2 sprays of 0.05% solution administered at 1 hour prior to nasal administration of esketamine) had minor effects on the pharmacokinetics of esketamine.

Pretreatment of healthy subjects with nasal administration of mometasone furoate (200 mcg per day for 2 weeks with the last mometasone furoate dose administered at 1 hour prior to nasal administration of esketamine) had minor effects on the pharmacokinetics of esketamine.

#### Effect of esketamine on other drugs

Nasal administration of 84 mg esketamine twice a week for 2 weeks reduced the mean plasma  $AUC_{\infty}$  of oral midazolam (single 6 mg dose), a substrate of hepatic CYP3A4, by approximately 16%.

Nasal administration of 84 mg esketamine twice a week for 2 weeks did not affect the mean plasma AUC∞ of oral bupropion (single 150 mg dose), a substrate of hepatic CYP2B6.

## **Pregnancy and Breast-feeding**

#### **Pregnancy**

SPRAVATO® is not recommended during pregnancy. The risks of SPRAVATO® during pregnancy have not been studied. Human data in pregnant women during clinical trials with esketamine exposure are too limited to be conclusive. Animal studies with ketamine, the racemic mixture of arketamine and esketamine, show evidence of developmental neurotoxicity

(see below). The potential for esketamine to have neurotoxic effects on fetuses cannot be excluded. To avoid exposing the fetus to esketamine, women of reproductive potential should be advised to use highly effective contraception during and up to 6 weeks after the last treatment with SPRAVATO<sup>®</sup>. If a woman becomes pregnant while being treated with SPRAVATO<sup>®</sup>, treatment with esketamine should be discontinued and the patient should be counseled about the potential risk to the fetus and clinical/therapeutic options as soon as possible.

Ketamine, the racemic mixture of arketamine and esketamine, administered intravenously at high anesthetic dose levels to female rats in the second trimester of pregnancy caused neuronal cell abnormalities in the brains of their offspring which showed behavioral changes and impaired memory up to young adult age. When female monkeys were treated intravenously with ketamine at high anesthetic dose levels in the third trimester of pregnancy, neuronal cell death was observed in the brains of their fetuses. Ketamine-induced neuronal cell death was also observed with early postnatal intraperitoneal or subcutaneous treatment of rat and mice pups, a period of rapid brain growth. This period of brain development translates into the third trimester of human pregnancy. In embryo-fetal developmental toxicity studies in rats, nasally-administered ketamine did not induce adverse findings in the offspring. Skeletal malformations were found in the offspring of rabbits nasally treated with ketamine. It cannot be excluded that esketamine induces neurotoxicity in developing fetuses (see *Non-Clinical Information*).

## **Breast-feeding**

SPRAVATO® during breast-feeding have not been studied in humans. There are no data available to assess the effects of esketamine on human milk production, its presence in human milk, or effects on the breastfed infant. Esketamine is expected to be excreted to human milk based on published data showing presence of ketamine in cow milk in cows exposed to intravenously-administered ketamine. Advise patients either not to undergo therapy with SPRAVATO® while breast-feeding or discontinue breast-feeding if treatment with SPRAVATO® is initiated, taking into account the importance of the drug to the mother. (See *Non-Clinical Information*)

## Effects on ability to drive and use machines

SPRAVATO<sup>®</sup> has a major influence on the ability to drive and use machines. In clinical studies, SPRAVATO<sup>®</sup> has been reported to cause somnolence, sedation, dissociative symptoms, perception disturbances, dizziness, vertigo and anxiety (see *Adverse Reactions*). Before SPRAVATO<sup>®</sup> administration, instruct patients not to engage in potentially hazardous activities requiring complete mental alertness and motor coordination, such as driving a motor vehicle or operating machinery, until the next day following a restful sleep (see *Warnings and Precautions and Clinical Studies*).

#### Adverse reactions

Throughout this section, adverse reactions are presented. Adverse reactions are adverse events that have been considered to be reasonably associated with the use of esketamine based on the

comprehensive assessment of the available adverse event information. A causal relationship with esketamine cannot be reliably established in individual cases. Further, because clinical trials are conducted under widely varying conditions, adverse reaction rates observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials of another drug and may not reflect the rates observed in clinical practice.

#### Clinical trial data

# Adverse reactions from clinical studies in Treatment-Resistant Depression (TRD)

SPRAVATO® was evaluated for safety in 1709 patients diagnosed with TRD (patients with MDD and were non-responders to at least two oral antidepressants (ADs) treatments, of adequate dosage and duration, in the current major depressive episode) from five Phase 3 studies (3 short-term and 2 long-term studies) and one Phase 2 dose-ranging study. Of all esketamine-treated patients in the completed Phase 3 studies, 479 (29.9%) received at least 6 months of treatment exposure, and 178 (11.1%) received at least 12 months of exposure.

# Adverse reactions in patients with acute short-term treatment of psychiatric emergency due to Major Depressive Disorder

SPRAVATO<sup>®</sup> was evaluated for safety in 262 patients diagnosed with Major Depressive Disorder with suicidal ideation and intent from two Phase 3 studies and one Phase 2 study. Overall, the safety profile of SPRAVATO<sup>®</sup> from this clinical program was generally similar that seen in studies for TRD.

#### Adverse events reported as reasons for discontinuation of treatment

In short-term studies in both adult (pooled TRD3001/TRD3002) and elderly (TRD3005) patients with TRD, the proportion of patients that received SPRAVATO® plus oral AD and discontinued treatment because of an adverse event was 4.6% for adult and 5.6% for elderly patients, respectively, compared to 1.4% for adult and 3.1% for elderly patients receiving oral AD plus placebo nasal spray. In a long-term study, the discontinuation rates because of an adverse event were similar for patients receiving SPRAVATO® plus oral AD and oral AD plus placebo nasal spray, 2.6% and 2.1%, respectively. Across all Phase 3 studies in TRD, adverse events leading to SPRAVATO® discontinuation in more than 2 patients (>0.1%) were (in order of frequency): anxiety, depression, blood pressure increased, dizziness, suicidal ideation, dissociation, nausea, vomiting, headache, muscular weakness, vertigo, hypertension, panic attack and sedation.

In the pooled Phase 3 studies SUI3001/SUI3002, 6.2% (14/227) of patients diagnosed with Major Depressive Disorder with suicidal ideation and intent in the SPRAVATO® + SOC group and 3.6% (8/225) of patients in the placebo + SOC group experienced adverse events leading to discontinuation. Adverse events that led to discontinuation in >1 subject in the SPRAVATO® + SOC group were: dissociation, blood pressure increased, depersonalization/derealization disorder, and nausea.

#### Common adverse reactions

The most commonly observed adverse reactions in patients treated with SPRAVATO® plus oral AD (incidence  $\geq 10\%$  and greater than oral AD plus placebo nasal spray) were dissociation, dizziness, nausea, sedation, headache, dysgeusia, hypoesthesia, vertigo, anxiety, blood pressure increased, and vomiting. Most of these adverse reactions were mild or moderate in severity, reported post-dose on the day of administration and resolved the same day.

Table 2 shows the incidence of adverse reactions that occurred in patients treated with SPRAVATO® plus oral AD at any dose and greater than patients treated with oral AD plus placebo nasal spray.

Table 2: Adverse reactions occurring in patients treated with SPRAVATO® Plus Oral AD at any dose and greater than patients treated with oral AD plus placebo nasal spray\*

AVATO® + ral AD N=849)	Oral AD + Placebo nasal spray (N=742)	SPRAVATO® + Oral AD (N=1335)	All SPRAVATO® Population (N=1971)
(41.3%)	(7 (0 00/)		
(41.3%)	(7 (0 00/)		
	67 (9.0%)	512 (38.4%)	821 (41.7%)
3 (12.7%)	54 (7.3%)	161 (12.1%)	271 (13.7%)
(4.8%)	6 (0.8%)	51 (3.8%)	94 (4.8%)
(3.1%)	4 (0.5%)	33 (2.5%)	61 (3.1%)
(34.5%)	71 (9.6%)	491 (36.8%)	747 (37.9%)
(23.6%)	66 (8.9%)	321 (24.0%)	510 (25.9%)
2 (20.3%)	114 (15.4%)	294 (22.0%)	468 (23.7%)
(20.0%)	88 (11.9%)	207 (15.5%)	350 (17.8%)
(16.4%)	11 (1.5%)	204 (15.3%)	321 (16.3%)
(7.5%)	28 (3.8%)	97 (7.3%)	167 (8.5%)
(4.6%)	10 (1.3%)	61 (4.6%)	96 (4.9%)
(2.5%)	2 (0.3%)	37 (2.8%)	59 (3.0%)
(2.2%)	11 (1.5%)	28 (2.1%)	52 (2.6%)
(0.4%)	0	10 (0.7%)	13 (0.7%)
(15.7%)	17 (2.3%)	211 (15.8%)	321 (16.3%)
(1.8%)	4 (0.5%)	19 (1.4%)	36 (1.8%)
1 2 3	(41.3%) (41.3%) (41.3%) (41.3%) (41.4.8%) (41.	1 (4.8%)       6 (0.8%)         5 (3.1%)       4 (0.5%)         3 (34.5%)       71 (9.6%)         6 (23.6%)       66 (8.9%)         2 (20.3%)       114 (15.4%)         9 (20.0%)       88 (11.9%)         9 (16.4%)       11 (1.5%)         4 (7.5%)       28 (3.8%)         9 (4.6%)       10 (1.3%)         1 (2.5%)       2 (0.3%)         9 (2.2%)       11 (1.5%)         0 (0.4%)       0         3 (15.7%)       17 (2.3%)	38 (12.7%)       54 (7.3%)       161 (12.1%)         4 (4.8%)       6 (0.8%)       51 (3.8%)         5 (3.1%)       4 (0.5%)       33 (2.5%)         3 (34.5%)       71 (9.6%)       491 (36.8%)         3 (23.6%)       66 (8.9%)       321 (24.0%)         2 (20.3%)       114 (15.4%)       294 (22.0%)         3 (20.3%)       20.20.0%)       88 (11.9%)       207 (15.5%)         4 (7.5%)       28 (3.8%)       97 (7.3%)         4 (7.5%)       28 (3.8%)       97 (7.3%)         4 (7.5%)       2 (0.3%)       37 (2.8%)         9 (2.2%)       11 (1.5%)       28 (2.1%)         0 (0.4%)       0       10 (0.7%)         3 (15.7%)       17 (2.3%)       211 (15.8%)

Respiratory, thoracic and mediastinal disorders				
Throat irritation <sup>†</sup>	71 (8.4%)	32 (4.3%)	117 (8.8%)	183 (9.3%)
Nasal discomfort <sup>†</sup>	60 (7.1%)	38 (5.1%)	96 (7.2%)	150 (7.6%)
Gastrointestinal disorders				
Nausea	218 (25.7%)	60 (8.1%)	321 (24.0%)	532 (27.0%)
Vomiting	82 (9.7%)	18 (2.4%)	123 (9.2%)	210 (10.7%)
Dry mouth	34 (4.0%)	14 (1.9%)	42 (3.1%)	79 (4.0%)
Salivary hypersecretion	7 (0.8%)	1 (0.2%)	5 (0.4%)	11 (0.6%)
Skin and subcutaneous tissue disorders				
Hyperhidrosis <sup>†</sup>	36 (4.2%)	11 (1.5%)	56 (4.2%)	96 (4.9%)
Renal and urinary disorders				
Pollakiuria <sup>†</sup>	21 (2.5%)	6 (0.8%)	43 (3.2%)	65 (3.3%)
Dysuria	10 (1.2%)	0	29 (2.2%)	39 (2.0%)
General disorders and administration site conditions				
Feeling drunk	32 (3.8%)	2 (0.3%)	31 (2.3%)	60 (3.0%)
Feeling abnormal	29 (3.4%)	4 (0.5%)	53 (4.0%)	77 (3.9%)
Asthenia	11 (1.3%)	3 (0.4%)	38 (2.8%)	49 (2.5%)
Gait disturbance	5 (0.6%)	2 (0.3%)	6 (0.4%)	11 (0.6%)
Investigations				

105 (12.4%)

Blood pressure increased  $^{\dagger}$ 

34 (4.6%)

166 (12.4%)

258 (13.1%)

- Note: The following studies are included in the Double-Blind Population: TRD2003 (Double-Blind Phase), TRD3001, TRD3002, TRD3003 (Maintenance Phase), TRD3005, SUI2001, SUI3001, SUI3002. The following studies are included in the Open-Label Population: TRD2003 (Open-Label Phase), TRD3003 (Induction and Optimization Data from Direct-Entry patients), TRD3004. The 'All SPRAVATO® Population' includes all patients in the SPRAVATO® arm in any phase in TRD2003, TRD3001, TRD3002, TRD3003, TRD3004, TRD3005, SUI2001, SUI3001, SUI3002.
- The following terms were combined:

Dissociation includes: dissociation; depersonalization/derealization disorder; derealization; dissociative disorder; flashback; hallucination; hallucination, auditory; hallucination, visual; illusion; somatic hallucination; hallucinations, mixed; hyperacusis; tinnitus; diplopia; vision blurred; ocular discomfort; photophobia; visual impairment; dysesthesia; oral dysesthesia; paresthesia; paresthesia oral; pharyngeal paresthesia; time perception altered; daydreaming; delusional perception; feeling hot; feeling cold; feeling

Anxiety includes: anxiety; anticipatory anxiety; anxiety disorder; generalized anxiety disorder; agitation; fear; nervousness; tension; panic attack; panic disorder; panic reaction; feeling jittery; irritability; psychogenic tremor; psychomotor hyperactivity.

Emotional distress includes: emotional distress; crying; dysphoria.

Dizziness includes: dizziness; dizziness postural; procedural dizziness; dizziness exertional.

Sedation includes: sedation; somnolence; altered state of consciousness; depressed level of consciousness; hypersomnia; stupor.

Headache includes: headache: sinus headache. Dysgeusia includes: dysgeusia; hypogeusia.

Hypoesthesia includes: hypoesthesia; hypoesthesia oral; hypoesthesia teeth; pharyngeal hypoesthesia; intranasal hypoesthesia.

Lethargy includes: lethargy; fatigue; listless; psychomotor retardation.

Mental impairment includes: mental impairment; confusional state; disturbance in attention

Dysarthria includes: dysarthria; speech disorder; slow speech.

Tremor includes: tremor; intention tremor. Vertigo includes: vertigo; vertigo positional.

Tachycardia includes: sinus tachycardia; tachycardia; heart rate increased; extrasystole.

Nasal discomfort includes: nasal discomfort; nasal crusting; nasal dryness; nasal pruritus

Throat irritation includes: throat irritation; or opharyngeal pain.

Hyperhidrosis includes: hyperhidrosis; cold sweat.

Pollakiuria includes: pollakiuria; micturition disorder; micturition urgency.

Blood pressure increased includes: blood pressure increased; blood pressure systolic increased; blood pressure diastolic increased;

hypertension; hypertensive heart disease; hypertensive crisis.

#### Dissociation/perceptual changes

The most common psychological effects of esketamine have been dissociative/perceptual changes (including distortion of time and space and illusions), derealization and depersonalization. These adverse reactions were reported as transient and self-limited and occurred on the day of dosing. Dissociation was reported as severe in intensity at the incidence of less than 4% across studies. Dissociation symptoms typically resolved by 1.5 hours post-dose and the severity tended to reduce over time with repeated treatments.

#### Sedation/somnolence

Adverse reactions of sedation and somnolence were primarily mild or moderate in severity, occurred on the day of dosing and resolved spontaneously the same day. Based on an objective scale of sedation, the Modified Observer's Alertness/Sedation scale (MOAA/s), the incidence of deep sedation (MOAA/s score <2) was 2.3% in patients treated with SPRAVATO® + oral antidepressant. Based on MOAA/s the incidences of late onset (more than 45 min post-dose) or prolonged (>1.5 hours post-dose) deep sedation were rare (<1%). Rates of somnolence were relatively stable over time during long-term treatment. In the cases of sedation, no symptoms of respiratory distress were observed, and hemodynamic parameters (including vital signs and oxygen saturation) remained within normal ranges. During post-marketing use, respiratory depression has been observed in isolated cases with the use of SPRAVATO® in combination with other CNS depressants and in patients with comorbidities such as obesity, anxiety, cardiovascular and respiratory conditions, though causality has not been established. These events were transient in nature and resolved after verbal/tactile stimulation or supplemental oxygen.

## Impaired cognition

In the short-term studies, treatment with SPRAVATO® plus oral AD did not influence any aspect of cognition studied in adult patients with TRD and was not associated with any systematic changes in cognition in the elderly patients. Consistently, in long-term studies, performance on each of the cognitive tests relative to baseline showed slight improvement or remained stable in each treatment phase. In the elderly subgroup (≥65 years of age) slowing of reaction time starting at Week 20 and through the end of the study was observed, however, performance on other cognitive tests remained stable.

## Changes in blood pressure

The mean placebo-adjusted increases in systolic and diastolic blood pressure (SBP and DBP) over time were about 7 to 9 mmHg in SBP and 4 to 6 mmHg in DBP at 40 minutes post-dose and 2 to 5 mmHg in SBP and 1 to 3 mmHg in DBP at 1.5 hours post-dose in patients receiving SPRAVATO® plus oral antidepressants.

Table 3: Increases in Blood Pressure in Double-blind, Randomized-controlled, Short-term
Trials of SPRAVATO® + Oral AD Compared to Placebo Nasal Spray + Oral AD in the
Treatment of TRD

	Patients <	55 years	Patients ≥6	5 years			
	SPRAVATO®	Placebo +	SPRAVATO®	Placebo +			
	+ Oral AD	Oral AD	+ Oral AD	Oral AD			
	N=346	N=222	N=72	N=65			
Systolic blood pressure	Systolic blood pressure						
≥180 mmHg	9 (3%)		2 (3%)	1 (2%)			
≥40 mmHg increase	29 (8%)	1 (0.5%)	12 (17%)	1 (2%)			
Diastolic blood pressure							
≥110 mmHg	13 (4%)	1 (0.5%)					
≥25 mmHg increase	46 (13%)	6 (3%)	10 (14%)	2 (3%)			

#### Nausea and vomiting

SPRAVATO® can cause nausea and vomiting (Table 4). Most of these events occurred on the day of dosing and resolved the same day, with the median duration not exceeding 1 hour in most subjects across dosing sessions. Rates of reported nausea and vomiting decreased over time across dosing sessions from the first week of treatment in the short-term studies, as well as over time with long-term treatment.

Table 4: Incidence and Severity of Nausea and Vomiting in Double-blind, Randomized-controlled, Fixed-dose Study

		Nausea		Vomiting	
Treatment (+ Oral AD)					
	N	All	Severe	All	Severe
SPRAVATO® 56 mg	115	31 (27%)	0	7 (6%)	0
SPRAVATO® 84 mg	116	37 (32%)	4 (3%)	14 (12%)	3 (3%)
Placebo Nasal Spray	113	12 (11%)	0	2 (2%)	0

#### Nasal tolerability and sense of smell

Across studies, the vast majority of esketamine-treated patients had no findings on nasal examination. For the patients who had nasal findings (including nasal discharge, nasal crust, or nasal erythema) all events were of mild severity with the exception of a few moderate findings. The most frequently reported post-dose nasal symptoms of moderate or severe intensity (reported in at least 5% of patients) in the Phase 3 studies were post-nasal drip, taste disturbance and stuffy nose. Other nasal symptoms of moderate or severe intensity included: runny nose, cough, dryness inside nose and sneezing. In addition, sense of smell was assessed over time; no difference was observed between patients treated with SPRAVATO® plus oral AD and those treated with oral AD plus placebo nasal spray during the double-blind maintenance phase of TRD3003.

## Body weight

SPRAVATO® had no clinically meaningful effect on body weight over short- or long-term administration. In the double-blind maintenance phase of TRD3003, the proportion of patients with an increase in body weight of ≥7% was comparable for the SPRAVATO® plus oral AD vs oral AD plus placebo nasal spray groups (13.9% and 13.3%). In the open-label, long-term study TRD3004, a similar percentage of patients exhibited an increase or decrease in body weight of ≥7% (7.4% and 9.1%, respectively). In TRD3004, mean body weight remained stable during treatment with SPRAVATO® plus oral AD both in the induction phase and maintenance phase (mean change from baseline ± standard deviation of -0.29±2.15 kg at Day 28 and 0.44±5.83 kg at Week 48).

#### Laboratory values

SPRAVATO® has not been associated with any clinically important changes to laboratory parameters in serum chemistry, hematology, or urinalysis.

#### **Overdose**

No cases of overdose were reported in clinical studies with SPRAVATO<sup>®</sup>. The potential for overdose of SPRAVATO<sup>®</sup> by the patient is minimized due to the product's design and the administration taking place under the supervision of a healthcare professional (see *Dosage and Administration*).

#### Symptoms and signs

There is limited clinical trial experience with esketamine nasal spray doses higher than the maximum recommended dose of 84 mg. The maximum single esketamine nasal spray dose tested in healthy volunteers was 112 mg which showed no evidence of toxicity and/or adverse clinical outcomes. However, compared to the recommended dose range, the 112-mg esketamine nasal spray dose was associated with higher rates of adverse reactions including dizziness, hyperhidrosis, somnolence, hypoesthesia, feeling abnormal, nausea and vomiting.

#### **Treatment**

There is no specific antidote for esketamine overdose. In the case of overdose, the possibility of multiple drug involvement should be considered. It is advisable to contact a poison control center to obtain the latest recommendations for the management of an overdose. Management of SPRAVATO® overdose should consist of treating clinical symptoms and relevant monitoring. Close supervision and monitoring should continue until the patient recovers.

## PHARMACOLOGICAL PROPERTIES

## **Pharmacodynamic Properties**

Pharmacotherapeutic group: Psychoanaleptics; Other antidepressants, ATC code: N06AX27.

#### Mechanism of action

Esketamine, the S-enantiomer of racemic ketamine, is an antidepressant with a novel mechanism of action. It is a non-selective, non-competitive antagonist of the *N*-methyl-*D*-aspartate (NMDA) receptor, an ionotropic glutamate receptor.

Putative etiological contributors of depression, including stress and other conditions, are known to cause structural and functional impairment of synapses in brain regions involved with the regulation of mood and emotional behavior. Evidence within the literature suggests that through NMDA receptor antagonism, esketamine produces a transient increase in glutamate release leading to increases in  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor (AMPAR) stimulation and subsequently to increases in neurotrophic signaling that restore synaptic function in these brain regions. Unlike other antidepressant therapies, esketamine's primary antidepressant action does not directly involve monoamine, GABA, or opioid receptors.

#### Pharmacodynamic effects

## Effect on driving

Two studies were conducted to assess the effects of SPRAVATO® on driving skills, one study in adult subjects with major depressive disorder and one study in healthy subjects. On-road driving performance was assessed by the mean standard deviation of the lateral position (SDLP), a measure of driving impairment.

A single-blind, placebo-controlled study in 25 adult subjects with major depressive disorder evaluated the effects of a single 84-mg dose of esketamine nasal spray on next day driving. An ethanol-containing beverage was used as a positive control. The SDLP after administration of single 84-mg dose of esketamine nasal spray was similar to placebo. The upper limit of the two-sided 95% confidence interval (CI) of the mean difference between single-dose of esketamine and placebo was 0.58 cm, which is less than the pre-specified non-inferiority margin of 2.4 cm. The lower limit of the 95% CI of the mean difference between ethanol and placebo was 1.03 cm (p<0.001), verifying assay sensitivity.

A randomized, double-blind, cross-over, placebo-controlled study in 23 healthy subjects evaluated the effects of a single 84-mg dose of esketamine nasal spray on driving. Mirtazapine

was used as a positive control. Driving performance was assessed at 8 hours after esketamine or mirtazapine administration. The SDLP after esketamine nasal spray administration was similar to placebo. The upper limit of the two-sided 95% CI of the mean difference between esketamine and placebo was 0.86 cm, which is less than the pre-specified non-inferiority margin of 2.4 cm. The lower limit of the 95% CI of the mean difference between mirtazapine and placebo was 1.12 cm (p=0.001), verifying assay sensitivity. Of the 23 subjects evaluated, 21 subjects completed the test successfully. Two subjects discontinued the driving test after receiving esketamine because of a perceived inability to drive.

## Effect on QT/QTc interval and cardiac electrophysiology

Treatment with SPRAVATO® did not prolong the QTc interval. The effect of SPRAVATO® (84 mg nasal spray and 0.8 mg/kg esketamine intravenously infused over 40 minutes) on the QTc interval was evaluated in a randomized, double-blind, placebo-, and positive-controlled (moxifloxacin 400 mg), 4-period, crossover study in 60 healthy subjects. Maximum esketamine concentrations in plasma produced by the intravenous infusion were approximately 3-times higher than the maximum concentrations produced by the nasal dose of 84 mg. The upper bound of the 90% confidence interval for the largest placebo-adjusted, baseline-corrected QTc interval remained below 10 msec, at all evaluated time-points, based on Fridericia's correction method (QTcF) for both treatment groups.

#### Clinical studies

The efficacy and safety of SPRAVATO<sup>®</sup> nasal spray was evaluated in five Phase 3 clinical studies in adult patients (18 to 86 years) with treatment-resistant depression (TRD) who met DSM-5 criteria for major depressive disorder and were non-responders to at least two oral antidepressants (ADs) treatments, of adequate dosage and duration, in the current major depressive episode. 1833 adult patients were enrolled, of which 1601 patients were exposed to SPRAVATO<sup>®</sup>.

## Treatment-resistant depression – Short-term studies

SPRAVATO® was evaluated in three Phase 3 short-term (4-week) randomized, double-blind, multicenter, active-controlled studies in patients with TRD. Studies TRANSFORM-1 (TRD3001) and TRANSFORM-2 (TRD3002) were conducted in adults (18 to <65 years) and Study TRANSFORM-3 (TRD3005) was conducted in adults ≥65 years of age. Patients in TRD3001 and TRD3002 initiated treatment with SPRAVATO® 56 mg plus a newly initiated daily oral AD or a newly initiated daily oral AD plus placebo nasal spray on Day 1 and SPRAVATO® dosages were then maintained on 56 mg or titrated to 84 mg administered twice-weekly during a 4-week double-blind induction phase. SPRAVATO® doses of 56 mg or 84 mg were fixed in Study TRD3001 and flexible in Study TRD3002. In Study TRD3005, patients (≥65 years) initiated treatment with SPRAVATO® 28 mg plus a newly initiated daily oral AD or a newly initiated daily oral AD plus placebo nasal spray (Day 1) which was maintained or titrated to 56 mg or 84 mg dose administered twice-weekly during a 4-week double-blind induction phase. A newly initiated open-label oral AD (SNRI: duloxetine, venlafaxine extended release; SSRI: escitalopram, sertraline) was initiated on Day 1 in all studies. The selection of the newly initiated oral AD was determined by the investigator based on the patient's prior treatment history.

The baseline demographic and disease characteristics of patients in TRD3001 and TRD3002 studies were similar between the SPRAVATO® plus oral AD and oral AD plus placebo nasal spray groups. The median subject age was 47 years (range 18 to 64 years), 67% were female; 83% Caucasian and 5% of African descent and mean duration of prior AD treatment was approximately 425 days. At the time of screening, the mean duration of the current episode of depression was 168 weeks. At the time of screening, 90% of patients had non-response to >2 oral ADs with the remainder requiring confirmation of non-response to the second AD during the 4-week screening prospective phase. The new open-label oral AD initiated during the 4-week double-blind induction phase was an SSRI in 38% of patients and an SNRI in 62% of patients. In TRD3005, the median subject age was 69 years (range 65 to 86 years) of which, 85% of patients were 65-74 years of age, 62% were female and 95% were Caucasian and mean duration of prior AD treatment was approximately 727 days. At the time of screening, the mean duration of the current episode of depression was 216 weeks in TRD3005. At the time of screening, 85% of patients had non-response to  $\geq 2$  oral ADs with the remainder requiring confirmation of non-response to the second AD during the 4-week screening prospective phase. The new open-label AD initiated during the 4-week double-blind induction phase was an SSRI in 55% of patients and an SNRI in 45% of patients.

The primary efficacy measure was change from baseline in the Montgomery-Åsberg Depression Rating Scale (MADRS) total score at the end of the 4-week double-blind induction phase. The MADRS is a ten-item, clinician-rated scale used to assess severity of depressive symptoms. Scores on the MADRS range from 0 to 60, with higher scores indicating more severe depression.

In the flexible dose study TRD3002, for the primary efficacy measure of improvement in depressive symptoms (change in MADRS total scores from baseline at the end of the 4-week induction phase), SPRAVATO® plus a newly initiated oral AD demonstrated clinically meaningful and statistical superiority compared to standard of care (newly initiated oral AD) plus placebo nasal spray. In studies TRD3001 and TRD3005, a clinically meaningful but not statistically significant treatment effect in change in MADRS total scores from baseline at the end of the 4-week induction phase was observed favoring SPRAVATO® plus newly initiated oral AD compared with standard of care (newly initiated oral AD) plus placebo nasal spray (Table 5 [MMRM]). In TRD3002, improvements in the Sheehan Disability Scale (SDS) total score assessing global functional impairment and Patient Health Questionnaire-9 (PHQ-9) total score assessing symptoms of depression numerically favored SPRAVATO® plus a newly initiated oral AD compared to standard of care (newly initiated oral AD) plus placebo nasal spray.

Table 5: Primary Efficacy Results for Change in MADRS Total Score for 4 Week Clinical Trials (MMRM)

				LS Mean	
				Change from	
		Number of	Mean Baseline	Baseline to end of Week 4	LS Mean Difference
Study No.	Treatment Group§	<b>Patients</b>	Score (SD)	(SE)	(95% CI) <sup>†</sup>
TRD3001	SPRAVATO® 56 mg + oral AD	115	37.4 (4.8)	-18.8 (1.3)	-4.1 (-7.7, -0.5)#

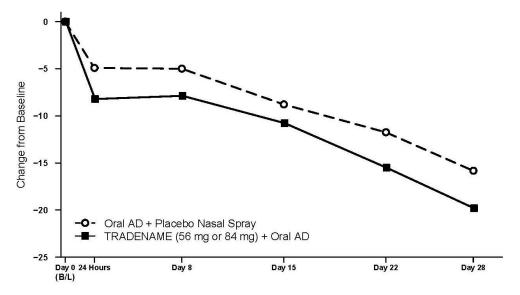
	SPRAVATO® 84 mg + oral AD	114	37.8 (5.6)	-18.5 (1.4)	-3.2 (-6.9, 0.5)#
	Oral AD + Placebo nasal spray	113	37.5 (6.2)	-14.8 (1.3)	-
TRD3002	SPRAVATO® (56 mg or 84 mg) + oral AD	114	37.0 (5.7)	-19.8 (1.3)	-4.0 (-7.3; -0.6) <sup>‡</sup>
11123002	Oral AD + Placebo nasal spray	109	37.3 (5.7)	-15.8 (1.3)	-
TRD3005	SPRAVATO® (28 mg, 56 mg or 84 mg) + oral AD	72	35.5 (5.9)	-10.2 (1.5)	-3.6 (-7.2, 0.1)#
(≥65 years)	Oral AD + Placebo nasal spray	65	34.8 (6.4)	-6.2 (1.5)	-

SD=standard deviation; SE=standard error; LS Mean=least-squares mean; CI=confidence interval; AD=antidepressant

## Time course of treatment response

In Study TRD3002, an effect of SPRAVATO<sup>®</sup> on symptom reduction was observed as early as 24 hours post-dose and increased in subsequent weeks with the full antidepressant effect of SPRAVATO<sup>®</sup> seen by Day 28. Throughout the 4-week double blind induction phase of Study TRD3002, the mean change in MADRS total score for flexibly dosed SPRAVATO<sup>®</sup> (56 mg or 84 mg) plus oral AD was greater than for oral AD plus nasally-administered placebo. At Day 28, 67% of the patients randomized to SPRAVATO<sup>®</sup> were on 84 mg. Figure 1 [MMRM Analysis] depicts time course of response in the primary efficacy measure (MADRS) in Study TRD3002. A consistent treatment effect was observed in Studies TRD3001 and TRD3005.

Figure 1: Least Squares Mean Change from Baseline in MADRS Total Score Over Time in Study TRD3002\* (Full Analysis Set) – MMRM Analysis



Note: In this flexible-dose study, dosing was individualized based on efficacy and tolerability. Few subjects (<10%) had reduction in SPRAVATO<sup>®</sup> dose from 84 mg to 56 mg, and almost all remained on the lower dose for the duration of the induction phase.

<sup>§</sup> Nasally administered esketamine or placebo; oral AD=standard of care (newly initiated AD)

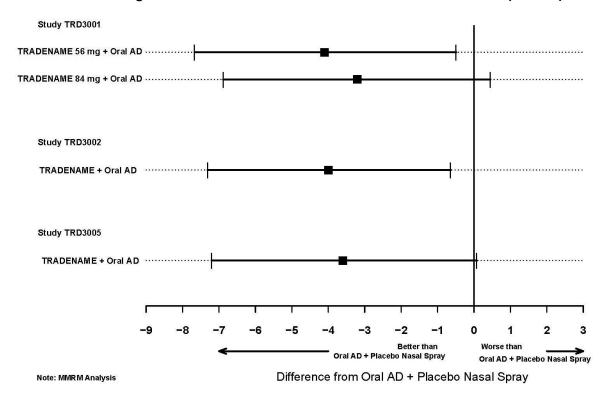
<sup>†</sup> Difference (SPRAVATO® + oral AD minus oral AD + placebo nasal spray) in least-squares mean change from baseline

<sup>&</sup>lt;sup>‡</sup> Treatment groups that were statistically significantly superior to oral AD + placebo nasal spray

<sup>#</sup> Median unbiased estimate (i.e., weighted combination of the LS means of the difference from Oral AD + placebo nasal spray), and 95% flexible confidence interval

In addition, in TRD3002 study SPRAVATO® plus oral AD was superior to oral AD plus placebo nasal spray in terms of mean change from baseline in MADRS total score at the end of Week 4. A consistent treatment difference was observed in Studies TRD3001 and TRD3005. (Figure 2 [MMRM]).

Figure 2: Difference from SPRAVATO® Plus Oral AD vs. Oral AD Plus Placebo Nasal Spray in Mean Change from Baseline in MADRS Total Score at the End of Week 4 (MMRM)



#### **Response and remission rates**

Response was defined as  $\geq$ 50% reduction in the MADRS total score from baseline of the induction phase. Based on the reduction in MADRS total score from baseline, the proportion of patients in Studies TRD3001, TRD3002 and TRD3005 who demonstrated response to SPRAVATO® plus oral AD treatment was greater than for oral AD plus placebo nasal spray throughout the 4-week double-blind induction phase (Table 6).

Remission was defined as a MADRS total score ≤12. In all three studies, a greater proportion of patients treated with SPRAVATO<sup>®</sup> plus oral AD were in remission at the end of the 4-week double-blind induction phase than for oral AD plus placebo nasal spray (Table 6).

Table 6: Response and Remission Rates in 4 Week Clinical Trials

				Number of Pa	atients (%)		
			Re	esponse Rate <sup>†</sup>			Remission Rate <sup>‡</sup>
Study No.	Treatment Group <sup>§</sup>	24 hours	Week 1	Week 2	Week 3	Week 4	Week 4

	SPRAVATO® 56 mg + oral AD	20 (19.0%)	21 (18.4%)	29 (26.4%)	52 (48.6%)	60 (54.1%)	40 (36.0%)
TRD3001	SPRAVATO® 84 mg + oral AD	17 (16.3%)#	16 (15.0%)	25 (25.3%)	33 (34.4%)	52 (53.1%)	38 (38.8%)
	Oral AD + placebo nasal spray	8 (7.9%)	5 (4.5%)	15 (14.2%)	25 (23.8%)	42 (38.9%)	33 (30.6%)
TRD3002	SPRAVATO <sup>®</sup> 56 mg or 84 mg + oral AD	18 (16.5%)	15 (13.8%)	29 (27.1%)	54 (52.4%)	70 (69.3%)	53 (52.5%)
1105002	Oral AD + placebo nasal spray	11 (10.8%)	13 (12.4%)	23 (22.5%)	35 (33.7%)	52 (52.0%)	31 (31.0%)
TRD3005 (≥65 years)	SPRAVATO <sup>®</sup> 28 mg, 56 mg or 84 mg + oral AD	NA	4 (6.1%)	4 (5.9%)	9 (15.0%)	17 (27.0%)	11 (17.5%)
	Oral AD + placebo nasal spray	NA	3 (4.8%)	8 (12.9%)	8 (14.3%)	8 (13.3%)	4 (6.7%)

AD=antidepressant; NA=not available

## Treatment-resistant depression – Long-term studies

## Relapse-prevention study

Study SUSTAIN-1 (TRD3003) was a long-term randomized, double-blind, parallel-group, active-controlled, multicenter, relapse prevention study. Overall a total of 705 patients were enrolled; 437 directly enrolled; 150 transferred from TRD3001, and 118 transferred from TRD3002. Patients directly enrolled were administered SPRAVATO® (56 mg or 84 mg twice weekly) plus oral AD in a 4-week open label induction phase. Patients who were responders (MADRS total score reduction ≥50% from baseline), continued receiving treatment with SPRAVATO® plus oral AD in a 12-week optimization phase. At the end of the open label induction phase, 52% of patients were in remission (MADRS total score ≤12) and 66% of patients were responders (≥50% improvement in MADRS total score). Four hundred fifty-five (455) esketamine-treated patients entered the optimization phase, patients in stable remission or stable response were randomized to continue with SPRAVATO® or stop SPRAVATO® and switch to placebo nasal spray. After an initial 16 weeks of treatment with SPRAVATO® plus oral AD, 176 (39%) patients were in stable remission and 121 (27%) patients were in stable response (but not in stable remission). Stable remission was defined as MADRS total score  $\leq 12$ in at least 3 of the last 4 weeks of the optimization phase and stable response was defined as >50% reduction in the MADRS total score from baseline for the last 2 weeks of the optimization phase, but not in stable remission.

The baseline demographic and disease characteristics of the patients randomized to the double-blind maintenance phase were similar between the SPRAVATO<sup>®</sup> plus oral AD and oral AD plus placebo groups, median patient age was 48 years (range 19 to 64 years), 66% were female; 90% Caucasian and 4% of African descent.

<sup>§</sup> Nasally administered SPRAVATO® or placebo; oral AD=standard of care (newly initiated AD)

 $<sup>^{\</sup>dagger}$  Response was defined as  $\geq$ 50% reduction in the MADRS total score from baseline

 $<sup>\</sup>ddagger$  Remission was defined as MADRS total score  $\le$ 12

<sup>#</sup> First dose was SPRAVATO® 56 mg + oral AD

#### Stable remission

Patients in stable remission who continued treatment with SPRAVATO<sup>®</sup> plus oral AD experienced a statistically significantly longer time to relapse of depressive symptoms than did patients on standard of care (oral AD) plus placebo nasal spray (Figure 3). Relapse was defined as a MADRS total score ≥22 for 2 consecutive weeks or hospitalization for worsening depression or any other clinically relevant event indicative of relapse. The median time to relapse for standard of care (oral AD) plus placebo nasal spray group was 273 days, whereas the median was not estimable for SPRAVATO<sup>®</sup> plus oral AD, as this group never reached 50% relapse rate.

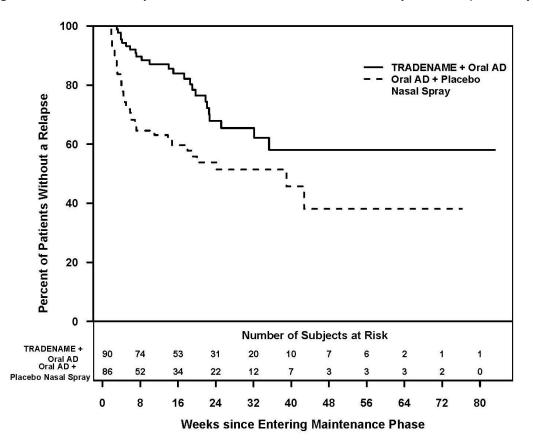


Figure 3: Time to Relapse in Patients in Stable Remission in Study TRD3003 (Full Analysis Set)

For patients in stable remission, the estimated hazard ratio (95% CI) of SPRAVATO<sup>®</sup> plus oral AD relative to standard of care (oral AD) plus placebo nasal spray based on weighted estimates was 0.49 (95% CI: 0.29, 0.84), indicating that, patients who were in stable remission and continued treatment with SPRAVATO<sup>®</sup> plus oral AD group were on average 51% less likely to relapse than patients who switched to standard of care (oral AD) plus placebo nasal spray.

#### Stable response

The efficacy results were also consistent for patients in stable response who continued treatment with SPRAVATO<sup>®</sup> plus oral AD; patients experienced a statistically significantly longer time to relapse of depressive symptoms than did patients on standard of care (oral AD) plus placebo nasal spray (Figure 4). The median time to relapse for standard of care (oral AD)

plus placebo nasal spray group (88 days) was shorter compared to SPRAVATO® plus oral AD group (635 days).

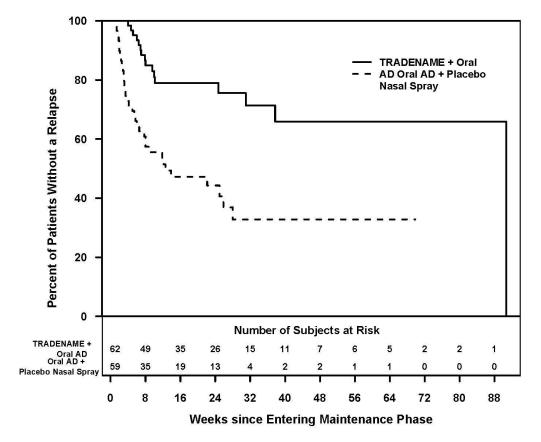


Figure 4: Time to Relapse in Patients in Stable Response in Study TRD3003 (Full Analysis Set)

For patients in stable response, the estimated hazard ratio (95% CI) of SPRAVATO<sup>®</sup> plus oral AD relative to standard of care (oral AD) plus placebo nasal spray based on Cox proportional hazards model was 0.30 (95% CI: 0.16, 0.55), indicating that, patients who were stable responders and continued treatment with SPRAVATO<sup>®</sup> plus oral AD group were on average 70% less likely to have a relapse than patients who switched to standard of care (oral AD) plus placebo nasal spray.

Enrollment in TRD3003 was staggered over approximately 2 years. The maintenance phase was of variable duration and continued until the individual patient had a relapse of depressive symptoms or discontinued for any other reason, or the study ended because the required number of relapse events occurred. Exposure numbers were influenced by the study stopping at a pre-determined number of relapses based on the interim analysis. After an initial 16 weeks of treatment with SPRAVATO® plus oral AD, the median duration of exposure to SPRAVATO® in the maintenance phase was 4.2 months (range: 1 day to 21.2 months) in SPRAVATO®-treated patients (stable remission and stable response). In this study, 31.6% of patients received SPRAVATO® for greater than 6 months and 7.9% of patients received SPRAVATO® for greater than 1 year in the maintenance phase.

## **Dosing frequency**

Starting from week 8, an algorithm (based on the MADRS) was used to determine the dosing frequency; patients in remission (i.e., MADRS total score was ≤12) were dosed every other week, however, if the MADRS total score increased to >12, then the frequency was increased to weekly dosing for the next 4 weeks; with the objective of maintaining the patient on the lowest dosing frequency to maintain response/remission. The dosing frequency used the majority of the time during the maintenance phase is shown in Table 7. Of the patients randomized to SPRAVATO<sup>®</sup>, 60% received 84 mg and 40% received 56 mg dose.

Table 7: Dosing Frequency Used the Majority of the Time; Maintenance Phase (Study TRD3003)

	Stable R	emission	Stable Re	sponders
	SPRAVATO® + Oral AD (N=90)	Oral AD + Placebo Nasal Spray (N=86)	SPRAVATO® + Oral AD (N=62)	Oral AD + Placebo Nasal Spray (N=59)
Majority dosing frequency				
Weekly	21 (23.3%)	27 (31.4%)	34 (54.8%)	36 (61.0%)
Every other week	62 (68.9%)	48 (55.8%)	21 (33.9%)	19 (32.2%)
Weekly or every other week	7 (7.8%)	11 (12.8%)	7 (11.3%)	4 (6.8%)

## Treatment-resistant depression – Short-term study in Japanese patients

The efficacy of SPRAVATO<sup>®</sup> was also evaluated in a short-term (4-week) randomised, double-blind, active-controlled study (TRD2005) in 202 adult Japanese patients with TRD. Patients received 4 weeks of induction treatment with SPRAVATO<sup>®</sup> fixed-dose of 28 mg, 56 mg, 84 mg or placebo nasal spray in addition to continued current oral AD. The primary efficacy endpoint was change in MADRS total score from baseline to day 28. The baseline demographic and disease characteristics of patients were similar between the SPRAVATO<sup>®</sup> plus AD and placebo nasal spray plus AD groups.

In study TRD2005, no statistically significant difference in change in MADRS total scores from baseline at the end of the 4-week induction phase was observed for any of the SPRAVATO® plus oral AD dosages compared with oral AD plus placebo nasal spray (Table 8).

Table 8: Primary efficacy results for change in MADRS total score for 4-week TRD2005 Study (MMRM)

Treatment group	Number of patients	of Mean baseline score (SD)	LS mean change from baseline to end of week 4 (SE)	LS mean difference (90% CI) <sup>†, #</sup>
SPRAVATO® 28mg + oral AD	41	38.4 (6.1)	-15.6 (1.8)	-1.0 -5.77; 3.70
SPRAVATO® 56mg + oral AD	40	37.9 (5.4)	-14.0 (1.9)	0.6 -4.32; 5.47
SPRAVATO® 84mg + oral AD	41	35.9 (5.3)	-15.5 (1.8)	-0.9 -5.66; 3.83
Oral AD + placebo nasal spray	80	37.7 (5.7)	-14.6 (1.3)	·

† Difference (SPRAVATO® + oral AD minus Oral AD + placebo nasal spray) in least-squares mean change from baseline.

# Confidence interval is based on the Dunnett adjustment

## Treatment-resistant depression – Short-term study in Chinese patients

The efficacy of SPRAVATO® was also evaluated in a short-term (4-week) randomised, double-blind, active-controlled study (TRD3006) in 252 adult patients (224 Chinese patients, 28 non-Chinese patients) with TRD. Study TRD3006 was conducted at 22 sites in China and 5 sites in the United States (US).

Patients received 4 weeks of induction treatment with flexibly dosed SPRAVATO<sup>®</sup> (56 mg or 84 mg) or placebo nasal spray, in addition to a newly initiated oral AD. The primary efficacy endpoint was change in MADRS total score from baseline to day 28. The baseline demographic and disease characteristics of patients were similar between the SPRAVATO<sup>®</sup> plus AD and placebo nasal spray plus AD groups.

In study TRD3006, no statistically significant difference in change in MADRS total scores from baseline at the end of the 4-week induction phase was observed for SPRAVATO® plus oral AD compared with oral AD plus placebo nasal spray (Table 9).

Table 9: Primary efficacy results for change in MADRS total score for 4-week TRD3006 Study (MMRM)

Treatment group	Number of patients#	Mean baseline score (SD)	LS mean change from baseline to end of week 4 (SE)	LS mean difference (95% CI) <sup>†</sup>
All patients				
SPRAVATO® (56mg or 84mg) + oral AD	124	36.5 (5.21)	-11.7 (1.09)	-2.0 -4.64; 0.55
Oral AD + placebo nasal spray	126	35.9 (4.50)	-9.7 (1.09)	
Chinese population				
SPRAVATO® (56mg or 84mg) + oral AD	110	36.2 (5.02)	-8.8 (0.95)	-0.7 -3.35; 1.94
Oral AD + placebo nasal spray	112	35.9 (4.49)	-8.1 (0.95)	,

 $SD = standard\ deviation;\ SE = standard\ error;\ LS\ mean = least-squares\ mean;\ CI = confidence\ interval;\ AD = antidepressant.$ 

## Open-label long-term safety and efficacy study

Study SUSTAIN-2 (TRD3004) was an open-label, long-term study of SPRAVATO® plus oral AD in patients with TRD.

The primary objective was to evaluate the long-term (up to 52 weeks) safety and efficacy of SPRAVATO<sup>®</sup>. SPRAVATO<sup>®</sup> was not associated with effects on cognitive function or treatment-emergent symptoms of interstitial cystitis. In the elderly subgroup (≥65 years of age) slowing of reaction time starting at Week 20 and through the end of the study was observed, however, performance on other cognitive tests remained stable.

In addition, there was no evidence of withdrawal and/or rebound symptoms following cessation of SPRAVATO<sup>®</sup> treatment. No cases of respiratory depression were reported and there was no evidence of treatment related changes in lab parameters.

<sup>#</sup> Two patients did not receive oral AD and were not included in the efficacy analysis.

<sup>†</sup> Difference (SPRAVATO® + oral AD minus oral AD + placebo nasal spray) in least-squares mean change from baseline.

Mean body weight remained stable during treatment with SPRAVATO<sup>®</sup> plus oral AD both in the induction phase and maintenance phase (mean change from baseline ± standard deviation of -0.29±2.15 kg at Day 28 and 0.44±5.83 kg at Week 48).

TRD3004 also evaluated long-term efficacy, including effects on depressive symptoms. At the end of the 4-week induction phase, the response rate ( $\geq$ 50% improvement from Baseline in the MADRS total score) was 78.4% (593/756) and remission rate (MADRS total score  $\leq$ 12) was 47.2% (357/756); of the responders proceeding to the maintenance phase, 76.5% (461/603) were in response and 58.2% (351/603) were in remission at endpoint.

#### Dose-response study in treatment-resistant depression

A Phase 2 adjunctive, doubly-randomized, double-blind, placebo-controlled, dose-ranging study, enrolled 108 adult patients with TRD. Adjunctive to continued oral AD therapy, patients were treated with esketamine 14 mg, 28 mg, 56 mg or 84 mg or placebo administered nasally twice a week for 2 weeks. Treatment with the 28-mg, 56-mg and 84-mg doses of SPRAVATO® significantly improved depressive symptoms in patients with TRD as demonstrated by the change in MADRS total score after 1 week. While SPRAVATO® doses of 28 mg, 56 mg and 84 mg were efficacious in TRD treatment, the duration of the efficacy of the 28-mg dose was shorter.

Response rates at Day 8 of Period 1 for the double-blind phase are shown below (Table 10).

Table 10: Response Rates in TRD2003 (Double Blind Phase – Period 1)

Table 10:	Response Rates in TRD2003 (Double Blind Phase – Period 1)							
			Number of Patients (%)					
		Response Rate <sup>†</sup>						
	Treatment Group <sup>§</sup>	2 hours	24 hours	Day 8				
	SPRAVATO® 28mg	6 (54.5%)	4 (36.4%)	1 (9.1%)				
Panel A	SPRAVATO® 56 mg	4 (36.4%)	3 (27.3%)	2 (18.2%)				
	SPRAVATO® 84 mg	7 (58.3%)	5 (41.7%)	5 (41.7%)				
	Placebo Nasal Spray	6 (18.2%)	1 (3.0%)	2 (6.1%)				
Panel B	SPRAVATO® 14mg	4 (36.4%)	4 (36.4%)	2 (18.2%)				
	SPRAVATO® 56 mg	4 (44.4%)	4 (44.4%)	2 (22.2%)				
	Placebo Nasal Spray	7 (33.3%)	6 (28.6%)	5 (23.8%)				

<sup>§</sup> Nasally administered SPRAVATO® or placebo

# Acute short-term treatment of psychiatric emergency due to Major Depressive Disorder

SPRAVATO® was evaluated in two identical Phase 3 short-term (4-week) randomized, double-blind, multicenter, placebo-controlled studies, Aspire I (SUI3001; NCT03039192) and Aspire II (SUI3002; NCT03097133) in adult patients with moderate to severe MDD (MADRS total score >28) who had affirmative responses to MINI questions B3 ("Think [even momentarily] about harming or of hurting or of injuring yourself: with at least some intent or awareness that you might die as a result; or think about suicide [i.e., about killing yourself]?") and B10 ("Intend to act on thoughts of killing yourself in the past 24 hours?"). In these studies, patients received treatment with SPRAVATO® 84 mg or placebo nasal spray twice-weekly for

<sup>&</sup>lt;sup>†</sup> Response was defined as ≥50% reduction in the MADRS total score from baseline

4 weeks. All patients received comprehensive standard of care (SOC) treatment, including an initial inpatient hospitalization and a newly initiated or optimized oral antidepressant (AD) therapy (AD monotherapy or AD plus augmentation) as determined by the investigator. In the physician's opinion, acute psychiatric hospitalization was clinically warranted due to the subject's immediate risk of suicide. After the first dose, a one-time dose reduction to SPRAVATO® 56 mg was allowed for patients unable to tolerate the 84 mg dose.

The baseline demographic and disease characteristics of patients in SUI3001 and SUI3002 were similar between the SPRAVATO® plus SOC or placebo nasal spray plus SOC groups. The median patient age was 40 years (range 18 to 64 years), 61% were female; 73% Caucasian and 6% Black; and 63% of patients had at least one prior suicide attempt. Prior to entering the study, 92% of the patients were receiving antidepressant therapy. During the study, as part of standard of care treatment, 40% of patients received AD monotherapy, 54% of patients received AD plus augmentation regimen, and 6% received both AD monotherapy/AD plus augmentation regimen.

The primary efficacy measure was the reduction of symptoms of MDD as measured by the change from baseline MADRS total score at 24 hours after first dose (Day 2).

In SUI3001 and SUI3002, SPRAVATO® plus SOC demonstrated statistical superiority on the primary efficacy measure compared to placebo nasal spray plus SOC (see Table 11).

Table 11: Primary Efficacy Results for Change from Baseline in MADRS Total Score at 24 Hours After First Dose (Studies SUI3001 and SUI3002) (ANCOVA\*)

Study No.	Treatment Group <sup>§</sup>	Number of Patients	Mean Baseline Score (SD)	LS Mean Change from Baseline to 24 hr Post First Dose (SE)	LS Mean Difference (95% CI) <sup>†</sup> p-value
SUI3001	SPRAVATO® 84 mg + SOC	111	41.3 (5.87)	-15.9 (1.04)	-3.8 (-6.56; -1.09) <sup>‡</sup> P=0.006
	Placebo nasal spray + SOC	112	41.0 (6.29)	-12.0 (1.02)	-
SUI3002	SPRAVATO® 84 mg + SOC	113	39.4 (5.21)	-16.0 (1.02)	-3.9 (-6.60; -1.11) <sup>‡</sup> P=0.006
	Placebo nasal spray + SOC	113	39.9 (5.76)	-12.2 (1.05)	-
Pooled Studies (SUI3001 and SUI3002)	SPRAVATO® 84 mg + SOC	224	40.3 (5.61)	-16.0 (0.72)	-3.8 (-5.75; -1.89) <sup>‡</sup>
	Placebo nasal spray + SOC	225	40.4 (6.04)	-12.1 (0.72)	-

SD=standard deviation; SE=standard error; LS Mean=least-squares mean; CI=confidence interval; SOC=standard of care.

The treatment differences (95% CI) in change from baseline in MADRS total score at Day 2 (24 hours post first dose) between SPRAVATO® + SOC and placebo + SOC were -4.81

ANCOVA LOCF: For SUI3001, 1 subject (in the placebo + SOC group) did not have the Day 2 (24 hours post first dose) MADRS total score, and the MADRS total score was carried forward from 4 hours after the first dose. For SUI3002, of the 6 subjects who did not have the Day 2 (24 hours post first dose) MADRS total score, 5 of them were able to carry the MADRS total score from 4 hours after the first dose.

Nasally administered esketamine or placebo.

<sup>†</sup> Difference (SPRAVATO® + SOC minus placebo nasal spray + SOC) in least-squares mean change from baseline.

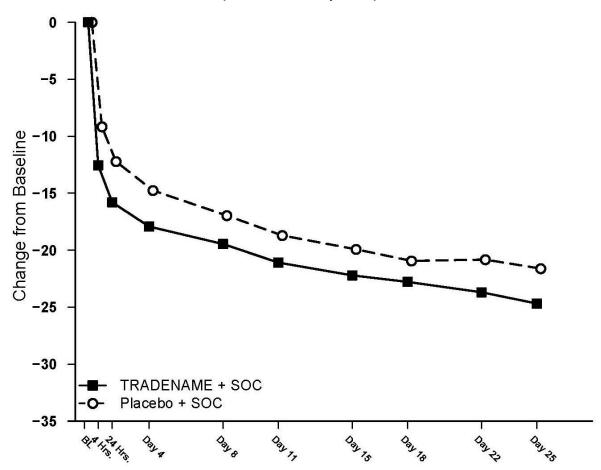
<sup>‡</sup> Treatment groups that were statistically significantly superior to placebo nasal spray + SOC.

(-7.26; -2.36) for the subpopulation that reported a prior suicide attempt (N=282) and -2.32 (-5.54; 0.91) for the subpopulation that did not report a prior suicide attempt (N=166).

## Time course of treatment response

In both SUI3001 and SUI3002, SPRAVATO®'s treatment difference compared to placebo was observed starting at 4 hours. Between 4 hours and Day 25, both the SPRAVATO® and placebo groups continued to improve; the difference between the groups generally remained but did not appear to increase over time through Day 25. Figure 5 depicts time course of the primary efficacy measure of change in MADRS total score using pooled SUI3001 and SUI3002.

Figure 5: Least Squares Mean Change from Baseline in MADRS Total Score Over Time in SUI3001 and SUI3002\* (Pooled, Full Analysis Set) – MMRM



<sup>\*</sup> Note: In these studies, after the first dose, a one-time dose reduction to SPRAVATO® 56 mg was allowed for patients unable to tolerate the 84 mg dose. Approximately 16% of patients had reduction in SPRAVATO® dosage from 84 mg to 56 mg twice weekly.

#### **Remission rates**

In the Phase 3 studies, the percentage of patients who achieved remission (MADRS total score  $\leq$ 12 at any given time during the study) was greater in the SPRAVATO<sup>®</sup> + SOC group than in the placebo + SOC group at all timepoints during the double-blind treatment phase (Table 12).

Table 12: Patients Who Achieved Remission of MDD; Double-blind Treatment Phase; Full Efficacy Analysis Set

	SUI3001		SUI3002		Pooled Studies (SUI3001 and SUI3002)	
	Placebo + SOC	SPRAVATO® + SOC	Placebo + SOC	SPRAVATO® + SOC	Placebo + SOC	SPRAVATO® + SOC
	112	112	113	114	225	226
Day 1, 4 hours post first dose						
Patients with Remission of MDD	9 (8.0%)	12 (10.7%)	4 (3.5%)	12 (10.5%)	13 (5.8%)	24 (10.6%)
Day 2, 24 hours post first dose						
Patients with Remission of MDD	10 (8.9%)	21 (18.8%)	12 (10.6%)	25 (21.9%)	22 (9.8%)	46 (20.4%)
Day 25 (predose)						
Patients with Remission of MDD	38 (33.9%)	46 (41.1%)	31 (27.4%)	49 (43.0%)	69 (30.7%)	95 (42.0%)
Day 25 (4 hours postdose)						
Patients with Remission of MDD	42 (37.5%)	60 (53.6%)	42 (37.2%)	54 (47.4%)	84 (37.3%)	114 (50.4%)

SOC = standard of care

Note: Remission is based on a MADRS total score of  $\leq$ 12. Subjects who did not meet such criterion or discontinued prior to the time point for any reason are not considered to be in remission.

#### Effects on suicidality

Overall patients in both treatment groups experienced improvement in the severity of their suicidality as measured by the Clinical Global Impression – Severity of Suicidality - Revised (CGI-SS-r) scale at the 24-hour endpoint, though there was no statistically significant difference between treatment groups. The long-term efficacy of SPRAVATO® to prevent suicide has not been established.

## **Pharmacokinetic Properties**

## **Absorption**

The mean absolute bioavailability of 84 mg esketamine administered as a nasal spray is approximately 48%.

Esketamine is rapidly absorbed by the nasal mucosa following nasal administration and can be measured in plasma within 7 minutes following a 28-mg dose. The time to reach maximum plasma concentration (t<sub>max</sub>) is typically 20 to 40 minutes after the last nasal spray of a treatment session (see *Dosage and Administration*).

Dose-dependent, linear increases in the plasma  $C_{max}$  and  $AUC_{\infty}$  of esketamine nasal spray were produced by doses of 28 mg, 56 mg and 84 mg.

The pharmacokinetic profile of esketamine is similar after a single dose and repeat dose administration with no accumulation in plasma when esketamine is administered twice a week.

#### **Distribution**

The mean steady-state volume of distribution of esketamine administered by the intravenous route is 709 L.

The proportion of the total concentration of esketamine that is bound to proteins in human plasma is on average 43 to 45%. The degree to which esketamine is bound to plasma proteins is not dependent on hepatic or renal function.

Esketamine is not a substrate of transporters P-glycoprotein (P-gp; multidrug resistance protein 1), breast cancer resistance protein (BCRP), or organic anion transporter (OATP) 1B1, or OATP1B3. Esketamine does not inhibit these transporters or multi-drug and toxin extrusion 1 (MATE1) and MATE2-K, or organic cation transporter 2 (OCT2), OAT1, or OAT3.

#### Metabolism

Esketamine is extensively metabolized in the liver. The primary metabolic pathway of esketamine in human liver microsomes is N-demethylation to form noresketamine. The main CYP enzymes responsible for esketamine N-demethylation are CYP2B6 and CYP3A4. Other CYP enzymes, including CYP2C19 and CYP2C9, contribute to a much smaller extent. Noresketamine is subsequently metabolized via CYP-dependent pathways to other metabolites, some of which undergo glucuronidation.

#### **Excretion**

The mean clearance of esketamine administered by the intravenous route was approximately 89 L/hour. After C<sub>max</sub> was reached following nasal administration, the decline in esketamine concentrations in plasma was rapid for the first few hours and then more gradual. The mean terminal half-life following administration as a nasal spray generally ranged from 7 to 12 hours.

Following intravenous administration of radiolabeled esketamine, approximately 78% and 2% of administered radioactivity was recovered in urine and feces, respectively. Following oral administration of radiolabeled esketamine, approximately 86% and 2% of administered radioactivity was recovered in urine and feces, respectively. The recovered radioactivity consisted primarily of esketamine metabolites. For the intravenous and oral routes of administration, <1% of the dose was excreted in the urine as unchanged drug.

#### Special populations

#### Elderly (65 years of age and older)

The pharmacokinetics of esketamine administered as a nasal spray was compared between elderly but otherwise healthy subjects and younger healthy adults. The mean esketamine  $C_{max}$  and  $AUC_{\infty}$  values produced by a 28-mg dose were 21% and 18% higher, respectively, in elderly subjects (age range 65 to 81 years) compared with younger adult subjects (age range 22 to 50 years). The mean esketamine  $C_{max}$  and  $AUC_{\infty}$  values produced by an 84-mg dose were 67%

and 38% higher, respectively, in elderly subjects (age range 75 to 85 years) compared with younger adult subjects (age range 24 to 54 years). The terminal half-life of esketamine was similar in the elderly and younger adult subjects.

## Renal impairment

Relative to the subjects with normal renal function (creatinine clearance [CLCR], 88 to 140 mL/min), the  $C_{max}$  of esketamine was on average 20 to 26% higher in subjects with mild (CLCR, 58 to 77 mL/min), moderate (CLCR, 30 to 47 mL/min), or severe (CLCR, 5 to 28 mL/min, not on dialysis) renal impairment following administration of a 28-mg dose of esketamine nasal spray. The AUC $_{\infty}$  was 13 to 36% higher in the subjects with mild to severe renal impairment.

There is no clinical experience with esketamine administered as a nasal spray in patients on dialysis.

## Hepatic impairment

The  $C_{max}$  and  $AUC_{\infty}$  of esketamine produced by a 28-mg doses were similar between subjects with Child-Pugh class A (mild) hepatic impairment and healthy subjects. The  $C_{max}$  and  $AUC_{\infty}$  of esketamine were 8% higher and 103% higher, respectively, in subjects with Child-Pugh class B (moderate) hepatic impairment, relative to healthy subjects.

There is no clinical experience with esketamine administered as a nasal spray in patients with Child-Pugh class C (severe) hepatic impairment.

#### Race

The pharmacokinetics of esketamine nasal spray was compared between healthy Asian subjects and Caucasian subjects. Mean plasma esketamine  $C_{max}$  and  $AUC_{\infty}$  values produced by a single, 56-mg dose of esketamine were approximately 14% and 33% higher, respectively, in Chinese subjects compared to Caucasians. Both parameters were approximately 40% higher in Japanese subjects, relative to Caucasian subjects. On average, esketamine  $C_{max}$  was 10% lower and  $AUC_{\infty}$  was 17% greater in Korean subjects, relative to Caucasian subjects. The mean terminal half-life of esketamine in the plasma of Asian subjects ranged from 7.1 to 8.9 hours and was 6.8 hours in Caucasian subjects.

#### Gender

A population pharmacokinetic analysis was conducted that included healthy subjects (138 males and 118 females) and patients with major depressive disorder (203 males and 361 females). The results indicated that the pharmacokinetics of esketamine administered as a nasal spray is not influenced by gender.

## Body weight

A population pharmacokinetic analysis was conducted that included 256 healthy subjects and 564 patients with major depressive disorder. The total body weight of the subjects ranged from 39 to 170 kg. The results indicated that the pharmacokinetics of esketamine administered as a nasal spray is not influenced by body weight.

## Allergic rhinitis

The pharmacokinetics of a single, 56-mg dose of esketamine administered as a nasal spray was similar in subjects with allergic rhinitis who were exposed to grass pollen compared to healthy subjects.

#### NON-CLINICAL INFORMATION

## **General toxicity**

Once-daily nasal administration of esketamine in rats up to 9 mg/day for 6 months, and dogs up to 72 mg/day for 9 months, resulted in non-adverse central nervous system-related clinical signs reflecting the anesthetic properties of the test article. No notable lesions were found in the nasal cavity or any peripheral organ. After 3 months of daily treatment at 9 mg/day in rats, the systemic exposure of esketamine (C<sub>max</sub> and AUC) resembled that in humans at the maximum recommended human dose (MRHD) of 84 mg, while the C<sub>max</sub>- and AUC-based exposure ratios for esketamine in dogs after 3 months of daily treatment at 72 mg/day were approximately 4- and 1-fold, respectively.

## **Neurotoxicity**

In single-dose and 14-day repeated-dose neurotoxicity studies with nasally-administered esketamine in rats, no histopathological brain lesions were noted. In single dose neurotoxicity studies, where rats were nasally-administered with esketamine at a dose up to 72 mg, the C<sub>max</sub>- and AUC-based safety margins for esketamine were approximately 59- and 86-fold, respectively, compared to the human exposure at the MRHD of 84 mg. In a 14-day neurotoxicity study where rats received nasally-administered esketamine once daily up to a dose of 54 mg/day, the C<sub>max</sub>- and AUC-based safety margins for esketamine were approximately 17- and 11-fold. Moreover, no evidence of neurotoxicity was found in the 6-month rat and the 9-month dog repeated-dose toxicology studies with once daily nasal administration of esketamine as judged by brain histopathology and functional assessments. Similarly, no neurotoxicity was noted in the shorter-term animal toxicology studies with nasally-administered esketamine. Overall, the risk of neurotoxicity associated with nasal administration of esketamine to patients is expected to be low.

## Carcinogenicity and mutagenicity

Once-daily nasal administration of esketamine did not increase the incidence of tumors in a 2-year rat carcinogenicity study at doses up to 9 mg/day. At this dose, the exposure to esketamine resembled the human exposure at the MRHD of 84 mg. Esketamine was not carcinogenic either upon once-daily subcutaneous administration in a 6-month study in transgenic (Tg.rasH2) mice at doses up to  $70/40 \, \text{mg/kg/day}$ . At that dose, the C<sub>max</sub>- and AUC-based exposure ratios for esketamine were approximately 20- and 4-fold, respectively, compared to the MRHD of 84 mg.

Esketamine was not mutagenic with or without metabolic activation in the Ames test. Genotoxic effects with esketamine were seen in a screening *in vitro* micronucleus test in the presence of metabolic activation. However, intravenously-administered esketamine was devoid of genotoxic properties in an *in vivo* bone marrow micronucleus test in rats and an *in vivo* 

Comet assay in rat liver cells. In simulated gastric fluid there is no evidence that N-nitroso-esketamine is formed out of the fraction of the nasally-administered dose of esketamine that is orally absorbed.

#### Reproductive toxicity

In an embryo-fetal developmental toxicity study with nasally-administered ketamine in rats, the offspring was not adversely affected in the presence of maternal toxicity at doses up to 150 mg/kg/day. In rats, the C<sub>max</sub>- and AUC-based safety margin estimated for esketamine at the 150 mg/kg/day dose of ketamine was 61- and 12-fold compared to the maximum recommended human dose (MRHD) of esketamine of 84 mg. In pregnant rabbits, racemic ketamine was administered intranasally from gestational day 6 to 18 at doses of 10, 30, and 100 mg/kg/day. The high dose was lowered from 100 to 50 mg/kg after 5 days of dosing due to excessive mortality in the pregnant rabbits. Skeletal malformations were observed at doses ≥ 30 mg/kg/day, which were maternally toxic. In rabbits, the estimated exposure to esketamine at the 10 mg/kg/day no-effect dose of ketamine was below the maximum exposure to esketamine at 84 mg in humans.

Animal studies with ketamine showed evidence of developmental neurotoxicity. The potential for esketamine to have neurotoxic effects on developing fetuses cannot be excluded. (see *Pregnancy and Breast-feeding*).

In a pre- and postnatal developmental toxicity study with nasally-administered esketamine up to 9 mg/day in rats, no adverse effects occurred in the dams nor their offspring.

#### **Fertility**

In a fertility and early embryonic developmental toxicity study, esketamine nasally-administered to rats at 0.9, 3, or 9 mg/day caused maternal and paternal toxicity at 3 and 9 mg/day. Fertility and reproductive capacities were not adversely affected at any dose.

## PHARMACEUTICAL INFORMATION

## List of Excipients

Citric acid monohydrate Edetate disodium Sodium hydroxide Water for injection

## Incompatibilities

Not applicable.

#### **Shelf Life**

See expiry date on the outer pack.

## **Storage Conditions**

Do not store above 30°C. Keep out of the sight and reach of children.

## **Nature and Contents of Container**

SPRAVATO® is a drug-device combination product, which is assembled in a filled Type 1 glass vial containing esketamine drug product solution with rubber stopper into a single-use nasal spray device. The device is ready to use once removed from its secondary packaging.

SPRAVATO® is available in packs of 1, 2 or 3 devices. Not all pack sizes may be marketed.

## **PRODUCT REGISTRANT**

Johnson & Johnson International (Singapore) Pte. Ltd. 2 Science Park Drive #07-13, Ascent Singapore Science Park 1 Singapore 118222

## **BATCH RELEASER**

Janssen Cilag Manufacturing LLC State Road 933, KM 0.1, Mamey Ward, Gurabo, Puerto Rico (PR), 00778, United States (USA)

## DATE OF REVISION OF TEXT

14 March 2023 (CCDS 05 May 2022)

## **Instructions for Use and Handling and Disposal**

**SPRAVATO®** 

(esketamine)

## **Nasal Spray Device**



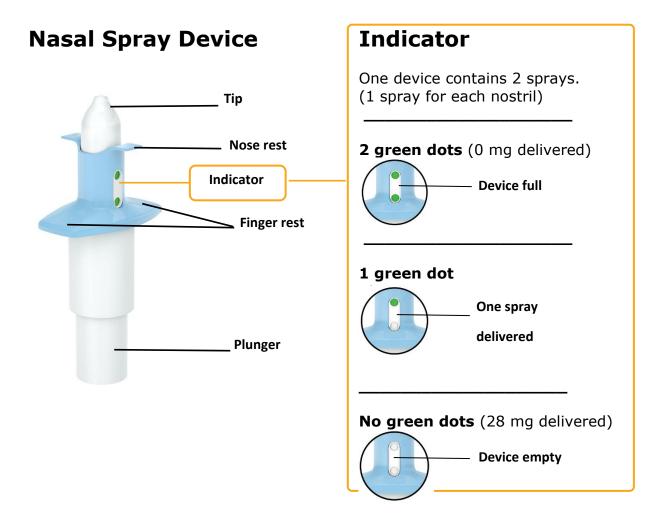
## 28 mg per device

## **Important**

This device is intended for administration by the patient, **under supervision of a healthcare professional**. Read this Instructions for Use in full before training and supervising patient.

## Need help?

Ask your doctor about any questions you may have. For additional assistance or to share your feedback, refer to the Package Insert for your local representative contact information.



## Step 1 Get ready

## Before first device only:



Instruct patient to blow nose **before** first device only.

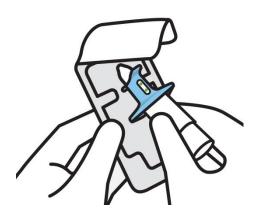


Confirm required number of devices.

28 mg = 1 device

56 mg = 2 devices

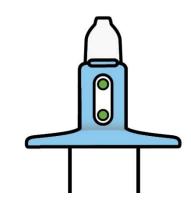
84 mg = 3 devices



## **Healthcare professional:**

Check expiration date ('EXP'). If expired, get a new device.

Peel blister and remove device.



## **Healthcare professional:**

## Do not prime device.

This will result in a loss of medication.

Check that indicator shows **2 green dots**. If not, dispose of device and get a new one.

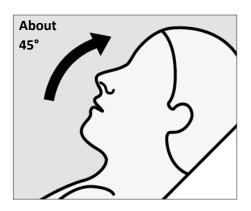
Hand device to patient.



## **Patient should:**

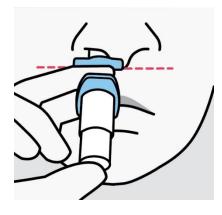
Hold device as shown with the thumb gently supporting the plunger.

**Do not** press the plunger.



## **Patient should:**

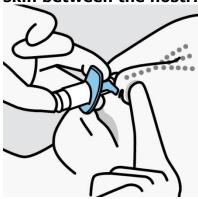
Recline head at about **45 degrees** during administration to keep medication inside the nose.



## **Patient should:**

Insert tip straight into the first nostril.

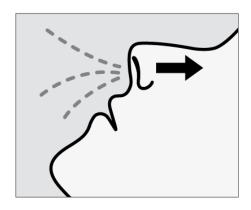
Nose rest should touch the skin between the nostrils.



## **Patient should:**

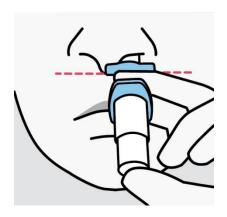
Close opposite nostril.

Breathe in through nose while pushing plunger all the way up until it stops.



## Patient should:

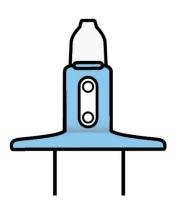
**Sniff gently** after spraying to keep medication inside nose.



## Patient should:

Switch hands to insert tip into the **second nostril**.

Repeat Step 4 to deliver second spray.

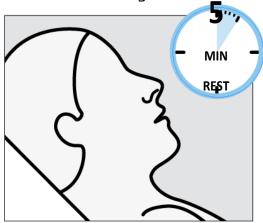


## **Healthcare professional:**

Take device from patient.

Check that indicator shows no green dots. If you see a green dot, have patient spray again into the second nostril.

Check indicator again to confirm device is empty.



## **Patient should:**

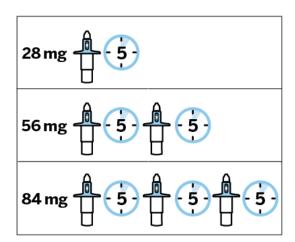
Rest in a comfortable position (preferably, semi-reclined) for 5 minutes after each device.



Do not blow nose.

If liquid drips out, dab nose with a tissue.

## **Next device (if required)**



## **Healthcare professional:**

**Repeat Steps 2-5** if more than one device is required.

**IMPORTANT:** Ensure that patient **waits 5 minutes after each device** to allow medication to absorb.

## **Disposal**

Dispose of used device(s) in accordance with local requirements.

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